

# Algebraic Geometry

## Part III Michaelmas 2016-2017

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## 1 Basic Definitions

### 1.1 Sheaves and Stalks

**Definition 1.1.1.** Let  $X$  be a topological space,  $\mathbf{Op}(X)$  the poset of open sets of  $X$  considered as a category and  $\mathcal{C}$  a category. We define a **presheaf** of  $\mathcal{C}$ -objects on  $X$ , denoted  $\mathcal{F}$ ,

to be a contravariant functor  $\mathcal{F} : \mathbf{Op}(X) \rightarrow \mathcal{C}$ . Given an open set  $U \subseteq X$ , we refer to the elements of  $\mathcal{F}(U)$  as the **sections** of  $U$ . Moreover, given an inclusion of open sets  $V \subseteq U$  we say that  $\mathcal{F}(U \supseteq V) = \mathcal{F}(V) \rightarrow \mathcal{F}(U)$  is the **restriction** of  $U$  to  $V$  where  $s \in \mathcal{F}(U)$  is mapped to  $s|_V \in \mathcal{F}(V)$ .

Finally, we define a **sheaf** on  $X$  to be a presheaf  $\mathcal{F}$  such that if

$$U = \bigcup_i U_i$$

for some open sets  $U_i \subseteq X$  and if  $s_i \in \mathcal{F}(U_i)$  with  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$  for all  $i, j$  then there exists a unique  $s \in \mathcal{F}(U)$  such that  $s|_{U_i} = s_i$  for all  $i$ .

**Example 1.1.2.** Let  $X$  be a topological space. Then the functor  $\mathcal{F} : \mathbf{Op}(X) \rightarrow \mathbf{AbGrp}$  given by

$$\mathcal{F}(U) = \{ \text{continuous functions } U \rightarrow \mathbb{R} \}$$

is a sheaf.

**Example 1.1.3.** From now on,  $\mathcal{C}$  will either be  $\mathbf{AbGrp}$ ,  $\mathbf{Ring}$  or  $\mathbf{Mod}_R$  for some commutative ring  $R$ . Moreover, *sheaf* shall be synonymous with *sheaf of  $\mathcal{C}$ -objects*.

**Definition 1.1.4.** Let  $(I, \leq)$  be a directed poset. Suppose for each  $i \in I$  we have an abelian group  $A_i$  and for each pair  $i \leq j$  we have a map  $\varphi_{ij} : A_i \rightarrow A_j$  with  $\varphi_{ii} = \text{id}_{A_i}$  such that whenever  $i \leq j \leq k$ , we have  $\varphi_{ik} = \varphi_{jk} \circ \varphi_{ij}$ . Then we say that  $(A_i, \varphi_{ij})$  is a **directed system** of abelian groups.

Moreover, consider pairs  $(A_i, a_i)$  with  $a_i \in A_i$ . Define an equivalence relation on these pairs where  $(A_i, a_i) \sim (A_j, a_j)$  if and only if there exists a  $k \geq i, j$  such that  $\varphi_{ik}(a_i) = \varphi_{jk}(a_j)$ . Denoting the equivalence class of  $(A_i, a_i)$  under  $\sim$  as  $[A_i, a_i]$ , we may define a group operation on the set of all such equivalence classes as follows:

$$[A_i, a_i] + [A_j, a_j] = [A_k, \varphi_{ik}(a_i) + \varphi_{jk}(a_j)]$$

for any  $k \geq i, j$ . We call this group the **direct limit** of the direct system  $(A_i, \varphi_{ij})$  and we denote it by  $\varinjlim_{i \in I} A_i$ .

**Definition 1.1.5.** Let  $X$  be a topological space,  $\mathcal{F}$  a presheaf of abelian groups on  $X$  and  $x \in X$ . Consider the directed poset  $(I, \subseteq)$  consisting of open sets containing  $x$ , ordered by inclusion. Then  $\mathcal{F}(U_i)$ , together with the restriction homomorphisms, define a direct system. We define the **stalk** of  $\mathcal{F}$  at  $x$  by

$$\mathcal{F}_x = \varinjlim_{U_i \in I} \mathcal{F}(U_i)$$

**Definition 1.1.6.** Let  $X$  be a topological space and  $\mathcal{F}, \mathcal{G}$  presheaves of abelian group on  $X$ . We define a **morphism** of presheaves to be a natural transformation  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ . In other words,  $\varphi$  is given by a collection of group homomorphisms  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  such that if  $V \subseteq U$  then the diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\varphi_U} & \mathcal{G}(U) \\ \downarrow |_V & & \downarrow |_V \\ \mathcal{F}(V) & \xrightarrow{\varphi_V} & \mathcal{G}(V) \end{array}$$

is commutative. Moreover, we say that  $\varphi$  is an **isomorphism** of presheaves if it has an inverse. We denote by  $\mathbf{Sh}(X)$  the category of all sheaves on  $X$  together with their morphisms.

**Remark.** Given a morphism of presheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  and a point  $x \in X$  there is a natural homomorphism of stalks

$$\begin{aligned} \varphi_x : \mathcal{F}_x &\rightarrow \mathcal{G}_x \\ (U, s) &\mapsto (U, \varphi_U(s)) \end{aligned}$$

**Theorem 1.1.7.** *Let  $X$  be a topological space and  $\mathcal{F}$  a presheaf of abelian groups on  $X$ . Then there exists a sheaf  $\mathcal{F}^+$  and a morphism  $\alpha : \mathcal{F} \rightarrow \mathcal{F}^+$  such that, given any sheaf  $\mathcal{G}$  and morphism of sheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ ,  $\varphi$  factors through  $\mathcal{F}^+$  uniquely:*

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\alpha} & \mathcal{F}^+ \\ & \searrow \varphi & \downarrow \\ & & \mathcal{G} \end{array}$$

for some morphism of sheaves  $\mathcal{F}^+ \rightarrow \mathcal{G}$ . We shall refer to  $\mathcal{F}^+$  as the **sheaf associated to  $\mathcal{F}$**  or the **sheafification** of  $\mathcal{F}$ .

*Proof.* Fix an open set  $U \subseteq X$  and let  $\{U_i\}_{i \in I}$  be an open cover for some indexing set  $I$ . We claim that

$$\mathcal{F}^+(U) = \left\{ s : U \rightarrow \prod_{x \in U} \mathcal{F}_x \mid \begin{array}{l} \forall x \in U, s(x) \in \mathcal{F}_x \\ \exists x \in W \subseteq U \text{ open, } t \in \mathcal{F}(W) \text{ s.t. } s(y) = [W, t] \forall y \in W \end{array} \right\}$$

defines the desired sheaf along with the natural restriction morphisms. This clearly defines a presheaf so it thus suffices to show that  $\mathcal{F}^+$  satisfies the sheaf axiom. Let  $s_i \in \mathcal{F}^+(U_i)$  be sections such that for all  $i, j \in I$  we have  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$ . Define a function

$$\begin{aligned} s : U &\rightarrow \prod_{x \in U} \mathcal{F}_x \\ y &\mapsto s_i(y) \end{aligned}$$

for some  $i$  such that  $y \in U_i$ . Then  $s$  is well-defined since the sections  $s_i$  all agree on overlaps. Now, given any  $x \in U$ , we clearly have  $s(x) \in \mathcal{F}_x$  since  $s(x) = s_i(x)$  for any  $i \in I$  such that  $U_i \ni x$ . Furthermore, for each  $s_i$ , there exists an open neighbourhood  $x \in W_i \subseteq U$  and a section  $t \in \mathcal{F}(W)$  such that for all  $y \in W_i$  we have  $s_i(y) = [W, t]$ . Clearly, we can take any of these  $W_i$  and the same will apply for  $s$  whence  $s \in \mathcal{F}^+(U)$ . Lastly, we must show that such an  $s$  is unique. To this end, suppose there exists a  $t \in \mathcal{F}^+(U)$  such that their restrictions  $s_i, t_i \in \mathcal{F}^+(U_i)$  agree. Then for all  $x \in U$ , there exists a  $U_i \ni x$  such that  $s_i(x) = t_i(x)$  and so  $s(x) = t(x)$ . Since this holds for all  $x \in U$ , we must have that  $s = t$ . We have thus shown that  $\mathcal{F}^+$  is indeed a sheaf.

Now, given  $s \in \mathcal{F}(U)$ , define  $\alpha : \mathcal{F} \rightarrow \mathcal{F}^+$  by setting  $\alpha_U(s)$  to be the function mapping  $x \in U$  to  $[U, s]$ . This is easily seen to be a morphism of presheaves as it is compatible with the natural restriction morphisms.

To see that  $\varphi$  factors uniquely through  $\mathcal{F}^+$ , we must construct a unique morphism of sheaves  $\psi : \mathcal{F}^+ \rightarrow \mathcal{G}$ . To this end, fix  $s \in \mathcal{F}^+(U)$  and for each  $U_i$  in the open cover, choose  $s_i \in \mathcal{F}(U_i)$  such that  $\alpha_{U_i}(s_i) = s|_{U_i}$ . Now set  $t_i = \varphi(s_i)$ . Since  $\varphi$  is a morphism of presheaves, it follows that  $t_i|_{U_i \cap U_j} = t_j|_{U_i \cap U_j}$ . Since  $\mathcal{G}$  is a sheaf, there exists a unique  $t \in \mathcal{G}(U)$  such that  $t|_{U_i} = t_i$ . We must therefore have that  $\psi_U(s) = t$  and we are done.  $\square$

**Remark.** Let  $X$  be a topological space and  $\mathcal{F}$  a presheaf. For all  $x \in X$ , we have a homomorphism of groups

$$\alpha_x : \mathcal{F}_x \rightarrow \mathcal{F}_x^+$$

This is in fact an isomorphism since the sections of  $\mathcal{F}^+$  are locally just sections of  $\mathcal{F}$ .

**Example 1.1.8.** Let  $X = \{a, b\}$  be a topological space where the open sets are  $\emptyset, X, U = \{a\}$  and  $V = \{b\}$ . Define a presheaf of abelian groups on  $X$  by setting

$$\mathcal{F}(\emptyset) = 0, \quad \mathcal{F}(X) = \mathbb{Z}, \quad \mathcal{F}(U) = 0, \quad \mathcal{F}(V) = 0$$

with the natural restriction homomorphisms. We first calculate the stalks of  $\mathcal{F}$ . Recall that the stalk at  $a$  is given by

$$\mathcal{F}_a = \frac{\{(A, s) \mid A \ni a, s \in \mathcal{F}(A)\}}{\sim}$$

where  $\sim$  is the equivalence relation given by  $(U, s) \sim (V, t)$  if and only if there exists an open  $a \in W \subseteq U \cap V$  such that  $s|_W = t|_W$ . We have that

$$\{(A, s) \mid A \ni a, s \in \mathcal{F}(A)\} = \{(U, 0), \dots, (X, -1), (X, 0), (X, 1), \dots\}$$

Clearly the elements of this set are all equivalent so we have  $\mathcal{F}_a = 0$ . Similarly, we find that  $\mathcal{F}_b = 0$ . It then follows that all sections of  $\mathcal{F}^+$  are necessarily 0.

**Example 1.1.9.** Let  $X = \{a, b\}$  be a topological space where the open sets are  $\emptyset, X, U = \{a\}$  and  $V = \{b\}$ . Define a presheaf of abelian groups on  $X$  by setting

$$\mathcal{F}(\emptyset) = 0, \quad \mathcal{F}(X) = 0, \quad \mathcal{F}(U) = \mathbb{Z}, \quad \mathcal{F}(V) = \mathbb{Z}$$

We again calculate the stalks of this presheaf. The set to consider in the direct limit for  $\mathcal{F}_a$  is

$$\{(A, s) \mid A \ni a, s \in \mathcal{F}(A)\} = \{(X, 0), \dots, (U, -1), (U, 0), (U, 1), \dots\}$$

Clearly the only equivalent elements are  $(X, 0)$  and  $(U, 0)$  so  $\mathcal{F}_a = \mathbb{Z}$ . Similarly, we have  $\mathcal{F}_b = \mathbb{Z}$ . By the definition of the sheafification, we then have that  $\mathcal{F}^+(U) = \mathcal{F}^+(V) = \mathbb{Z}$  and  $\mathcal{F}^+(X) = \mathbb{Z} \oplus \mathbb{Z}$ .

**Definition 1.1.10.** Let  $X$  be a topological space and  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  a morphism of presheaves. We define the **presheaf kernel** of  $\varphi$ , denoted  $\ker \varphi^{\text{pre}}$  by

$$(\ker \varphi^{\text{pre}})(U) = \ker(\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U))$$

Similarly, we define the **presheaf image** of  $\varphi$ , denoted  $\text{im } \varphi^{\text{pre}}$  by

$$(\text{im } \varphi^{\text{pre}})^+(U) = \text{im}(\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U))$$

Furthermore, if  $\mathcal{F}$  and  $\mathcal{G}$  are also sheaves then we also have the **sheaf kernel**, denoted  $\ker \varphi$ , defined in the same way and the **sheaf image**, defined by  $\text{im } \varphi = (\text{im } \varphi^{\text{pre}})^+$ .

Finally, we say that  $\varphi$  is **injective** if  $\ker \varphi = 0$  and **surjective** if  $\text{im } \varphi = \mathcal{G}$ .

**Proposition 1.1.11.** Let  $X$  be a topological space and  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  a morphism of presheaves. Then  $\ker \varphi^{\text{pre}}$  and  $\text{im } \varphi^{\text{pre}}$  are presheaves of abelian groups. If, in addition,  $\mathcal{F}$  and  $\mathcal{G}$  are sheaves then  $\ker \varphi$  is also a sheaf.

*Proof.* Since the kernel of any homomorphisms of abelian groups is again an abelian group,  $\ker \varphi^{\text{pre}}$  indeed assigns an abelian group to each open set  $U \subseteq X$ . Furthermore, since the mapping between the empty sets is vacuously 0, we have that  $(\ker \varphi^{\text{pre}})(\emptyset) = 0$ . Finally, the restriction homomorphisms are made evident in the following diagram<sup>1</sup>:

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\text{id}} & \mathcal{F}(V) \\ \downarrow \text{id}_{\ker \varphi_U} & & \downarrow \text{id}_{\ker \varphi_V} \\ (\ker \varphi^{\text{pre}})(U) & \longrightarrow & (\ker \varphi^{\text{pre}})(V) \end{array}$$

A similar argument also shows that  $\text{im } \varphi^{\text{pre}}$  is a presheaf. To show that  $\ker \varphi$  is a sheaf, assume that we are given an open set  $U \subseteq X$  and an open cover  $U = \bigcup_{i \in I} U_i$  for some indexing set  $I$ . Suppose that  $s_i \in (\ker \varphi)(U_i)$  such that  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$  for all  $i, j$ . We need to show that there exists a unique  $s \in (\ker \varphi)(U)$  such that  $s|_{U_i} = s_i$  for all  $i \in I$ . Since  $(\ker \varphi)(U) \subseteq \mathcal{F}(U)$  and  $\mathcal{F}$  is a sheaf, it follows that the sections local  $s_i$  glue together to give a global section  $s \in \mathcal{F}(U)$ . We claim that such an  $s$  is the desired global section. To this end, we have that  $\varphi(s_i) = 0$  for all  $i \in I$ . Since  $\mathcal{G}$  is a sheaf, these local sections must glue together to give a global section  $\varphi(s) = 0$ . Hence  $s \in (\ker \varphi)(U)$ . The uniqueness of such an  $s$  follows immediately from the fact that  $\mathcal{F}$  is a sheaf.  $\square$

**Example 1.1.12.** Let  $X = \{a, b\}$  be a topological space where the open sets are  $\emptyset, X, U = \{a\}$  and  $V = \{b\}$ . Define a sheaf on  $X$  by setting

$$\mathcal{F}(\emptyset) = 0, \quad \mathcal{F}(X) = \mathbb{Z} \oplus \mathbb{Z}, \quad \mathcal{F}(U) = \mathbb{Z}, \quad \mathcal{F}(V) = \mathbb{Z}$$

Define the sheaf  $\mathcal{G}$  on  $X$  by setting

$$\mathcal{G}(\emptyset) = 0, \quad \mathcal{F}(X) = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}, \quad \mathcal{F}(U) = \mathbb{Z}/2\mathbb{Z}, \quad \mathcal{F}(V) = \mathbb{Z}/2\mathbb{Z}$$

Furthermore, define a morphism of sheaves between  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  by setting

$$\begin{aligned} \varphi_X : \mathcal{F}(X) &\rightarrow \mathcal{G}(X) \\ (m, n) &\mapsto (\bar{m}, \bar{n}) \end{aligned}$$

$$\begin{aligned} \varphi_U : \mathcal{F}(U) &\rightarrow \mathcal{G}(U) \\ m &\mapsto \bar{m} \end{aligned}$$

$$\begin{aligned} \varphi_V : \mathcal{F}(V) &\rightarrow \mathcal{G}(V) \\ n &\mapsto \bar{n} \end{aligned}$$

Then  $(\ker \varphi)(X) = 2\mathbb{Z} \times 2\mathbb{Z}$  and  $(\ker \varphi)(U) = 2\mathbb{Z} = (\ker \varphi)U$  and  $\text{im } \varphi = \mathcal{G}$ .

**Theorem 1.1.13.** Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves on a topological space  $X$ . Then

1.  $\varphi$  is injective if and only if  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is injective for all  $x \in X$ .
2.  $\varphi$  is surjective if and only if  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is surjective for all  $x \in X$ .
3.  $\varphi$  is an isomorphism if and only if  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is an isomorphism for all  $x \in X$ .

---

<sup>1</sup>while slightly overloading notation for the restriction maps

*Proof. Part 1:* First suppose that  $\varphi$  is injective, fix some  $x \in X$  and choose an equivalence class  $[U, s] \in \mathcal{F}_x$ . Then  $0 = \varphi_x([U, s]) = [U, \varphi_U(s)]$  implies that there exists an open  $W \ni x$  with  $W \subseteq U$  such that  $\varphi_U(s)|_W = 0$ . This in turn implies that  $\varphi_W(s|_W) = 0$ . Now  $\varphi$  is injective by hypothesis so  $s|_W = 0$ . Hence  $0 = [W, s] = [U, s]$  as desired.

Now suppose that  $\varphi_x$  is injective for all  $x \in X$ . Given an open set  $U \subseteq X$ , assume that  $\varphi_U(s) = 0$  with  $s \in \mathcal{F}(U)$ . We then have that

$$0 = [U, 0] = [U, \varphi_U(s)] = \varphi_x([U, s])$$

Since  $\varphi_x$  is injective, we thus have that  $[U, s] = 0$ . This implies that there exists some  $W \ni x$  open with  $W \subseteq U$  and  $s|_W = 0$ . Since this applies to all  $x \in X$  and since  $\mathcal{F}$  is a sheaf, it follows that  $s = 0$ .

Part 2: Assume that  $\varphi$  is surjective, in other words,  $(\text{im } \varphi^{\text{pre}})^+ = \mathcal{G}$ . Then the homomorphism  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is just

$$\varphi_x : \mathcal{F}_x \rightarrow (\text{im } \varphi^{\text{pre}})_x^+ \cong \text{im } \varphi_x^{\text{pre}}$$

which is trivially surjective.

Now suppose that  $\varphi_x$  is surjective for all  $x \in X$ . We want to show that for all open neighbourhoods  $U \subseteq X$ , the group homomorphism

$$\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$$

is surjective. To this end, fix an open  $U \subseteq X$  and let  $t \in \mathcal{G}(U)$ . We need to show that there exists an  $s \in \mathcal{F}(U)$  such that  $\varphi_U(s) = t$ . By hypothesis, given  $x$ , we have that for all  $[W, b] \in \mathcal{G}_x$ , there exists a  $[V, a] \in \mathcal{F}_x$  such that

$$\varphi_x([V, a]) = [W, b]$$

In particular, there exists an  $s \in \mathcal{F}(U)$  and an open neighbourhood  $x \in V \subseteq U$  such that  $\varphi_x([V, s]) = [U, t]$ . But the left hand side of this equation is equal to  $[V, \varphi_U(s)]$ . By the definition of a stalk, this is equivalent to there existing an open neighbourhood  $x \in W \subseteq V$  such that  $\varphi_U(s)|_W = t$ . In other words, sections of  $\mathcal{G}$  are just locally the images of sections of  $\mathcal{F}$ . Passing to the sheafification, we then have that  $\text{im } \mathcal{F} = \mathcal{G}$  as desired.

Part 3: First suppose that  $\varphi$  is an isomorphism. Then it is injective and surjective and by Parts 1 and 2,  $\varphi_x$  is an isomorphism for each  $x \in X$ .

Conversely, suppose that each  $\varphi_x$  is an isomorphism for all  $x \in X$ . By Parts 1 and 2,  $\varphi$  is injective and surjective. Let  $\mathcal{H} = \text{im } \varphi^{\text{pre}}$ . Since  $\varphi$  is injective,  $\mathcal{F}(U)$  is isomorphic to  $\mathcal{H}(U)$  for all open sets  $U \subseteq X$ . In particular,  $\mathcal{H}$  is a sheaf isomorphic to  $\mathcal{F}$ . Since  $\varphi$  is surjective,  $\mathcal{H}^+ = \mathcal{G}$ . Since  $\mathcal{H}$  is a sheaf,  $\mathcal{H} = \mathcal{G}$ . Hence  $\varphi$  is an isomorphism. □

**Definition 1.1.14.** Let  $X$  be a topological space. We define a **complex** of sheaves to be a sequence

$$\cdots \longrightarrow \mathcal{F}_{-1} \xrightarrow{\varphi_0} \mathcal{F}_0 \xrightarrow{\varphi_1} \mathcal{F}_1 \xrightarrow{\varphi_2} \mathcal{F}_2 \xrightarrow{\varphi_3} \cdots$$

such that  $\text{im } \varphi_i \subseteq \ker \varphi_{i+1}$  for all  $i$ . We say that this complex is an **exact sequence** if we have  $\text{im } \varphi_i = \ker \varphi_{i+1}$  for all  $i$ . Furthermore, an exact sequence of the form

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{G} \longrightarrow \mathcal{H} \longrightarrow 0$$

is called a **short** exact sequence.

**Example 1.1.15.** Let  $X$  be a topological space and  $A$  an abelian group. Define a presheaf  $\mathcal{F}$  by setting  $\mathcal{F}(U) = A$  for all non-empty open sets  $U \subseteq X$ . We call  $\mathcal{F}^+$  the **constant sheaf** associated to  $A$ . Also define the sheaf  $\mathcal{G}$  by

$$\mathcal{G}(U) = \{ \text{continuous functions } U \rightarrow A \}$$

where  $A$  is equipped with the discrete topology. Define a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  by sending  $s \in \mathcal{F}(U)$  to the constant function

$$\begin{aligned} f_s : U &\rightarrow A \\ u &\mapsto s \end{aligned}$$

Then  $\varphi$  induces an isomorphism of sheaves  $\varphi : \mathcal{F}^+ \rightarrow \mathcal{G}$ . This follows from showing the stalks of the two sheaves are isomorphic. Indeed, to show that  $\varphi_x : \mathcal{F}_x^+ \rightarrow \mathcal{G}_x$  is an injective, suppose that  $\varphi_x([U, s]) = 0$ . By definition, we have that  $[U, \varphi_U(s)] = 0$ . This just means that, locally,  $\varphi_U(s)$  is the zero function whence  $s = 0$  and so  $[U, s] = 0$ .

For surjectivity, choose  $[V, t] \in \mathcal{G}_x$ . We need to exhibit a  $[U, s] \in \mathcal{F}_x$  such that  $\varphi_x([U, s]) = [V, t]$ . By definition,  $t$  is a continuous function  $t : V \rightarrow A$  so set  $s = t(x)$  and  $U = t^{-1}(\{s\})$ . We claim that  $[U, s]$  is the desired element of  $\mathcal{F}_x$ . We have that  $\varphi_x([U, s]) = [U, \varphi_U(s)] = [U, f_s]$ . Then  $[U, f_s] \sim [V, t]$  if and only if there exists an open neighbourhood  $x \in W$  such that  $W \subseteq U \cap V$  and  $f_s|_W = t|_W$ . However, we may simply take  $W = U$  and we are done.

**Definition 1.1.16.** Let  $X$  and  $Y$  be a topological space and  $f : X \rightarrow Y$  a continuous mapping. If  $\mathcal{F}$  is a presheaf on  $X$ , we define the **direct image** of  $\mathcal{F}$  with respect to  $f$ , denoted  $f_*\mathcal{F}$ , to be the assignment

$$(f_*\mathcal{F})(V) = \mathcal{F}(f^{-1}V)$$

giving rise to a presheaf on  $Y$ .

**Proposition 1.1.17.** *Let  $X$  and  $Y$  be topological spaces,  $f : X \rightarrow Y$  a continuous mapping and  $\mathcal{F}$  a sheaf on  $X$ . Then  $(f_*\mathcal{F})$  is a sheaf on  $Y$ .*

*Proof.* The direct image is clearly a presheaf on  $Y$  with the natural restriction morphisms. To show that it is a sheaf, let  $V \subseteq Y$  be an open neighbourhood and  $\{V_i\}_{i \in I}$  an open cover of  $V$  where  $I$  is some indexing set. Choose  $t_i \in (f_*\mathcal{F})(V_i)$  such that  $t_i|_{V_i \cap V_j} = t_j|_{V_i \cap V_j}$  for all  $i, j$ . Each  $t_i$  is in  $\mathcal{F}(f^{-1}V_i)$  and satisfies  $t_i|_{f^{-1}V_i \cap f^{-1}V_j} = t_j|_{f^{-1}V_i \cap f^{-1}V_j}$  for all  $i, j$ . Since  $\mathcal{F}$  is a sheaf, there exists a unique  $t \in \mathcal{F}(f^{-1}V)$  such that  $t|_{f^{-1}V_i} = t_i$  for all  $i$ . Hence there exists a  $t \in (f_*\mathcal{F})(V)$  such that  $t|_{V_i} = t_i$  for all  $i$ . Thus, the direct image is a sheaf.  $\square$

**Example 1.1.18.** Let  $X$  be a topological space,  $x \in X$  and  $A$  an abelian group. Define a sheaf on  $X$  by setting

$$\mathcal{F}(U) = \begin{cases} A & \text{if } x \in U \\ 0 & \text{if } x \notin U \end{cases}$$

where  $U \subseteq X$  is an open set. This is referred to as the **skyscraper** sheaf associated to  $A$  at  $x$ . Let  $Z = \{x\}$  and define the inclusion map

$$i : Z \hookrightarrow X$$

Let  $\mathcal{G}$  be the constant sheaf on  $Z$  associated to  $A$ . Then  $\mathcal{F} = i_*\mathcal{G}$ .

## 1.2 Results from Commutative Algebra

Henceforth, all rings are assumed to be commutative.

**Definition 1.2.1.** Let  $R$  be a ring. We say that  $R$  is **local** if it has a unique maximal ideal.

**Definition 1.2.2.** Let  $R$  and  $S$  be local rings with maximal ideals  $\mathfrak{m}_R$  and  $\mathfrak{m}_S$ . A homomorphism of rings  $\alpha : R \rightarrow S$  is said to be **local** if  $\alpha(\mathfrak{m}_R) \subseteq \mathfrak{m}_S$ .

**Definition 1.2.3.** Let  $R$  be a ring and  $I \triangleleft R$  an ideal. We define the **radical** of  $I$ , denoted  $\sqrt{I}$  to be the set

$$\sqrt{I} = \{ r \in R \mid r^n \in I, n \in \mathbb{N} \}$$

**Proposition 1.2.4.** Let  $R$  be a ring and  $I \triangleleft R$  an ideal. Then

$$\sqrt{I} = \bigcap_{\mathfrak{p} \supseteq I} \mathfrak{p}$$

where the intersection is taken over all prime ideals  $\mathfrak{p}$  contained in  $I$ .

*Proof.* Omitted. □

**Proposition 1.2.5.** Let  $K$  be algebraically closed and  $I \triangleleft K[t_1, \dots, t_n]$  a maximal ideal. Then  $I = (t_1 - a_1, \dots, t_n - a_n)$  for some  $a_i \in K$ .

*Proof.* Omitted. □

**Definition 1.2.6.** Let  $R$  be a ring and  $S \subseteq R$  a subset. We say that  $S$  is **multiplicatively closed** if  $1_R \in S$  and  $s, t \in S$  implies that  $st \in S$ .

**Definition 1.2.7.** Let  $R$  be a ring and  $S \subseteq R$  a multiplicatively closed subset. Consider the set

$$\left\{ \frac{r}{s} \mid r \in R, s \in S \right\}$$

of formal fractions. Define an equivalence relation on this set with  $a/s \sim b/s'$  if and only if there exists  $s'' \in S$  such that  $s''(as' - bs) = 0$ . We define

$$S^{-1}R = \left\{ \frac{r}{s} \mid r \in R, s \in S \right\} / \sim$$

to be the **ring of fractions** of  $R$  with respect to  $S$  with ring operations given by

$$\begin{aligned} \frac{a}{s} + \frac{b}{t} &= \frac{at + bs}{st} \\ \frac{a}{s} \cdot \frac{b}{t} &= \frac{ab}{st} \end{aligned}$$

**Example 1.2.8.** Let  $R = \mathbb{Z}$  and  $S = \mathbb{Z} \setminus \{0\}$ . Then  $S^{-1}R = \mathbb{Q}$ .

**Remark.** There is a natural inclusion homomorphism

$$\begin{aligned} \alpha : R &\hookrightarrow S^{-1}R \\ r &\mapsto \frac{r}{1} \end{aligned}$$



**Proposition 1.2.9.** *Let  $R$  be a ring and  $I \triangleleft R$  an ideal. Then*

$$S^{-1}I = \left\{ \frac{r}{s} \in S^{-1}R \mid r \in I \right\}$$

*is an ideal of  $S^{-1}R$ . Moreover, any ideal of  $S^{-1}R$  is of this form.*

*Proof.* Fix an ideal of  $I \triangleleft R$ . We must show that  $(S^{-1}I, +)$  is a subgroup of  $(S^{-1}R, +)$  and that for all  $S^{-1}I$  absorbs multiplication by elements of  $S^{-1}R$ .

$S^{-1}I$  clearly contains the additive identity of  $S^{-1}R$  since  $I$  contains the additive identity of  $R$ . Fix  $a/b, c/d \in S^{-1}I$  where  $a, c \in I$  and  $b, d \in S$ . Then

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$

Now,  $S$  is multiplicatively closed so  $bd \in S$ . Furthermore,  $ad + bc \in I$  so indeed  $a/b + c/d \in S^{-1}I$ . Clearly, all elements of  $S^{-1}I$  have additive inverses so  $(S^{-1}I, +)$  is indeed a subgroup of  $(S^{-1}R, +)$ . To prove that  $S^{-1}I$  absorbs multiplication by elements of  $S^{-1}R$ , choose  $a/b \in S^{-1}I$  and  $c/d \in S^{-1}R$ . Then

$$\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$$

As before,  $bd \in S$  and  $ac \in I$  so the product of the two fractions is again in  $S^{-1}I$  whence it is an ideal of  $S^{-1}R$ .

To show that any ideal of the ring of fractions is of this form, choose an ideal  $J \triangleleft S^{-1}R$ . Let  $I$  be the set consisting of all numerators of fractions in  $J$ . We claim that  $I$  is an ideal of  $R$ , it would then immediately follow that  $J = S^{-1}I$ .

$I$  clearly contains the additive identity of  $R$  since  $J$  is an ideal of  $S^{-1}R$ . Furthermore, given  $a, b \in I$ ,  $a + b \in I$  since  $a/1 + b/1 = (a + b)/1 \in J$ .  $I$  also clearly contains additive inverses and so  $(I, +)$  is a subgroup of  $(R, +)$ . Now let  $i \in I$  and  $r \in R$ . Choose any fraction in  $J$  with  $i$  as its numerator, say  $i/j \in J$ . Then  $i/j \cdot r/1 = ir/j \in J$  and so  $ir \in I$  whence  $I$  is an ideal.  $\square$

**Proposition 1.2.10.** *Let  $R$  be a ring and  $S \subseteq R$  a multiplicatively closed subset. Then there is a one-to-one inclusion preserving correspondence*

$$\begin{aligned} \left\{ \begin{array}{l} \text{prime } \mathfrak{p} \triangleleft R \\ \mathfrak{p} \cap S = \emptyset \end{array} \right\} &\longleftrightarrow \{ \text{prime } \mathfrak{p} \triangleleft S^{-1}R \} \\ \mathfrak{p} &\longleftrightarrow S^{-1}\mathfrak{p} \end{aligned}$$

*Proof.* We must check that the correspondence is well-defined and the two mappings are mutually inverse. To this end, fix a prime ideal  $\mathfrak{p} \triangleleft R$  such that  $\mathfrak{p} \cap S = \emptyset$  and let  $a/b \cdot c/d \in S^{-1}\mathfrak{p}$ . We need to show that either  $a/b \in S^{-1}\mathfrak{p}$  or  $c/d \in S^{-1}\mathfrak{p}$ . Choose  $u, v$  such that  $ab/cd = u/v$ . Then there exists  $z \in S$  such that  $z(abv - cdu) = 0$ . It then follows that  $zabv \in \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime, one of  $z, a, b$  or  $v$  must be in  $\mathfrak{p}$ . But  $\mathfrak{p} \cap S = \emptyset$  so it cannot be  $z$  or  $v$ . Hence either  $a$  or  $b$  is in  $\mathfrak{p}$  whence either  $a/b$  or  $c/d \in S^{-1}\mathfrak{p}$ .

Conversely, suppose that  $\mathfrak{q} \triangleleft S^{-1}R$  is prime. We need to show that the ideal  $\mathfrak{p}$  consisting of all numerators in  $\mathfrak{q}$  is prime. To this end, let  $ab \in \mathfrak{p}$ . Choose a fraction in  $\mathfrak{q}$  with  $ab$  as its numerator, say  $ab/cd$ . By definition this is equal to  $a/b \cdot c/d \in \mathfrak{q}$ . But  $\mathfrak{q}$  is prime so either  $a/c \in \mathfrak{q}$  or  $b/d \in \mathfrak{q}$  whence either  $a$  or  $b$  is in  $\mathfrak{p}$ . Thus the maps are well defined and do map prime ideals to prime ideals.

We must now check that the maps are mutually inverse. Label the forward mapping  $\varphi$  and the backwards map  $\psi$ . First let  $\mathfrak{p} \triangleleft R$  be prime. We want to show that  $\psi(\varphi(\mathfrak{p})) = \mathfrak{p}$ .  $\square$

**Definition 1.2.11.** Let  $R$  be a ring and  $\mathfrak{p} \triangleleft R$  a prime ideal. Define a multiplicative subset  $S = R \setminus \mathfrak{p}$ . We call the ring of fractions  $S^{-1}R$  the **localisation** of  $R$  at  $\mathfrak{p}$  and denote it  $R_{\mathfrak{p}}$ .

**Proposition 1.2.12.** Let  $R$  be a ring and  $\mathfrak{p} \triangleleft R$  prime. Then  $R_{\mathfrak{p}}$  is a local ring with unique maximal ideal given by  $\mathfrak{p}_{\mathfrak{p}} := S^{-1}\mathfrak{p}$ .

*Proof.* Let  $\mathfrak{m}$  be an ideal not contained in  $\mathfrak{p}_{\mathfrak{p}}$ . Choose a fraction  $a/b \in \mathfrak{m}$ . Then both  $a$  and  $b$  are contained in  $R \setminus \mathfrak{p}$ . By definition of the ring of fractions, this implies that the fraction  $b/a$  is an element of  $R_{\mathfrak{p}}$ . Hence  $1_{R_{\mathfrak{p}}} = a/b \cdot b/a \in \mathfrak{m}$  whence  $\mathfrak{m} = R_{\mathfrak{p}}$ .  $\square$

**Remark.** Let  $R$  be a ring and let  $S = \{1, b, b^2, \dots\}$  be a multiplicatively closed power set for some  $b \in R$ . We shall write  $R_b = S^{-1}R$ .

Moreover, note that all these definitions can be generalised to arbitrary modules over a commutative ring. More precisely, if  $R$  is a commutative ring,  $M$  an  $R$ -module and  $S^{-1}$  a multiplicative set in  $R$  then  $S^{-1}M$  is an  $S^{-1}R$ -module. Moreover, if  $M \rightarrow N$  is an  $R$ -module homomorphism, we then have an induced homomorphism  $S^{-1}M \rightarrow S^{-1}N$  of  $S^{-1}R$ -modules. In fact,  $S^{-1}(\cdot)$  is an exact functor  $\mathbf{Mod}_R \rightarrow \mathbf{Mod}_{S^{-1}R}$ .

**Definition 1.2.13.** Let  $R$  be a ring and  $M, N$   $R$ -modules. Let  $L$  denote the free  $R$ -module generated by elements of  $M \times N$ . Let  $E$  be the sub- $R$ -module of  $L$  generated by elements of the form

1.  $(m + m', n) - (m, n) - (m', n')$
2.  $(m, n + n') - (m, n) - (m, n')$
3.  $(rm, n) - r(m, n)$
4.  $(m, rn) - r(m, n)$

where  $m, m' \in M$ ,  $n, n' \in N$  and  $r \in R$ . We define the **tensor product** of  $M$  and  $N$  over  $R$  to be

$$M \otimes_R N = L/E$$

and we write  $m \otimes n$  for the equivalence class of  $(m, n)$ .

**Proposition 1.2.14.** Let  $R$  be a ring and  $N, M$  and  $P$   $R$ -modules. Then

1. If  $M \times N \rightarrow P$  is an  $R$ -bilinear map then there exists a unique homomorphism of modules  $M \otimes_R N \rightarrow P$ .
2.  $R \otimes_R M \cong M$ .
3.  $M \otimes_R N = N \otimes_R M$ .
4.  $(M \otimes_R N) \otimes_R P \cong M \otimes_R (N \otimes_R P)$ .
5.  $M \otimes_R (N \oplus P) \cong (M \otimes_R N) \oplus (M \otimes_R P)$ .
6. If  $S \subseteq R$  is multiplicatively closed we have  $S^{-1}M \cong S^{-1}R \otimes_R M$ .
7. If  $I \triangleleft R$  we have  $R/I \otimes_R M \cong M/IM$ .

*Proof.* Omitted.  $\square$

**Remark.** Let  $A, B, C$  and  $D$  be rings and  $\alpha : A \rightarrow B, \beta : A \rightarrow C$ . Then we have a commutative diagram

$$\begin{array}{ccc}
 & B & \\
 \alpha \nearrow & & \searrow \varphi \\
 A & & B \otimes_A C \\
 \beta \searrow & & \nearrow \psi \\
 & C &
 \end{array}$$

where  $\varphi$  sends  $b$  to  $b \otimes 1$  and  $\psi$  sends  $c$  to  $1 \otimes c$ .

**Proposition 1.2.15.** Let  $A, B, C$  and  $D$  be rings and suppose we have a commutative diagram

$$\begin{array}{ccc}
 & B & \\
 \alpha \nearrow & & \searrow \varphi \\
 A & & D \\
 \beta \searrow & & \nearrow \psi \\
 & C &
 \end{array}$$

Then there exists a unique homomorphism of  $A$ -modules  $B \otimes_A C \rightarrow D$  extending the diagram to a commutative diagram

$$\begin{array}{ccccc}
 & & B & & \\
 & \alpha \nearrow & \downarrow & \searrow \varphi & \\
 A & & B \otimes_A C & \longrightarrow & D \\
 & \beta \searrow & \uparrow \psi & \nearrow & \\
 & & C & &
 \end{array}$$

### 1.3 Spectrum of a Ring

**Definition 1.3.1.** Let  $R$  be a ring. We define the **spectrum** of  $R$ , denoted  $\text{Spec } R$ , to be the set of all prime ideals of  $R$ . Moreover, given any ideal  $I \triangleleft R$ , we define  $V(I) = \{ \mathfrak{p} \in \text{Spec } R \mid I \subseteq \mathfrak{p} \}$ .

**Lemma 1.3.2.** Let  $R$  be a ring. Then

1. For all  $I, J \triangleleft R$  we have  $V(IJ) = V(I \cap J) = V(I) \cup V(J)$ .
2. For all families of ideals  $I_\alpha \triangleleft R$  we have  $V(\sum_\alpha I_\alpha) = \bigcap_\alpha V(I_\alpha)$ .
3. For all  $I, J \triangleleft R$  we have  $V(I) \subseteq V(J)$  if and only if  $\sqrt{I} \supseteq \sqrt{J}$ .

*Proof.*

Part 1: We have that

$$\mathfrak{p} \in V(IJ) \iff IJ \subseteq \mathfrak{p} \iff I \subseteq \mathfrak{p} \text{ or } J \subseteq \mathfrak{p} \iff \mathfrak{p} \in V(I) \text{ or } \mathfrak{p} \in V(J)$$

A similar argument applies to  $V(I \cap J)$ .

Part 2: We have that

$$\mathfrak{p} \in V\left(\sum_{\alpha} I_{\alpha}\right) \iff \sum_{I_{\alpha}} I_{\alpha} \subseteq \mathfrak{p} \iff I_{\alpha} \subseteq \mathfrak{p} \forall \alpha \iff \mathfrak{p} \in \bigcap_{\alpha} V(I_{\alpha})$$

Part 3: By Proposition 1.2.4, we have that  $\sqrt{I} = \bigcap V(I)$  and  $\sqrt{J} = \bigcap V(J)$ . The statement then follows immediately.  $\square$

**Definition 1.3.3.** Let  $R$  be a ring. We define the **Zariski** topology on  $X = \text{Spec } R$  by declaring the closed sets of  $X$  to be the  $V(I)$ . Moreover, we define the **structure sheaf** of  $X$ , denoted by  $\mathcal{O}_X$ , to be the sheaf of rings

$$\mathcal{O}_X(U) = \left\{ s : U \rightarrow \bigcup_{\mathfrak{p} \in U} R_{\mathfrak{p}} \mid \begin{array}{l} \forall \mathfrak{p} \in U, s(\mathfrak{p}) \in R_{\mathfrak{p}} \\ \exists \mathfrak{p} \in W \subseteq U \text{ open s.t. } \forall \mathfrak{q} \in W, s(\mathfrak{q}) = \frac{a}{b} \in R_{\mathfrak{q}} \end{array} \right\}$$

**Proposition 1.3.4.** Let  $R$  be a ring and  $X = \text{Spec } R$ . Then  $\mathcal{O}_X$  is indeed a sheaf.

*Proof.*  $\mathcal{O}_X$  is clearly a presheaf with the natural restriction homomorphisms. We just need to check the sheaf condition. To this end, let  $U \subseteq X$  be an open set and  $U = \bigcup_i U_i$  be an open cover of  $U$ . Suppose that  $s_i \in \mathcal{O}_X(U_i)$  such that  $s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}$  for all  $i, j$ . Define a function

$$\begin{aligned} s : U &\rightarrow \bigcup_{\mathfrak{p} \in U} R_{\mathfrak{p}} \\ \mathfrak{p} &\mapsto s_i(\mathfrak{p}) \end{aligned}$$

where  $i$  is chosen whenever  $\mathfrak{p} \in U_i$ . Then this function is well-defined as the  $s_i$  agree on overlaps. We claim that  $s$  is the desired section in the sheaf condition. Its restriction to  $U_i$  is clearly just  $s_i$  so we must have that  $s \in \mathcal{O}_X(U)$  and that such an  $s$  is unique.  $\square$

**Proposition 1.3.5.** Let  $R$  be a ring and  $X = \text{Spec } R$ . Then

$$\{D(b) = X \setminus V((b)) \mid b \in R\}$$

is a basis for the Zariski Topology on  $X$ .

*Proof.* It suffices to show that the  $D(b)$  are open in  $X$  and that any given any open set  $U \subseteq X$  and a prime  $x \in U$ , there exists a  $b \in R$  such that  $x \in D(b) \subseteq U$ .

Now, fix  $b \in R$ , it is immediate that  $D(b)$  is open as, by definition,  $D(b) = X \setminus V((b))$  and  $X \setminus D(b) = V((b))$  is closed.

Next, fix an open neighbourhood  $U \subseteq X$  and a prime  $\mathfrak{p} \in U$ . By definition,  $U = X \setminus V(I)$  for some ideal  $I \subseteq R$ . Moreover,  $\mathfrak{p}$  does not contain  $I$ . Choose any non-zero element  $b \in I$ . Then  $\mathfrak{p}$  does not contain  $(b)$  so that  $\mathfrak{p} \notin V((b))$  whence  $\mathfrak{p} \in X \setminus V((b)) = D(b)$ . By construction,  $D(b) \subseteq U$  thereby proving the proposition.  $\square$

**Theorem 1.3.6.** Let  $R$  be a ring and  $X = \text{Spec } R$ . Then

1.  $(\mathcal{O}_X)_{\mathfrak{p}} \cong R_{\mathfrak{p}}$  as local rings for all  $\mathfrak{p} \in X$ .

2.  $\mathcal{O}_X(D(b)) \cong R_b$  for all  $b \in R$ .
3.  $\mathcal{O}_X(X) \cong R$ .

*Proof.*

Part 1: Define a ring homomorphism

$$\begin{aligned} f : (\mathcal{O}_X)_{\mathfrak{p}} &\rightarrow R_{\mathfrak{p}} \\ [U, s] &\mapsto s(\mathfrak{p}) \end{aligned}$$

We claim that  $f$  is the desired local isomorphism. We must first check that  $f$  is well-defined. Suppose that  $[U, s] = [V, t]$ . Then, by the definition of a stalk, there exists an open neighbourhood  $\mathfrak{p} \in W \subseteq U \cap V$  such that  $s|_W = t|_W$ . It then follows that  $s(\mathfrak{p}) = t(\mathfrak{p})$ .

We now show that  $f$  is injective. Assume that  $f([U, s]) = s(\mathfrak{p}) = 0$ . By definition,  $s$  is given by some fraction  $a/b$  on some open neighbourhood  $\mathfrak{p} \in W \subseteq U$ . So  $s(\mathfrak{p}) = 0$  implies that there exists some  $c \notin \mathfrak{p}$  such that  $ca = 0$ . It then follows that we have  $a/b = 0$  in all local rings  $R_{\mathfrak{q}}$  such that  $b, c \notin \mathfrak{q}$ . Equivalently,  $\mathfrak{q} \in D(b) \cap D(c)$ . Then  $s$  is 0 on the neighbourhood of  $\mathfrak{p}$  given by  $D(b) \cap D(c) \cap W$  whence  $[U, s] = 0$  and  $f$  is injective.

We next show that  $f$  is surjective. Choose a fraction  $a/b \in R_{\mathfrak{p}}$ . Let  $U = D(b)$  and  $s \in \mathcal{O}_X(U)$  be given by  $a/b$ . Then, clearly,  $f([U, s]) = a/b$  as desired.

Finally, we must show that this in fact a local isomorphism. It suffices to show that the set

$$\mathfrak{m} = \{ [U, s] \mid f([U, s]) = s(\mathfrak{p}) \in \mathfrak{p}_{\mathfrak{p}} \}$$

is the unique maximal ideal of  $(\mathcal{O}_X)_{\mathfrak{p}}$ . Let  $I \triangleleft (\mathcal{O}_X)_{\mathfrak{p}}$  be an ideal not contained in  $\mathfrak{m}$ . We need to show that all elements of  $I$  are invertible. To this end, fix  $[U, s] \in (\mathcal{O}_X)_{\mathfrak{p}}$ . Then  $f([U, s]) = s(\mathfrak{p}) \notin \mathfrak{p}_{\mathfrak{p}}$  and is thus invertible in  $R_{\mathfrak{p}}$ . Let  $s(\mathfrak{p})^{-1}$  denote its inverse in  $R_{\mathfrak{p}}$ . Then since  $f$  is a ring isomorphism,  $f^{-1}(s(\mathfrak{p})^{-1})$  is an inverse for  $[U, s]$  in  $(\mathcal{O}_X)_{\mathfrak{p}}$  and we are done.

Part 2: Define a ring homomorphism

$$\begin{aligned} g : R_b &\rightarrow \mathcal{O}_X(D(b)) \\ \frac{a}{b^n} &\mapsto \left( \text{sections defined by } \frac{a}{b^n} \right) \end{aligned}$$

We claim that  $g$  is an isomorphism. We first show that it is injective. To this end, suppose that  $g(a/b^n) = 0$ . Then for all  $\mathfrak{p} \in D(b)$ ,  $a/b^n = 0$  in  $R_{\mathfrak{p}}$ . For such a  $\mathfrak{p}$  we have that there exists  $c_{\mathfrak{p}} \notin \mathfrak{p}$  such that  $c_{\mathfrak{p}}a = 0$ . Define  $I = (c_{\mathfrak{p}})_{\mathfrak{p} \in D(b)}$ . Then  $D(b) \cap V(I) = \emptyset$ . Indeed

$$\mathfrak{p} \in D(b) \implies c_{\mathfrak{p}} \notin \mathfrak{p} \implies I \not\subseteq \mathfrak{p} \implies \mathfrak{p} \notin V(I)$$

Hence  $V(I) \subseteq V((b))$  whence  $\sqrt{I} \supseteq \sqrt{(b)}$ . By definition of the radical, we thus have  $b^r \in I$  for some  $r \in \mathbb{N}$  so  $b^r = \sum_i d_i c_{\mathfrak{p}_i}$ . Multiplying by  $a$  we get

$$ab^r = \sum_i d_i a c_{\mathfrak{p}_i} = 0$$

And so  $a/b^n = 0$  in  $A_b$ .

We must now show that  $g$  is surjective. To this end, choose a section  $s \in \mathcal{O}_X(D(b))$  and let  $\{U_i\}_{i \in I}$  be an open cover of  $D(b)$ . Suppose that  $s|_{U_i}$  is given by some  $a_i/e_i$ . We may assume that each  $U_i = D(d_i)$  for some  $d_i \in R$ . From this we observe that  $D(d_i) \subseteq D(e_i)$

and so  $\sqrt{(d_i)} \subseteq \sqrt{(e_i)}$ . By the definition of the radical, we have  $d_i^{n_i} = c_i e_i$  for some  $n_i \in \mathbb{N}$  and  $c_i \in R$ . We may replace

$$\frac{a_i}{e_i} = \frac{c_i a_i}{c_i e_i} = \frac{c_i a_i}{d_i^{n_i}}$$

Noting that  $D(d_i) = D(d_i^{n_i})$  for all  $n_i$ , we may assume that  $U_i = D(e_i)$ . So then  $D(b) = \bigcup_i D(e_i)$  whence

$$V((b)) = \bigcap_i V((e_i)) = V\left(\sum_i (e_i)\right)$$

Again applying the radical identity we have  $\sqrt{(b)} = \sqrt{\sum(e_i)}$ . This implies that  $b^n = \sum_{\text{finite}} l_j e_j$  for some  $l_j \in R$ . Going back through the identities, we may then adjust the indexing so we have a finite union

$$D(b) = \bigcup_{\text{finite}} D(e_i)$$

Now by hypothesis,  $a_i/e_i$  and  $a_k/e_k$  define the same section on  $D(e_i) \cap D(e_j) = D(e_i e_k)$ . By Part 1, the homomorphism  $R_{e_i e_k} \rightarrow \mathcal{O}_X(D(e_i e_k))$  is injective and so  $a_i/e_i = a_k/e_k$  in  $R_{e_i e_k}$ . By definition of the ring of fractions, there exists an  $n' \in \mathbb{N}$  such that

$$(e_i e_k)^{n'} (a_i e_k - a_k e_i) = e_k^{n'+1} e_i^{n'} a_i - e_i^{n'+1} e_k^{n'} a_k = 0$$

for all  $i, k$ . By equivalence, we may then assume that  $a_i e_k = a_k e_i$ . From this it follows that

$$e_k \left( \sum_i l_i a_i \right) = \sum_i l_i a_i e_k = \sum_i l_i a_k e_i = a_k \sum_i l_i e_i = a_k b^n$$

and so

$$\frac{a_k}{e_k} = \sum_i \frac{l_i a_i}{b^n}$$

Hence  $s$  is given by  $\sum_i l_i a_i / b^n$  on  $D(b)$  and therefore  $g$  is surjective.

Part 3: This follows directly from Part 2 by taking  $b = 1$ .

□

## 1.4 Ringed Spaces

**Definition 1.4.1.** A **ringed space** is a pair  $(X, \mathcal{O}_X)$  where  $X$  is a topological space and  $\mathcal{O}_X$  is a sheaf of rings called the **structure sheaf** of  $X$ . We say that  $(X, \mathcal{O}_X)$  is a **locally ringed space** if  $(\mathcal{O}_X)_{\mathfrak{p}}$  are local rings for all  $\mathfrak{p} \in X$ .

**Definition 1.4.2.** Let  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  be ringed spaces. A **morphism**  $(f, \varphi) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  consists of

1. a continuous map  $f : X \rightarrow Y$ .
2. a morphism of sheaves  $\varphi : \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$ .

Furthermore, if  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  are locally ringed spaces then  $\varphi$  is a morphism of locally ringed spaces if the induced homomorphism

$$\begin{aligned} (\mathcal{O}_Y)_{\mathfrak{q}} &\rightarrow (\mathcal{O}_X)_{\mathfrak{p}} \\ [V, t] &\mapsto [f^{-1}V, s] \end{aligned}$$

is a local homomorphism for  $\mathfrak{q} = f(\mathfrak{p})$ . Finally, an **isomorphism** of (locally) ringed spaces is a morphism which has an inverse.

**Theorem 1.4.3.** *Let  $R$  and  $S$  be rings,  $(X = \text{Spec}(R), \mathcal{O}_X), (Y = \text{Spec}(S), \mathcal{O}_Y)$  ringed spaces and  $\alpha : R \rightarrow S$  a homomorphism of rings. Then*

1.  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  are locally ringed spaces.
2.  $\alpha$  induces a morphism  $(Y, \mathcal{O}_Y) \rightarrow (X, \mathcal{O}_X)$  of locally ringed spaces.
3. Any morphism of locally ringed spaces  $(Y, \mathcal{O}_Y) \rightarrow (X, \mathcal{O}_X)$  is induced by some ring homomorphism  $\alpha : R \rightarrow S$ .

*Proof.*

Part 1: This follows immediately from Theorem 1.3.6.

Part 2: We first define  $f : Y \rightarrow X$  by setting  $f(\mathfrak{p}) = \alpha^{-1}(\mathfrak{p})$  for  $\mathfrak{p} \in Y$ . It is easy to see that  $f$  is continuous. Indeed, given a closed set  $V(I)$ , its inverse image under  $f$  is simply  $V((\alpha I))$  which is again closed.

We now define  $\varphi$ . Recall that given  $\mathfrak{p} \in Y$  with  $\mathfrak{q} = f(\mathfrak{p})$  we have a local homomorphism

$$\begin{aligned} \alpha_{\mathfrak{p}} : R_{\mathfrak{q}} &\rightarrow S_{\mathfrak{p}} \\ \frac{a}{b} &\mapsto \frac{\alpha(a)}{\alpha(b)} \end{aligned}$$

Now, choose  $s \in \mathcal{O}_X(U)$  for some open  $U \subseteq X$ . Recall that  $s$  is a function

$$s : U \rightarrow \bigcup_{\mathfrak{q} \in U} R_{\mathfrak{q}}$$

Define a section  $t \in \mathcal{O}_X(f^{-1}U)$  by

$$\begin{aligned} t : f^{-1}U &\rightarrow \bigcup_{\mathfrak{p} \in f^{-1}U} S_{\mathfrak{p}} \\ \mathfrak{p} &\mapsto \alpha_{\mathfrak{p}}(s(f(\mathfrak{p}))) \end{aligned}$$

If  $s$  is locally given by  $a/b$  then  $t$  is locally given by  $\alpha(a)/\alpha(b)$ . This gives a morphism of sheaves

$$(f, \varphi) : (Y, \mathcal{O}_Y(U)) \rightarrow (X, \mathcal{O}_X(U))$$

as desired. Now, the homomorphism induced on stalks by  $\varphi$  is simply  $\alpha_{\mathfrak{p}}$  and so this is indeed a morphism of locally ringed spaces.

Part 3:

Suppose  $(f, \varphi) : (Y, \mathcal{O}_Y) \rightarrow (X, \mathcal{O}_X)$  is a morphism of locally ringed spaces. By Part 3 of Theorem 1.3.6, applying  $(f, \varphi)$  to the global section  $X$  yields a homomorphism of rings  $\alpha : R \rightarrow S$ . We claim that  $(f, \varphi)$  is induced by  $\alpha$ .

To show this, fix  $\mathfrak{p} \in Y$  and set  $\mathfrak{q} = f(\mathfrak{p})$ . Consider the commutative diagram

$$\begin{array}{ccc} R = \mathcal{O}_X(X) & \xrightarrow{\alpha} & \mathcal{O}_Y(Y) = S \\ \downarrow \beta & & \downarrow \gamma \\ R_{\mathfrak{q}} = (\mathcal{O}_X)_{\mathfrak{q}} & \xrightarrow{\alpha_{\mathfrak{p}}} & (\mathcal{O}_Y)_{\mathfrak{p}} = S_{\mathfrak{p}} \end{array}$$

From this we may read off

$$\mathfrak{q} = \beta^{-1}(\mathfrak{q}_{\mathfrak{q}}) = \beta^{-1}(\alpha_{\mathfrak{p}}^{-1}(\mathfrak{p}_{\mathfrak{p}})) = \alpha^{-1}(\gamma^{-1}(\mathfrak{p}_{\mathfrak{p}})) = \alpha^{-1}(\mathfrak{p})$$

whence  $f = \alpha^{-1}$ . To see that  $\varphi$  is also induced by  $\alpha$ , let  $U \subseteq X$  be an open set and  $\mathfrak{p} \in U$  with  $\mathfrak{q} = f(\mathfrak{p})$ . Consider the commutative diagram

$$\begin{array}{ccc} \mathcal{O}_X(U) & \xrightarrow{\varphi_U} & \mathcal{O}_Y(f^{-1}(U)) \\ \downarrow & & \downarrow \\ (\mathcal{O}_X)_{\mathfrak{q}} & \xrightarrow{\alpha_{\mathfrak{p}}} & (\mathcal{O}_Y)_{\mathfrak{p}} \end{array}$$

Fix a section  $s \in \mathcal{O}_X(U)$ . Then this section is determined by all the values  $s(\mathfrak{p}) \in \mathcal{O}_Y(f^{-1}(U))$ . The commutative diagram then makes it clear that  $\varphi$  is determined by  $\alpha$ .  $\square$

## 2 Schemes

### 2.1 Definitions

**Definition 2.1.1.** Let  $(X, \mathcal{O}_X)$  be a locally ringed space. We say that  $(X, \mathcal{O}_X)$  is an **affine scheme** if it is isomorphic to  $(X = \text{Spec}(R), \mathcal{O}_X)$  for some ring  $R$ . We say that  $(X, \mathcal{O}_X)$  is a **scheme** if for all  $x \in X$  there exists an open neighbourhood  $x \in U \subseteq X$  such that  $(U, \mathcal{O}_X|_U)$  is an affine scheme. A **morphism** of schemes  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  is a morphism between them as locally ringed spaces. We denote by  $\mathbf{Sch}(X)$  the category of schemes over  $X$  and their morphisms.

**Remark.** Henceforth, by an abuse of notation, an (affine) scheme  $(X, \mathcal{O}_X)$  will be written simply as  $X$ . The stalks  $(\mathcal{O}_X)_x$  shall be written as  $\mathcal{O}_{X,x}$  or simply  $\mathcal{O}_x$ .

**Example 2.1.2.** Let  $K$  be a field. Then  $X = \text{Spec}(K)$  is a scheme consisting of a single point (the only prime ideal of a field is the zero ideal). Furthermore, if  $L/K$  is a field extension then  $Y = \text{Spec}(L) \rightarrow X = \text{Spec}(K)$  is a morphism of schemes.

**Example 2.1.3.** Let  $R$  be a discrete valuation ring with maximal ideal  $\mathfrak{m}$ . Then  $\text{Spec}(R) = \{0, \mathfrak{m}\}$ . The stalks are given by  $\mathcal{O}_0 = R_0 = \text{Frac}(R)$  and  $\mathcal{O}_{\mathfrak{m}} = R_{\mathfrak{m}}$ .

**Example 2.1.4.** Let  $X = \text{Spec}(\mathbb{Z}) = \{0, (2), (3), (5), \dots\}$ . The stalk at  $x = 0$  is simply  $\mathbb{Q}$ . If  $x = (p)$  for some prime number  $p$  then  $\mathcal{O}_x = \mathbb{Z}_{(p)}$ . Note that if  $\mathfrak{m}_p$  is the maximal ideal of  $\mathbb{Z}_{(p)}$  then  $\mathbb{Z}_{(p)}/\mathfrak{m}_p \cong \mathbb{F}_p$ .

Furthermore, if  $R$  is any ring then the characteristic ring homomorphism

$$\begin{aligned} \mathbb{Z} &\rightarrow R \\ n &\mapsto n \cdot 1_R \end{aligned}$$

induces a morphism of schemes  $\text{Spec}(R) \rightarrow \text{Spec}(\mathbb{Z})$ .



**Definition 2.1.5.** Let  $R$  be a ring. We define **affine  $n$ -space** over  $R$ , denoted  $\mathbb{A}_R^n$ , to be

$$\mathbb{A}_R^n = \text{Spec}(R[t_1, \dots, t_n])$$

**Example 2.1.6** (Classical Algebraic Geometry). Let  $K$  be an algebraically closed field and  $I \triangleleft K[t_1, \dots, t_n]$  an ideal. Since  $K[t_1, \dots, t_n]$  is Noetherian, we have that  $I = (f_1, \dots, f_r)$  for some  $f_i \in K[t_1, \dots, t_n]$ . Consider the set

$$S = \{ (a_1, \dots, a_n) \mid a_i \in K, f_j(a_1, \dots, a_n) = 0 \forall j \}$$

Then there exists a one-to-one correspondence between  $S$  and the set of maximal ideals in  $K[t_1, \dots, t_n]$  containing  $I$  (in other words, ideals of the form  $(t_1 - a_1, \dots, t_n - a_n)$ ). classical algebraic geometry studies  $S$  whereas modern algebraic geometry studies  $\text{Spec } K[t_1, \dots, t_n]/I$ .

**Definition 2.1.7.** Let  $X$  be a scheme. We say that  $X$  is **irreducible** if for all non-empty open sets  $U, V \subseteq X$  we have  $U \cap V \neq \emptyset$ . Equivalently, if  $X = Y \cup Z$  for  $Y$  and  $Z$  closed then either  $X = Y$  or  $X = Z$ .

**Definition 2.1.8.** Let  $R$  be a ring. We say that  $R$  is **reduced** if  $\text{nil}(R) = 0$ . Furthermore, if  $X$  is a scheme, we say that  $X$  is **reduced** if for all open sets  $U \subseteq X$ ,  $\mathcal{O}_X(U)$  is reduced.

**Definition 2.1.9.** Let  $X$  be a scheme. We say that  $X$  is **integral** if for all open sets  $U \subseteq X$ ,  $\mathcal{O}_X(U)$  is an integral domain.

**Proposition 2.1.10.** *Let  $X = \text{Spec}(R)$  be an affine scheme for some ring  $R$ . Then*

1.  $X$  is irreducible if and only if  $\text{nil}(R)$  is a prime ideal of  $R$ .
2.  $X$  is reduced if and only if  $R$  is reduced.
3.  $X$  is irreducible and reduced if and only if  $R$  is an integral domain.

*Proof.*

Part 1: We have that  $X$  is irreducible if and only if  $X = V(I) \cup V(J)$  implies that  $X = V(I)$  or  $X = V(J)$ . Recall that  $V(I) \cup V(J) = V(IJ)$  and that  $\text{nil}(R)$  is the intersection of all prime ideals in a ring. From this we see that  $X$  is irreducible if and only if  $IJ \subseteq \text{nil}(R)$  implies that  $I \subseteq \text{nil}(R)$  or  $J \subseteq \text{nil}(R)$ . But this is exactly what it means for  $\text{nil}(R)$  to be prime.

Part 2: The forward direction is just by definition so assume that  $R$  is reduced. Let  $s \in \mathcal{O}_X(U)$  be nilpotent. Then for all  $x \in U$ , the image of  $s$  in  $\mathcal{O}_x = R_x$  is nilpotent. By hypothesis,  $R_x$  is reduced so  $s = 0$  in  $R_x$  for all  $x \in U$ . Since  $\mathcal{O}_X$  is a sheaf, it follows that  $s = 0$  in  $\mathcal{O}_X(U)$  whence  $\mathcal{O}_X(U)$  is reduced.

Part 3: We have that  $X$  is irreducible and reduced if and only if  $\text{nil}(R)$  is prime and  $\text{nil}(R) = 0$ . But this is equivalent to  $R$  being an integral domain. □

**Theorem 2.1.11.** *Let  $X$  be a scheme. Then  $X$  is integral if and only if it is irreducible and reduced.*

*Proof.* First suppose that  $X$  is integral. Then clearly  $X$  is reduced. Now assume that there exists open sets  $U, V \subseteq X$  such that  $U \cap V = \emptyset$ . Then  $\mathcal{O}_X(U \cup V) = \mathcal{O}_X(U) \oplus \mathcal{O}_X(V)$  since  $\mathcal{O}_X$  is a sheaf. But the direct sum of two non-zero rings can never be an integral domain which is a contradiction.

Conversely, suppose that  $X$  is irreducible and reduced. We first claim that for all open sets  $U \subseteq X$  and  $x \in U$ , there exists an open affine neighbourhood  $x \in W \subseteq U$ .

By the definition of a scheme, there exists an open affine  $V = \text{Spec}(R) \subseteq X$  such that  $x \in V$ . Then there exists  $b \in R$  such that  $x \in D(b) \subseteq U \cap V$ . Now, as schemes, we have that  $D(b) \cong \text{Spec}(R_b)$  so the claim is proved.

Now suppose that  $s, t \in \mathcal{O}_X(U)$  such that  $st = 0$  with  $s \neq 0$ . We need to show that  $t = 0$ . By the claim, we can cover  $U$  by open affine sets  $U = \bigcup V_i$  where  $V_i = \text{Spec}(R_i)$  for some ring  $R_i$ . Then for some  $i$ ,  $s|_{V_i} \neq 0$ . Since  $X$  is irreducible and reduced, so is  $V_i$ . Proposition 2.1.10 then implies that  $R_i$  is an integral domain and so

$$st|_{V_i} = s|_{V_i} \cdot t|_{V_i} = 0$$

implies that  $t|_{V_i} = 0$ . We claim that in fact  $t|_{V_j} = 0$  for all  $j$ .

Now,  $X$  is irreducible whence  $V_i \cap V_j \neq \emptyset$  for all  $j$ . Since  $t|_{V_i \cap V_j} = 0$ , we must then have that  $t = 0$  in  $\mathcal{O}_x$  for all  $x \in V_i \cap V_j$ . Note that  $\mathcal{O}_x \cong (R_j)_x$  and the natural inclusion

$$\begin{aligned} R_j &\rightarrow (R_j)_x \\ a &\mapsto \frac{a}{1} \end{aligned}$$

is injective. Since the image of  $t|_{V_j}$  is 0 under this map, it follows that  $t|_{V_j} = 0$  for all  $j$ . But  $\mathcal{O}_U$  is a sheaf whence  $t = 0$ . Hence  $\mathcal{O}_X(U)$  is an integral domain and  $X$  is integral.  $\square$

**Definition 2.1.12.** Let  $X$  be a scheme. We say that  $\eta \in X$  is **generic** if  $\overline{\{\eta\}} = X$ .

**Proposition 2.1.13.** Let  $X$  be an integral scheme. Then  $X$  has a unique generic point.

*Proof.* Let  $U$  be any affine open set  $U = \text{Spec}(R)$  for some ring  $R$ . We claim that  $\eta = 0 \triangleleft R$  is a generic point of  $U$ . Let  $I \triangleleft R$  be an ideal. Then  $V(I)$  clearly never contains the zero ideal unless  $I = 0$ . Since  $V(0) = \text{Spec}(R)$ , it follows that every non-empty open subset of  $U$  contains  $\eta$  which is exactly what it means for  $\eta$  to be dense in  $U$ . Now suppose that  $\eta'$  is any other generic point of  $U$ . Then, by definition,  $\eta' \in V$  for all non-empty open subsets of  $U$ . Then the only  $I$  such that  $\eta' \in V(I)$  is  $I = 0$ . Hence  $\eta'$  is a minimal prime ideal of  $R$ . Since  $X$  is integral, so is  $U$  when viewed as a scheme whence  $R$  is an integral domain. Since 0 is the unique minimal prime ideal of an integral domain, we must have that  $\eta' = 0 = \eta$  and so  $U$  has a unique generic point.

Now,  $X$  is integral and, in particular, it is irreducible. This is equivalent to every non-empty open subset of  $X$  being dense in  $X$ . Since  $\eta = 0$  is dense in all non-empty open subsets  $U$  when viewed as a scheme,  $\eta$  is thus also dense in  $X$  and we are done.  $\square$

**Proposition 2.1.14.** Let  $X$  be an integral scheme and  $\eta$  its unique generic point. Then  $\mathcal{O}_\eta$  is a field called the **function field** of  $X$  and denoted  $K(X)$ .

*Proof.* Let  $U \subseteq X$  be any affine open set where  $U = \text{Spec}(R)$ . Then  $\mathcal{O}_\eta = (\mathcal{O}_X)_\eta = (\mathcal{O}_U)_\eta = R_{(0)} = \text{Frac}(R)$ .  $\square$

**Definition 2.1.15.** Let  $X$  and  $Y$  be schemes and  $f : Y \rightarrow X$  a morphism. We say that  $f$  is an **open immersion** if  $U := f(Y)$  is open in  $X$  and  $f$  induces an isomorphism of locally ringed spaces  $(Y, \mathcal{O}_Y) \rightarrow (U, \mathcal{O}_X|_U)$ . An **open subscheme** of  $X$  is any open immersion of some scheme  $Y$  to  $X$ .

**Definition 2.1.16.** Let  $X$  and  $Z$  be schemes. A **closed immersion** is a morphism of schemes  $g : Z \rightarrow X$  such that

1.  $g(Z)$  is closed in  $X$ .
2.  $g$  induces a homeomorphism  $Z \rightarrow g(Z)$ .
3.  $\mathcal{O}_X \rightarrow g_*\mathcal{O}_Z$  is a surjection.

A **closed subscheme** of  $X$  is any closed immersion from some scheme  $Z$  into  $X$  up to the following equivalence relation. Two closed immersions  $g : Z \rightarrow X$  and  $g' : Z' \rightarrow X$  define the same closed subscheme if there exists an isomorphism  $h : Z \rightarrow Z'$  such that the diagram

$$\begin{array}{ccc} Z & & \\ h \downarrow & \searrow g & \\ Z' & \xrightarrow{g'} & X \end{array}$$

commutes.

**Example 2.1.17.** Let  $X = \text{Spec}(R)$  for some ring  $R$  and  $I \triangleleft R$  an ideal. Then  $R \rightarrow R/I$  gives a closed immersion  $\text{Spec}(R/I) \rightarrow \text{Spec}(R)$ .

## 2.2 Schemes Associated to Graded Rings

**Definition 2.2.1.** Let  $S$  be a ring. We say that  $S$  is **graded** if there exist a collection of rings  $\{S_d\}_{d \in \mathbb{N}}$  such that  $S = \bigoplus_{d \in \mathbb{N}} S_d$  and  $S_d S_c \subseteq S_{d+c}$ . If  $s_d \in S_d$  then we say that  $s_d$  is **homogeneous of degree  $d$** .

**Example 2.2.2.**  $\mathbb{C}[t_1, \dots, t_n]$  is a graded ring.

**Definition 2.2.3.** Let  $S = \bigoplus_{d \in \mathbb{N}} S_d$  be a graded ring and  $I \triangleleft S$  an ideal. We say that  $I$  is a **homogeneous ideal** if

$$I = \bigoplus_{d \in \mathbb{N}} I \cap S_d$$

**Proposition 2.2.4.** Let  $S = \bigoplus_{d \in \mathbb{N}} S_d$  be a graded ring and  $I, J \triangleleft S$  homogeneous ideals. Then  $I + J, IJ, I \cap J$  and  $\sqrt{I}$  are all homogeneous ideals.

*Proof.* We have that

$$I + J = \left( \bigoplus_{d \in \mathbb{N}} I \cap S_d \right) + \left( \bigoplus_{d \in \mathbb{N}} J \cap S_d \right) = \bigoplus_{d \in \mathbb{N}} (I + J) \cap S_d$$

A similar argument shows that  $IJ$  and  $I \cap J$  are also homogeneous ideals.

To show that  $\sqrt{I}$  is homogeneous, choose  $s \in \sqrt{I}$ . Then  $s^n \in I$  for some  $n \in \mathbb{N}$ . Without loss of generality, we may suppose that  $s^n$  is homogeneous of degree  $d$  with  $s^n \in I_d$ . Since  $I$  is homogeneous, we must have that  $s \in I_{d/n}$ . The elements of  $\sqrt{I}$  are thus homogeneous and we are done.  $\square$

**Proposition 2.2.5.** Let  $S = \bigoplus_{d \in \mathbb{N}} S_d$  be a graded ring and  $\mathfrak{p} \triangleleft S$  a homogeneous ideal. If for all homogeneous ideals  $I, J \triangleleft S$  we have that  $IJ \subseteq \mathfrak{p}$  implies  $I \subseteq \mathfrak{p}$  or  $J \subseteq \mathfrak{p}$  then  $\mathfrak{p}$  is prime.

*Proof.* Let  $a$  and  $b$  be elements (not necessarily homogeneous) such that  $ab \in \mathfrak{p}$ . Suppose that neither  $a$  nor  $b$  is in  $\mathfrak{p}$ . Let  $a = \sum_i a_i$  and  $b = \sum_j b_j$  be their homogeneous expansions. Since  $a \notin \mathfrak{p}$  and the terms in the expansion are eventually 0, there exists a maximum  $d$  such that  $a_d \notin \mathfrak{p}$ . Similarly, there exists a maximum  $e$  such that  $b_e \notin \mathfrak{p}$ .

Since  $ab \in \mathfrak{p}$ , all of its components are as well. The  $(d+e)^{th}$  component of  $ab$  is given by  $\sum_{i+j=d+e} a_i b_j$ . Each pair  $(i, j)$  except  $(d, e)$  must satisfy either  $i > d$  or  $j > e$ . The maximality of  $d$  and  $e$  then imply that each  $a_i b_j \in \mathfrak{p}$ . This then implies that  $a_i b_j \in \mathfrak{p}$ . By hypothesis, either  $a_i$  or  $b_j$  is in  $\mathfrak{p}$  which is a contradiction.  $\square$

**Definition 2.2.6.** Let  $S$  be a graded ring and  $\mathfrak{p} \triangleleft S$  a homogeneous prime ideal. We define the **homogeneous localisation** of  $S$  at  $\mathfrak{p}$  by

$$S_{(\mathfrak{p})} = \left\{ \frac{a}{b} \in S_{\mathfrak{p}} \mid a, b \text{ are homogeneous and have the same degree} \right\}$$

Similarly, given a homogeneous element of non-zero degree  $b \in S$  we define

$$S_{(b)} = \left\{ \frac{a}{b^r} \in S_b \mid a, b^r \text{ are homogeneous and have the same degree} \right\}$$

**Definition 2.2.7.** Let  $S = \bigoplus_{d \in \mathbb{N}} S_d$  be a graded ring and  $S_+ = \bigoplus_{d > 0} S_d$ . We define the **homogeneous spectrum** of  $S$  to be the set

$$\text{Proj}(S) = \{ \mathfrak{p} \triangleleft S \mid \mathfrak{p} \text{ is homogeneous and } S_+ \not\subseteq \mathfrak{p} \}$$

Furthermore, for all  $I \triangleleft S$ , define

$$V_+(S) = \{ \mathfrak{p} \in \text{Proj}(S) \mid I \subseteq \mathfrak{p} \}$$

**Lemma 2.2.8.** *Let  $S$  be a graded ring. Then*

1. *For all homogeneous ideals  $I, J \triangleleft S$  we have  $V_+(IJ) = V_+(I \cap J) = V_+(I) \cup V_+(J)$ .*
2. *For any family of homogeneous ideals  $I_\alpha$  of  $S$  we have  $V_+(\sum_\alpha I_\alpha) = \cap_\alpha V_+(I_\alpha)$ .*

*Proof.* Follows a similar argument to the affine case.  $\square$

**Definition 2.2.9.** Let  $S$  be a graded ring. We can define a topology on  $X = \text{Proj}(S)$  called the **Zariski topology** by taking the closed sets to be the  $V_+(I)$  for all  $I \triangleleft S$ . Moreover, we define the **structure sheaf** of  $X$ , denoted  $\mathcal{O}_X$  to be the sheaf of rings

$$\mathcal{O}_X(U) = \left\{ s : U \rightarrow \bigcup_{\mathfrak{p} \in U} S_{(\mathfrak{p})} \mid \begin{array}{l} \forall \mathfrak{p} \in U, s(\mathfrak{p}) \in S_{(\mathfrak{p})} \\ \exists \text{ open } W \subseteq U \text{ such that } \forall \mathfrak{q} \in W, \\ s(\mathfrak{q}) = \frac{a}{b} \in S_{(\mathfrak{q})} \text{ where } a, b \in S \text{ are homogeneous of the same degree} \end{array} \right\}$$

**Proposition 2.2.10.** *Let  $S$  be a graded ring and  $X = \text{Proj}(S)$ . Then*

$$\{ D_+(b) = X \setminus V_+(b) \mid b \in S \text{ homogeneous} \}$$

*is a basis for the Zariski topology on  $X$ .*

*Proof.* This is proven in a similar way to the affine case.  $\square$

**Theorem 2.2.11.** *Let  $S = \bigoplus_{d \in \mathbb{N}} S_d$  be a graded ring and  $X = \text{Proj}(S)$ . Then*

1.  $(\mathcal{O}_X)_{\mathfrak{p}} \cong S_{(\mathfrak{p})}$  for all  $\mathfrak{p} \in X$ .
2. For all homogeneous  $b \in S_+$  there exists a natural isomorphism of locally ringed spaces between  $D_+(b)$  and  $\text{Spec}(S_{(b)})$ .
3.  $(X, \mathcal{O}_X)$  is a scheme.

*Proof.*

Part 1: Similar argument to the affine case.

Part 2: First denote  $U_b := D_+(b)$  and  $Y := \text{Spec}(S_{(b)})$ . We shall construct an isomorphism of locally ringed spaces

$$(f, \varphi) : (U_b, \mathcal{O}_X|_{U_b}) \rightarrow (Y, \mathcal{O}_Y)$$

Note that we have natural homomorphisms of rings  $S \rightarrow S_b$  and  $S_{(b)} \hookrightarrow S_b$ . We use these to define  $f$  as follows:

$$\begin{aligned} f : U_b &\rightarrow Y \\ \mathfrak{p} &\mapsto \mathfrak{p}_b \cap S_{(b)} \end{aligned}$$

We first show that  $f$  is injective. Suppose that  $f(\mathfrak{p}) = f(\mathfrak{q})$  for some  $\mathfrak{p}, \mathfrak{q} \in U_b$ . We need to show that  $\mathfrak{p} = \mathfrak{q}$ . To this end, fix  $x \in \mathfrak{p}$ . Let  $x = \sum_i x_i$  be its homogeneous expansion. Since  $\mathfrak{q}$  is homogeneous, it suffices to show that each  $x_i \in \mathfrak{q}$ . By hypothesis, we have that

$$\mathfrak{p}_b \cap S_{(b)} = \mathfrak{q}_b \cap S_{(b)}$$

Now, we can always find  $n, r \in \mathbb{N}$  such that  $\deg(x_i^n) = \deg(b^r)$  so for such  $n$  and  $r$ , we have that  $x_i^n/b^r \in \mathfrak{p}_b \cap S_{(b)}$ . But then  $x_i^n/b^r \in \mathfrak{q}_b \cap S_{(b)}$ . This means that  $x_i^n \in \mathfrak{q}$ . Since  $\mathfrak{q}$  is prime, we thus have that  $x_i \in \mathfrak{q}$  and so  $\mathfrak{p} \subseteq \mathfrak{q}$ . A similar argument gives us the reverse inclusion whence  $f$  is injective.

We next show that  $f$  is surjective. Fix  $\mathfrak{q} \in Y = \text{Spec}(S_{(b)})$ . We need to exhibit  $\mathfrak{p} \in U_b = D_+(b)$  such that  $f(\mathfrak{p}) = \mathfrak{q}$ . Define

$$I_m = \left\{ a \in S_m \mid \frac{a^{\deg(b)}}{b^m} \in \mathfrak{q} \right\}$$

We claim that  $I = \bigoplus_{m \in \mathbb{N}} I_m$  is the desired element of  $U_b$ . We first show that  $I$  is an ideal. Let  $r, s \in I_m$ . Then clearly,

$$\frac{(r+s)^{2 \deg(b)}}{b^{2m}} \in \mathfrak{q}$$

Since  $\mathfrak{q}$  is prime, it then follows that

$$\frac{(r+s)^{\deg(b)}}{b^m} \in \mathfrak{q}$$

And so  $I_m$  is an abelian group. It then follows immediately that  $I$  is a homogeneous ideal. To see that it is a prime ideal, suppose that  $rs \in I$  for some homogeneous elements  $r, s \in S$ . Then

$$\frac{(rs)^{\deg(b)}}{b^{\deg(rs)}} = \frac{r^{\deg(b)} s^{\deg(b)}}{b^{\deg(r)} b^{\deg(s)}} = \frac{r^{\deg(b)}}{b^{\deg(r)}} \cdot \frac{s^{\deg(b)}}{b^{\deg(s)}}$$

From this we see that either  $r \in I$  or  $s \in I$  so  $I$  is prime. Now clearly,  $b \notin I$  so, indeed,  $I \in D_+(b)$ . It then follows immediately that  $f(I) = \mathfrak{q}$  thereby proving that  $f$  is bijective.

We now show that  $f$  is a homeomorphism. Note that  $D_+(b) \cap V_+(I)$  for homogeneous ideals  $I \triangleleft S$  are the closed sets of  $D_+(b)$ . Then

$$f(D_+(b) \cap V_+(I)) = V(I_b \cap S_{(b)})$$

The other direction is also clear so  $f$  is a homeomorphism.

We next show that there exists an isomorphism  $\varphi : \mathcal{O}_{U_b}(U) \rightarrow \mathcal{O}_Y(f(U))$  for all open sets  $U \subseteq U_b$ . Observe that by Part 1, we have isomorphisms

$$(\mathcal{O}_X)_{\mathfrak{p}} \cong S_{(\mathfrak{p})} \cong (S_{(b)})_{f(\mathfrak{p})} \cong (\mathcal{O}_Y)_{f(\mathfrak{p})}$$

where the middle isomorphism is given by

$$\frac{a}{c} \mapsto \frac{a}{1} / \frac{c}{1}$$

This then induces an isomorphism on the level of sections and we are done.

**Part 3:** This follows from Part 1 and Part 2. Note that the condition  $S_+ \not\subseteq \mathfrak{p}$  ensures that the open sets  $D_+(b)$  cover  $X = \text{Proj}(S)$ . □

**Example 2.2.12.** Let  $R$  be a ring and  $S = R[t_0, \dots, t_n]$ . Then  $S$  is a graded ring with homogeneous components  $S_d$  consisting of all homogeneous polynomials of degree  $d$ . We define  **$n$ -projective space** over  $R$  to be

$$\mathbb{P}_R^n = \text{Proj}(S)$$

The open sets  $D_+(t_0), \dots, D_+(t_n)$  cover  $\mathbb{P}_R^n$ . By the above Theorem, we have that

$$D_+(t_i) \cong \text{Spec}(S_{(t_i)}) \cong R \left[ \frac{t_0}{t_i}, \dots, \frac{t_n}{t_i} \right] \cong \text{Spec}(\mathbb{A}_R^n)$$

## 2.3 Fibred Products

**Proposition 2.3.1.** *Let  $X$  be a topological space. Then  $\text{Sch}(X)$  has pullbacks (fibred products). In other words, given a commutative diagram*

$$\begin{array}{ccc} Z & \longrightarrow & Y \\ \downarrow & & \downarrow g \\ W & \xrightarrow{f} & S \end{array}$$

*of schemes over  $X$ , there exists a unique scheme, denoted  $W \times_S Y$  such that we have a commutative diagram*

$$\begin{array}{ccccc} & & & & \\ & & & & \\ & & & & \\ & & & & \\ Z & \xrightarrow{\quad} & & & \\ & \searrow & & & \\ & & W \times_S Y & \longrightarrow & X \\ & & \downarrow & & \downarrow g \\ & & Y & \xrightarrow{f} & Z \end{array}$$

and a unique morphism of schemes  $Z \rightarrow WX_S Y$ . Categorically,  $W \times_S Y$  is universal amongst all schemes  $Z$  that complete the above diagram to a commutative diagram.

*Proof.* First suppose that all schemes involved are affine so that  $S = \text{Spec}(A)$ ,  $W = \text{Spec}(B)$  and  $Y = \text{Spec}(C)$  for some rings  $A, B$  and  $C$ . Let  $Z = \text{Spec}(D)$  for some ring  $D$ . A commutative diagram

$$\begin{array}{ccc} Z & \longrightarrow & Y \\ \downarrow & & \downarrow g \\ W & \xrightarrow{f} & S \end{array}$$

yields a commutative diagram of rings

$$\begin{array}{ccc} D & \longleftarrow & C \\ \uparrow & & \uparrow \\ B & \longleftarrow & A \end{array}$$

by reversing the direction of the arrows. By the universal property of tensor products, there exists a unique homomorphism of  $A$ -modules  $B \otimes_A C \rightarrow D$  such that the diagram

$$\begin{array}{ccccc} & & D & \longleftarrow & C \\ & & \uparrow & & \uparrow \\ & & B \otimes_A C & & A \\ & \swarrow & & \searrow & \\ B & & & & A \end{array}$$

commutes. Define  $X \times_S Y = \text{Spec}(B \otimes_A C)$ . Then we get a commutative diagram

$$\begin{array}{ccccc} & & Z & & \\ & & \downarrow & & \\ & & W \times_S Y & \longrightarrow & X \\ & & \downarrow & & \downarrow g \\ & & Y & \xrightarrow{f} & Z \end{array}$$

as desired. The proof of the general case is omitted.  $\square$

**Definition 2.3.2.** Let  $X$  and  $Y$  be schemes and  $f : X \rightarrow Y$  be morphisms. Given  $y \in Y$ , let  $\mathfrak{m}_y$  be the maximal ideal of  $\mathcal{O}_y$  and  $k(y) = \mathcal{O}_y/\mathfrak{m}_y$  the residue field of  $y$  in  $Y$ . We define the **fibre** of  $f$  over  $y$  to be

$$X_y = \text{Spec}(k(y)) \times_Y X$$

Furthermore, if  $Y$  is integral and  $\eta$  is the generic point of  $Y$  then we say that  $X_\eta$  is a **generic fibre** of  $f$ .

**Example 2.3.3.** Let  $R = \mathbb{C}[t_1, t_2, t_3]/(t_2 t_3 - t_1)$  and  $X = \text{Spec}(R)$ . The homomorphism of rings

$$\begin{array}{ccc} \mathbb{C}[u] & \rightarrow & R \\ u & \mapsto & [t_3] \end{array}$$

induces a morphism of schemes  $X \rightarrow Y = \text{Spec}(\mathbb{C}[u]) = \mathbb{A}_{\mathbb{C}}^1$ . Let  $y = (u - a) \triangleleft \mathbb{C}[u]$ . We have that

$$k(y) = \mathcal{O}_y/\mathfrak{m}_y \cong \frac{\mathbb{C}[u]_{(u-a)}}{(u-a)_{(u-a)}} \cong \mathbb{C}[u]_{(u-a)} \cong \mathbb{C}$$

The fibre  $X_y$  is given by

$$\begin{aligned} X_y &= \text{Spec} \left( \frac{\mathbb{C}[u]}{(u-a)} \otimes_{\mathbb{C}[u]} R \right) \\ &\cong \text{Spec} \left( \frac{R}{(u-a)R} \right) \\ &\cong \frac{\mathbb{C}[t_1, t_2]}{(at_2 - t_1^2)} \end{aligned}$$

In particular, if  $a = 0$ ,  $X_y = \text{Spec} \left( \frac{\mathbb{C}[t_1, t_2]}{(t_1^2)} \right)$  which is not reduced.

## 2.4 $\mathcal{O}_X$ -modules

**Definition 2.4.1.** Let  $(X, \mathcal{O}_X)$  be a ringed space and  $\mathcal{F}$  a sheaf of modules. We say that  $\mathcal{F}$  is an  **$\mathcal{O}_X$ -module** if for all open sets  $U \subseteq X$ ,  $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module and for all inclusions of open sets  $V \subseteq U$  and  $s \in \mathcal{O}_X(U), m \in \mathcal{F}(U)$  we have  $(sm)|_V = s|_V \cdot m|_V$ .

**Definition 2.4.2.** Let  $(X, \mathcal{O}_X)$  be a ringed space and  $\mathcal{F}, \mathcal{G}$  be  $\mathcal{O}_X$ -modules. A **morphism** of  $\mathcal{O}_X$ -modules  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of sheaves such that for all open sets  $U \subseteq X$ ,  $\mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is a homomorphism of  $\mathcal{O}_X(U)$ -modules.

**Remark.**

- If  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of  $\mathcal{O}_X$ -modules then  $\ker \varphi$  and  $\text{im } \varphi$  are  $\mathcal{O}_X$ -modules.
- If  $\mathcal{F}_i$  is a family of  $\mathcal{O}_X$ -modules then  $\bigoplus_i \mathcal{F}_i$  is an  $\mathcal{O}_X$ -module defined to be the sheafification of the presheaf given by  $\bigoplus \mathcal{F}_i(U)$ .
- If  $\mathcal{F}$  and  $\mathcal{G}$  are  $\mathcal{O}_X$ -modules then  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$  is an  $\mathcal{O}_X$ -module defined to be the sheafification of the presheaf given by  $\mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U)$ .
- If  $f : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is a morphism of ringed spaces and  $\mathcal{F}$  is an  $\mathcal{O}_X$ -module then  $f_*\mathcal{F}$  is an  $\mathcal{O}_Y$ -module.

**Definition 2.4.3.** Let  $X = \text{Spec}(R)$  be an affine scheme and  $M$  an  $R$ -module. We define the  $\mathcal{O}_X$ -module  $\widetilde{M}$  by

$$\widetilde{M}(U) = \left\{ s : U \rightarrow \prod_{\mathfrak{p} \in U} M_{\mathfrak{p}} \mid \begin{array}{l} \forall \mathfrak{p} \in U, s(\mathfrak{p}) \in M_{\mathfrak{p}} \\ \exists \text{ open } W \subseteq U \text{ such that } \forall \mathfrak{q} \in W, \\ s(\mathfrak{q}) = \frac{m}{a} \in M_{\mathfrak{q}} \text{ where } m \in M, a \in R \end{array} \right\}$$

**Theorem 2.4.4.** Let  $X = \text{Spec}(R)$  be an affine scheme and  $M$  an  $R$ -module. Then

1.  $\widetilde{M}$  is indeed an  $\mathcal{O}_X$ -module.
2.  $(\widetilde{M})_{\mathfrak{p}} \cong M_{\mathfrak{p}}$  for all  $\mathfrak{p} \in X$ .



$$3. \widetilde{M}(D(b)) \cong M_b.$$

$$4. \widetilde{M}(X) \cong M.$$

*Proof.* All proved in the same way as for the case where  $M = R$ . □

**Remark.** Let  $X = \text{Spec}(R)$ . If  $M \rightarrow N$  is a homomorphism of  $R$ -modules then we get a morphism of  $\mathcal{O}_X$ -modules  $\widetilde{M} \rightarrow \widetilde{N}$ . So if

$$0 \longrightarrow K \longrightarrow M \longrightarrow N \longrightarrow 0$$

is a complex of  $R$ -modules we then have a complex of sheaves

$$0 \longrightarrow \widetilde{K} \longrightarrow \widetilde{M} \longrightarrow \widetilde{N} \longrightarrow 0$$

Where the first complex is exact if and only if the second complex is exact. Indeed, the complex of  $R$ -modules is exact if and only if

$$0 \longrightarrow K_{\mathfrak{p}} \longrightarrow M_{\mathfrak{p}} \longrightarrow N_{\mathfrak{p}} \longrightarrow 0$$

is exact for all  $\mathfrak{p} \in X$ . This is exact if and only if

$$0 \longrightarrow \widetilde{K}_{\mathfrak{p}} \longrightarrow \widetilde{M}_{\mathfrak{p}} \longrightarrow \widetilde{N}_{\mathfrak{p}} \longrightarrow 0$$

is exact for all  $\mathfrak{p} \in X$ . This is exact if and only if the original complex of sheaves is exact.

**Definition 2.4.5.** Let  $f : X \rightarrow Y$  be a map of topological spaces and  $\mathcal{G}$  a sheaf on  $Y$ . We define the **inverse image** of  $\mathcal{G}$  under  $f$ , denoted  $f^{-1}\mathcal{G}$ , to be the sheafification of the presheaf given by

$$U \mapsto \varinjlim_{V \supseteq F(U)} \mathcal{G}(V)$$

where  $U \subseteq X$  is open.

**Remark.** Elements of the direct limit can be represented by equivalence classes of pairs  $[V, t]$  where  $f(U) \subseteq V$  and  $t \in \mathcal{G}(V)$  and the equivalence relation is given by  $(V, t) \sim (V', t')$  if and only if there exists an open  $f(U) \subseteq W \subseteq V \cap V'$  such that  $t|_W = t'|_W$ .

**Definition 2.4.6.** Let  $f : X \rightarrow Y$  be a morphism of ringed spaces and  $\mathcal{G}$  an  $\mathcal{O}_Y$ -module. We define the **pullback** of  $\mathcal{G}$  under  $f$ , denoted  $f^*\mathcal{G}$ , to be

$$f^*\mathcal{G} = \mathcal{O}_X \otimes_{f^{-1}\mathcal{O}_Y} f^{-1}\mathcal{G}$$

**Theorem 2.4.7.** Let  $\alpha : R \rightarrow S$  be a ring homomorphism and  $f : X = \text{Spec}(S) \rightarrow Y = \text{Spec}(R)$  the induced morphism of schemes.

1. If  $M$  and  $N$  are  $R$ -modules then

$$\widetilde{M} \otimes_{\mathcal{O}_Y} \widetilde{N} \cong \widetilde{M \otimes_R N}$$

2. If  $\{M_i\}$  is a family of  $R$ -modules then

$$\bigoplus \widetilde{M}_i = \widetilde{\bigoplus M_i}$$

3. If  $L$  is an  $S$ -module then  $f_*\widetilde{L} \cong \widetilde{R}L$  where  ${}_R L$  is  $L$  considered as an  $R$ -module via  $\alpha$ .
4. If  $M$  is an  $R$ -module then  $f^*\widetilde{M} \cong \widetilde{S \otimes_R M}$ .

*Proof.* We give the proof of Part 1. Part 2 is analogous and the others are omitted.

Let  $\mathcal{F}$  be the presheaf given by  $\mathcal{F}(U) = \widetilde{M}(U) \otimes_{\mathcal{O}_Y(U)} \widetilde{N}(U)$ . We shall construct an isomorphism of sheaves  $\varphi : \mathcal{F} \rightarrow \widetilde{M \otimes_R N}$ . Fix an open subset  $U \subseteq X$  and choose  $s \in \widetilde{M}(U)$  and  $t \in \widetilde{N}(U)$ . Define

$$\begin{aligned} r : U &\rightarrow \bigcup_{\mathfrak{p} \in U} (M \otimes_R N)_{\mathfrak{p}} = \bigcup_{\mathfrak{p} \in U} M_{\mathfrak{p}} \otimes_R N_{\mathfrak{p}} \\ \mathfrak{p} &\mapsto s(\mathfrak{p}) \otimes t(\mathfrak{p}) \end{aligned}$$

If  $s$  is locally given by  $m/a$  and  $t$  is locally given by  $n/b$  then  $r$  is locally given by  $(m \otimes n)/ab$ . Now, the mapping  $(s, t) \rightarrow r$  is bilinear and hence induces a homomorphism of  $R$ -modules

$$\varphi_U : \mathcal{F}(U) \rightarrow \widetilde{M \otimes_R N}(U)$$

This then induces a morphism of presheaves  $\varphi : \mathcal{F} \rightarrow \widetilde{M \otimes_R N}$  which in turn gives rise to a morphism of sheaves  $\varphi^+ : \mathcal{F}^+ \rightarrow \widetilde{M \otimes_R N}$ .

Given  $\mathfrak{p} \in X$ , we have that

$$\varphi_{\mathfrak{p}}^+ = \varphi_{\mathfrak{p}} : \mathcal{F}_{\mathfrak{p}} = M_{\mathfrak{p}} \otimes_{R_{\mathfrak{p}}} N_{\mathfrak{p}} \rightarrow \widetilde{M \otimes_R N}_{\mathfrak{p}} = (M \otimes_R N)_{\mathfrak{p}}$$

is an isomorphism at the level of stalks. This then implies that  $\varphi$  is an isomorphism and we are done.  $\square$

## 2.5 Quasi-coherent sheaves

**Definition 2.5.1.** Let  $X$  be a scheme and  $\mathcal{F}$  an  $\mathcal{O}_X$ -module. We say that  $\mathcal{F}$  is **quasi-coherent** if for all open affine  $U = \text{Spec}(R) \subseteq X$ ,  $\mathcal{F}|_U = \widetilde{M}$  for some  $R$ -module  $M$ . Furthermore, we say that  $\mathcal{F}$  is **coherent** if  $M$  can be chosen to be finitely generated over  $R$ .

**Example 2.5.2.** Let  $X$  be a scheme. Then  $\mathcal{O}_X$  is coherent. Indeed, for all open affine sets  $U = \text{Spec}(R)$  we have  $\mathcal{O}_X|_U = \widetilde{R}$ .

**Example 2.5.3.** Let  $R$  be a discrete valuation ring and set  $X = \text{Spec}(R) = \{0, \mathfrak{m}\}$ . Define an  $\mathcal{O}_X$ -module  $\mathcal{G}$  of  $X$  by setting  $\mathcal{F}(\{0\}) = \text{Frac}(R)$  and  $\mathcal{F}(X) = 0$ . Then  $\mathcal{G}$  is not quasi-coherent. Indeed, if  $U \subseteq X$  is open affine containing  $\mathfrak{m}$  then  $U = X$ . If  $\mathcal{G}$  were to be quasi-coherent, we would have that  $\mathcal{G} = \widetilde{M}$  for some  $R$ -module  $M$ . But then  $M = \mathcal{F}(X) = 0$  which is a contradiction.

**Lemma 2.5.4.** Let  $X = \text{Spec}(R)$  be an affine scheme and  $\mathcal{F}$  an  $\mathcal{O}_X$ -module. Let  $M = \mathcal{F}(X)$ . Then there exists a natural morphism of  $\mathcal{O}_X$ -modules  $f : \widetilde{M} \rightarrow \mathcal{F}$ .

*Proof.* For all  $a \in R$ , define a homomorphism

$$\begin{aligned} M_a &\rightarrow \mathcal{F}(D(a)) \\ \frac{m}{a^r} &\rightarrow \frac{1}{a^r} \cdot m|_{D(a)} \end{aligned}$$

This induces a morphism of  $\mathcal{O}_X$ -modules  $\widetilde{M} \rightarrow \mathcal{F}$ . Now, each open set  $U \subseteq X$  is covered by open sets of the form  $D(a_i)$ . For each section  $s \in \widetilde{M}(U)$ , consider images of  $s|_{D(a_i)}$  and glue them together to get a section in  $\mathcal{F}(U)$  and call it image of  $s$ .  $\square$

**Corollary 2.5.5.** *Let  $X = \text{Spec}(R)$  be an affine scheme and  $M$  an  $R$ -module. If  $a \in R$  then*

$$\widetilde{M}|_{D(a)} \cong \widetilde{M}_a$$

as  $\mathcal{O}_X$ -modules.

*Proof.* By Lemma 2.5.4, we have a morphism of  $\mathcal{O}_X$ -modules

$$\varphi : \widetilde{M}_a \rightarrow \widetilde{M}|_{D(a)}$$

Now, for all  $\mathfrak{p} \in D(a)$  we have that  $\varphi_{\mathfrak{p}} : (\widetilde{M}_a)_{\mathfrak{p}} \rightarrow (\widetilde{M}|_{D(a)})_{\mathfrak{p}}$  is an isomorphism. This implies that  $\varphi$  itself is an isomorphism.  $\square$

**Definition 2.5.6.** Let  $X$  be a scheme. We say that  $X$  is **Noetherian** if  $X$  can be covered by finitely many open affine subschemes  $U_1, \dots, U_r$  such that for all  $i$ ,  $U_i = \text{Spec}(R_i)$  for some Noetherian  $R_i$ .

**Theorem 2.5.7.** *Let  $X$  be a scheme and  $\mathcal{F}$  a quasi-coherent  $\mathcal{O}_X$ -module. If  $U = \text{Spec}(R) \subseteq X$  is open affine then  $\mathcal{F}|_U \cong \widetilde{M}$  for some  $R$ -module  $M$ . Furthermore, if  $X$  is Noetherian and  $\mathcal{F}$  is coherent,  $M$  can be chosen to be finitely generated.*

*Proof.* Fix an open affine set  $U = \text{Spec}(R) \subseteq X$ . By definition, for all  $x \in U$ , there exists an open affine neighbourhood of  $x$ ,  $V = \text{Spec}(B)$  such that  $\mathcal{F}|_V \cong \widetilde{N}$  for some  $B$ -module  $N$ . We can always find a  $b \in B$  such that  $x \in D_V(b)$  where  $D_V(b)$  is understood as taking the open set  $D(b)$  with respect to  $V$ . By the previous corollary, we have that  $\mathcal{F}|_{D(b)} \cong \widetilde{N}_b$  so we may assume that  $V \subseteq U$ . This allows us to replace  $X$  with  $U$  and so we can just suppose that  $X = \text{Spec}(R)$  is affine.

Write  $X = \bigcup D(a_i)$  as a finite union such that  $\mathcal{F}|_{D(a_i)} \cong \widetilde{M}_i$  for some  $R_{a_i}$ -module  $M_i$ . Now, denote  $f_i : D(a_i) \hookrightarrow X$ ,  $f_{ij} : D(a_i a_j) \hookrightarrow X$ ,  $\mathcal{G} = \bigoplus_i (f_i)_* \mathcal{F}|_{D(a_i)}$  and  $\mathcal{H} = \bigoplus_{i,j} (f_{ij})_* \mathcal{F}|_{D(a_i a_j)}$ . Consider the sequence of sheaves

$$0 \longrightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H}$$

where  $\varphi_U$  is the homomorphism given by  $s \mapsto (s|_{U \cap D(a_i)})_i$  and  $\psi_U$  is the homomorphism given by  $(s_i) \mapsto (s_i|_{U \cap D(a_i a_j)} - s_j|_{U \cap D(a_i a_j)})_{i,j}$ . Then the exactness of this sequence follows from the fact that  $\mathcal{F}$  is a sheaf.

Note that  $\mathcal{F}|_{D(a_i)} \cong \widetilde{M}_i$  and  $\mathcal{F}|_{D(a_i a_j)} \cong \widetilde{M}_{i,j}$  for some  $A_{a_i a_j}$ -module  $M_{i,j}$ . Moreover,  $(f_i)_* \widetilde{M}_i = {}_R \widetilde{M}_i$  and  $(f_{ij})_* \widetilde{M}_{i,j} = {}_R \widetilde{M}_{i,j}$ . The exact sequence is thus

$$0 \longrightarrow \mathcal{F} \xrightarrow{\varphi} \bigoplus_i {}_R \widetilde{M}_i \xrightarrow{\psi} \bigoplus_{i,j} {}_R \widetilde{M}_{i,j}$$

Taking global sections of the exact sequence, we thus have a second exact sequence

$$0 \longrightarrow \mathcal{F}(X) \xrightarrow{\varphi_X} \bigoplus_i {}_R M_i \longrightarrow \bigoplus_{i,j} {}_R M_{i,j}$$

Taking  $\sim$ , we then get an exact sequence

$$0 \longrightarrow \widetilde{\mathcal{F}}(X) \xrightarrow{\varphi_X} \bigoplus_i {}_R \widetilde{M}_i \longrightarrow \bigoplus_{i,j} {}_R \widetilde{M}_{i,j}$$

Hence  $\mathcal{F} \cong \ker \varphi \cong \widetilde{\mathcal{F}}$  and we are done. The statement for coherent  $\mathcal{O}_X$ -modules on Noetherian schemes follows by the same argumentation.  $\square$

**Theorem 2.5.8.** *Let  $X$  be a scheme and  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of quasi-coherent  $\mathcal{O}_X$ -modules. Then  $\ker \varphi$  and  $\operatorname{im} \varphi$  are quasi-coherent. Furthermore, if  $X$  is Noetherian and  $\mathcal{F}$  and  $\mathcal{G}$  are coherent then  $\ker \varphi$  and  $\operatorname{im} \varphi$  are coherent.*

*Proof.* Let  $U = \operatorname{Spec}(R) \subseteq X$  be an open affine set. By Theorem 2.5.7  $\mathcal{F}|_U \cong \widetilde{M}$  and  $\mathcal{G}|_U \cong \widetilde{N}$  for some  $R$ -modules  $M$  and  $N$ . Then  $\varphi$  induces a homomorphism of  $R$ -modules  $\beta : M = \mathcal{F}(U) \rightarrow N = \mathcal{G}(U)$ . Let  $K = \ker \beta$ . We have an exact sequence

$$0 \longrightarrow K \longrightarrow M \xrightarrow{\varphi} N$$

Passing to  $\sim$ , we get an exact sequence

$$0 \longrightarrow \widetilde{K} \longrightarrow \widetilde{M} \xrightarrow{\varphi|_U} \widetilde{N}$$

And so  $(\ker \varphi)|_U \cong \widetilde{K}$  and  $\ker \varphi$  is quasi-coherent. A similar argument proves the result for  $\operatorname{im} \varphi$  and the Noetherian case.  $\square$

**Theorem 2.5.9.** *Let  $f : X \rightarrow Y$  be a morphism of schemes,  $\mathcal{F}$  an  $\mathcal{O}_X$ -module and  $\mathcal{G}$  an  $\mathcal{O}_Y$ -module. We have that*

1. *if  $\mathcal{G}$  is quasi-coherent then  $f^*\mathcal{G}$  is quasi-coherent.*
2. *if  $\mathcal{G}$  is coherent then  $f^*\mathcal{G}$  is coherent.*
3. *if  $\mathcal{F}$  is quasi-coherent and*
  - *for all  $y \in Y$  there exists an open affine neighbourhood of  $y$   $W \subseteq Y$  such that  $f^{-1}W = \bigcup_{i=1}^n U_i$  for some open affine  $U_i$ .*
  - *for all  $i, j$ ,  $U_i \cap U_j = \bigcup_{k=1}^m U_{i,j,k}$  for some open affine  $U_{i,j,k}$ .*

*then  $f_*\mathcal{F}$  is quasi-coherent.*

*Proof.*

Part 1: Since quasi-coherency is a local property, we may assume that  $Y$  is affine. Then  $\mathcal{G}$  is given by some  $R$ -module  $M$ . If  $U = \operatorname{Spec}(B) \subseteq X$  is open affine, Theorem 2.4.7 implies that

$$f^*\mathcal{G}|_U \cong \widetilde{M \otimes_R B}$$

which is a  $B$ -module and so  $f^*\mathcal{G}$  is quasi-coherent.

Part 2: We follow the same argumentation as above. Since  $f^*\mathcal{G}$  is coherent,  $M$  is finitely generated over  $R$ . Hence  $M \otimes_R B$  is finitely generated over  $B$  and  $f^*\mathcal{G}$  is coherent.

Part 3: As usual, we may assume that  $Y$  is affine. Let  $f_i : U_i \hookrightarrow X$ ,  $f_{i,j,k} : U_{i,j,k} \hookrightarrow X$ ,  $\mathcal{G} = \bigoplus_{i=1}^n (f_i)_*(\mathcal{F}|_{U_i})$  and  $\mathcal{H} = \bigoplus_{i,j,k} (f_{i,j,k})_*(\mathcal{F}|_{U_{i,j,k}})$ . We then have a sequence of sheaves

$$0 \longrightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H}$$

where  $\varphi_U$  is given by  $s \mapsto (s|_{U_i})_i$  and  $\psi_U$  is given by  $(s_i)_i \mapsto (s_i|_{U_{i,j,k}} - s_j|_{U_{i,j,k}})$ . Then this sequence is exact since  $\mathcal{F}$  is a sheaf. Taking pushforwards yields an exact sequence

$$0 \longrightarrow f_*\mathcal{F} \xrightarrow{\varphi} f_*\mathcal{G} \xrightarrow{\psi} f_*\mathcal{H}$$

Note that

$$f_*\mathcal{G} = \bigoplus_i (f_*)(f_i)_*(\mathcal{F}|_{U_i})$$

and similarly for  $f_*\mathcal{H}$ . This implies that both  $f_*\mathcal{G}$  and  $f_*\mathcal{H}$  are quasi-coherent as they are both given by modules as a result of Theorem 2.4.7.  $f_*\mathcal{F}$  is thus the kernel of a morphism of quasi-coherent  $\mathcal{O}_X$ -modules whence Theorem 2.5.8 implies that  $f_*\mathcal{F}$  is quasi-coherent.  $\square$

**Definition 2.5.10.** Let  $X$  be a scheme. An **ideal sheaf**  $I$  of  $X$  is a subsheaf  $I \subseteq \mathcal{O}_X$ .

**Theorem 2.5.11.** *Let  $X$  be a scheme. Then there is a one-to-one correspondence between the quasi-coherent ideal sheaves of  $X$  and the closed subschemes of  $X$ . Moreover, if  $X$  is Noetherian then the same is true for coherent ideal sheaves.*

*Proof.* Let  $Y$  be a closed subscheme of  $X$  and let  $f : Y \rightarrow X$  be a representative closed immersion of  $Y$ . By definition, we have that  $f$  maps  $Y$  homeomorphically onto a closed subset of  $X$  and that the corresponding morphism of sheaves  $\varphi : \mathcal{O}_X \rightarrow f_*\mathcal{O}_Y$  is a surjection. Let  $\mathcal{I} = \ker \varphi$ . Then  $\mathcal{I}$  is clearly an ideal sheaf. We claim that  $\mathcal{I}$  is in fact quasi-coherent. Now,  $\mathcal{O}_X$  is itself quasi-coherent so by Theorem 2.5.9, it suffices to show that  $f_*\mathcal{O}_Y$  is quasi-coherent.

Assume that  $X = \text{Spec}(R)$  is affine. Let  $\{U_i\}$  be an open affine covering of  $Y$  and choose open affine  $W_i \subseteq X$  such that  $U_i = Y \cap W_i$  where  $Y$  is identified with a closed subset of  $X$  via  $f$ . We can cover  $X$  and, in particular, each  $W_i$ , by open affine sets of the form  $D(b)$  so that we have a family of elements  $\{b_\alpha\}$  such that for all  $\alpha$  either  $D(b_\alpha) \subseteq X \setminus Y$  or  $D(b_\alpha) \subseteq W_i$  for some  $i$ . Since  $X = \bigcup_\alpha D(b_\alpha)$ , we have that  $\sum(b_\alpha) = R$ . Indeed, if this weren't the case then  $\sum(b_\alpha)$  would be contained in some maximal ideal of  $R$  which is prime and thus not contained in any of the  $D(b_\alpha)$ .  $\sum(b_\alpha)$  is thus finitely generated as an ideal and we may assume that there are only finitely many of the  $b_\alpha$ , say  $b_1, \dots, b_n$ . Now, for all  $\alpha$ ,  $f^{-1}D(b_\alpha)$  is an open affine subscheme of some  $U_i$  and thus of  $Y$ . Furthermore,  $f^{-1}D(b_\alpha) \cap f^{-1}D(b_\beta) = f^{-1}D(b_\alpha b_\beta)$  and so the conditions of Part 3 of Theorem 2.5.9 are satisfied whence  $f_*\mathcal{O}_Y$  is quasi-coherent.

Conversely, let  $\mathcal{I} \subseteq \mathcal{O}_X$  be a quasi-coherent ideal sheaf. For all open affine sets  $U = \text{Spec}(R)$ , we have that  $\mathcal{I}|_U = \tilde{I}$  for some ideal  $I \triangleleft R$ . Indeed, the  $R$ -modules contained in  $R$  are exactly the ideals of  $R$ . We shall construct a corresponding closed subscheme of  $X$  locally. Given an open affine set  $U \subseteq X$  such that  $\mathcal{I}|_U = \tilde{I}$ , define  $Y_U = V_U(I) := \{\mathfrak{p} \in V(I) \mid \mathfrak{p} \in U\}$ . Let  $Y$  be the union of all such  $Y_U$ ; this set shall be the topological structure of the closed subscheme. We must first check that  $Y$  is well-defined - it is not yet clear that on  $U \cap U'$  this construction is independent of working with either  $U$  or  $U'$ . In other words, given open affine sets  $U = \text{Spec}(R), U' = \text{Spec}(R') \subseteq X$ , we must check that  $Y_U \cap U' = Y_{U'} \cap U$ . To this end, choose  $\mathfrak{p} \in Y_U \cap U'$ . Since  $U \cap U'$  is again affine, there exists some  $b' \in R'$  such that  $\mathfrak{p} \in D_{U'}(b') \subseteq U$ . Now,  $\mathcal{O}_{U'}(D_{U'}(b')) = R'_b$  and  $\mathcal{O}_U(U) = R$  so we get a homomorphism of rings  $\theta : R \rightarrow R'_b$ . On the other hand, we have the canonical homomorphism  $R' \rightarrow R'_b$ . Then  $\langle \theta(I) \rangle = I'_b$ . Hence if  $I \subseteq \mathfrak{p}$  then  $I'_b \subseteq \mathfrak{p}$  whence  $I \subseteq \mathfrak{p}$  so that  $\mathfrak{p} \in Y_{U'} \cap U$ . By symmetry, it then follows that  $Y_U \cap U' = Y_{U'} \cap U$  for all affine sets  $U, U' \subseteq X$ .

Let  $\mathcal{G}$  denote the sheafification of the presheaf given by  $U \mapsto \mathcal{O}_X(U)/\mathcal{I}(U)$ . Since  $Y \subseteq X$  is a closed subspace, it follows that  $\mathcal{G}|_{X \setminus Y} = 0$ . Hence  $\mathcal{G} = f_*\mathcal{O}_Y$  for some sheaf  $\mathcal{O}_Y$  where  $f : Y \hookrightarrow X$  is the inclusion.

In particular,  $\mathcal{O}_Y$  is given on open sets  $W \subseteq Y$  by writing  $Y = U \cap X$  for some open set  $U$  of  $X$  and setting  $\mathcal{O}_Y = \mathcal{G}(U)$ . This is well-defined since  $\mathcal{G}|_{X \setminus Y} = 0$ . Moreover, let

$x \in Y \subseteq X$ . Choose an affine set  $U \subseteq X$  so that  $U = \text{Spec } R$  and  $\mathcal{I}(U) = I \triangleleft R$ . Then  $(Y \cap U, \mathcal{O}_{Y \cap U}) = \text{Spec}(R/I)$  so that  $Y$  is a scheme. Hence by construction we have an exact sequence

$$0 \longrightarrow \mathcal{I} \longrightarrow \mathcal{O}_X \longrightarrow f_*\mathcal{O}_Y \longrightarrow 0$$

which implies that  $f : Y \hookrightarrow X$  is a closed immersion and so  $Y$  is a closed subscheme.  $\square$

## 2.6 Sheaves Associated to Graded Modules

**Definition 2.6.1.** Let  $S = \bigoplus_{d \geq 0} S_d$  be a graded ring and  $M$  an  $S$ -module. We say that  $M$  is **graded** if there exist a family of  $S$ -submodules of  $M$   $\{M_d\}_{d \in \mathbb{Z}}$  such that

$$M = \bigoplus_{d \in \mathbb{Z}} M_d$$

and  $S_d \cdot M_e \subseteq M_{d+e}$ .

**Definition 2.6.2.** Let  $X = \text{Proj}(S)$  be a projective scheme and  $M$  a graded  $S$ -module. We define the  $\mathcal{O}_X$ -module  $\widetilde{M}$  by

$$\widetilde{M}(U) = \left\{ s : U \rightarrow \bigcup_{\mathfrak{p} \in U} M_{\mathfrak{p}} \left| \begin{array}{l} \forall \mathfrak{p} \in U, s(\mathfrak{p}) \in M_{\mathfrak{p}} \\ \exists \text{ open } W \subseteq U \text{ such that } \forall \mathfrak{q} \in W, \\ s(\mathfrak{q}) = \frac{m}{a} \in M_{\mathfrak{q}} \text{ where } m \in M, a \in R \\ \text{are homogeneous of the same degree} \end{array} \right. \right\}$$

**Remark.** Let  $X = \text{Proj}(S)$  be a projective scheme. Then  $\mathcal{O}_X \cong \widetilde{S}$ .

**Theorem 2.6.3.** Let  $X = \text{Proj}(S)$  be a projective scheme. Then

1.  $(\widetilde{M})_{\mathfrak{p}} \cong M_{(\mathfrak{p})}$  for all  $\mathfrak{p} \in X$ .
2.  $\widetilde{M}|_{D_+(b)} \cong \widetilde{M}_{(b)}$  considered as a sheaf on  $\text{Spec}(S_{(b)})$  for all homogeneous  $b \in S_+$ .
3.  $\widetilde{M}$  is quasi-coherent.

*Proof.* The proof for Part 1 and Part 2 are the same as for the case of  $M = S$ . Part 3 is an immediate consequence of Part 2 since the open sets  $D_+(b)$  are a basis for  $X$ .  $\square$

**Definition 2.6.4.** Let  $S = \bigoplus_{d \geq 0} S_d$  be a graded ring and  $M = \bigoplus_{d \in \mathbb{Z}} M_d$  a graded  $S$ -module. Given  $n \in \mathbb{Z}$ , let  $M(\widetilde{n})$  be the graded  $S$ -module whose  $\text{deg } d$  piece is  $M_{d+n}$ . Moreover, if  $X = \text{Proj}(S)$  is a projective scheme and  $\mathcal{F}$  an  $\mathcal{O}_X$ -module, we define

$$\begin{aligned} \mathcal{O}_X(n) &= \widetilde{S(\widetilde{n})} \\ \mathcal{F}(n) &= \mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X(n) \end{aligned}$$

**Definition 2.6.5.** Let  $(X, \mathcal{O}_X)$  be a ringed space. An  $\mathcal{O}_X$  module  $\mathcal{L}$  is said to be **invertible** if for all  $x \in X$  there exists an open set  $x \in U$  such that  $\mathcal{L}|_U \cong \mathcal{O}_U$ .

**Theorem 2.6.6.**  $S = \bigoplus_{d \geq 0} S_d$  be a graded ring which is generated over  $S_0$  (as an  $S_0$ -algebra) by elements in  $S_1$  and  $M = \bigoplus_{d \in \mathbb{Z}} M_d, N = \bigoplus_{d \in \mathbb{Z}} N_d$  a graded  $S$ -modules. Then

1.  $\mathcal{O}_X(n)$  is invertible for all  $n \in \mathbb{Z}$ .

$$2. \widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N} = \widetilde{M \otimes_S N}.$$

$$3. \widetilde{M}(n) \cong \widetilde{M}(n).$$

$$4. \mathcal{O}_X(m) \otimes_{\mathcal{O}_X} \mathcal{O}_X(n) \cong \mathcal{O}_X(m+n) \text{ for all } m, n \in \mathbb{Z}.$$

*Proof.*

Part 1: Since  $S$  is generated over  $S_0$  by  $S_1$ , sets of the form  $D_+(b)$  with  $b \in S_1$  cover  $X$ . Hence, given  $b \in S_1$ , it suffices to show that  $\mathcal{O}_{D_+(b)}(n)$  is invertible for all  $n \in \mathbb{Z}$ .

To this end, fix  $b \in S_1$  and  $n \in \mathbb{Z}$ . We have

$$\mathcal{O}_X|_{D_+(b)} = \widetilde{S(n)}|_{D_+(b)} \cong \widetilde{S(n)}_{(b)}$$

Now, we have an isomorphism

$$\begin{aligned} S(n)_{(b)} &\rightarrow S_{(b)} \\ \frac{a}{b^r} &\mapsto \frac{a}{b^{r+n}} \end{aligned}$$

So that

$$\mathcal{O}_X(n)|_{D_+(b)} \cong \widetilde{S}_{(b)} \cong \mathcal{O}_{D_+(b)}$$

Part 2: We construct an isomorphism of  $\mathcal{O}_X$ -modules

$$\varphi : \widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N} \rightarrow \widetilde{M \otimes_S N}$$

Since  $S$  is generated over  $S_0$  as an  $S_0$ -algebra by elements of  $S_1$ , it suffices to define  $\varphi$  on open sets  $D_+(b)$  for  $b \in S_1$ . Observe that we have

$$\begin{aligned} (\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N})(D_+(b)) &\cong (\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N})|_{D_+(b)}(D_+(b)) \\ &= (\widetilde{M}_{(b)} \otimes_{D_+(b)} \widetilde{N}_{(b)})(D_+(b)) \\ &\cong M_{(b)} \otimes_{S_{(b)}} N_{(b)} \end{aligned}$$

Moreover, we have

$$\widetilde{M \otimes_S N}(D_+(b)) \cong (M \otimes_S N)_{(b)}$$

Now note that we have a canonical isomorphism

$$\begin{aligned} M_{(b)} \otimes_{S_{(b)}} N_{(b)} &\rightarrow (M \otimes_S N)_{(b)} \\ \frac{m}{b^n} \otimes \frac{n}{b^{n'}} &\mapsto \frac{m \otimes n}{b^{n+n'}} \end{aligned}$$

since the tensor product commutes with localisation. We can thus define  $\varphi_{D_+(b)}$  to be this isomorphism and we are done.

Part 3: By Part 2 we have

$$\begin{aligned} \widetilde{M}(n) &= \widetilde{M} \otimes_{\mathcal{O}_X} \mathcal{O}_X(n) \\ &= \widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{S}(n) \\ &\cong \widetilde{M \otimes_S S}(n) \end{aligned}$$

Now note that we have an isomorphism

$$\begin{aligned} M \otimes_S S(n) &\rightarrow M(n) \\ m \otimes a &\mapsto am \end{aligned}$$

so that

$$\widetilde{M}(n) \cong \widetilde{M \otimes_S S(n)} \cong \widetilde{M(n)}$$

Part 4: By Part 2 we have

$$\mathcal{O}_X(m) \otimes_{\mathcal{O}_X} \mathcal{O}_X(n) = \widetilde{S(m)} \otimes_{\mathcal{O}_X} \widetilde{S(n)} \cong \widetilde{S(m) \otimes_S S(n)}$$

Now note that we have an isomorphism

$$\begin{aligned} S(m) \otimes S(n) &\rightarrow S(m+n) \\ a \otimes b &\mapsto ab \end{aligned}$$

so that

$$\mathcal{O}_X(m) \otimes_{\mathcal{O}_X} \mathcal{O}_X(n) \cong \widetilde{S(m) \otimes_S S(n)} \cong \widetilde{S(m+n)}$$

□

**Lemma 2.6.7.** *Let  $X = \text{Proj}(T)$  and  $Y = \text{Proj}(S)$  be projective schemes and  $\alpha : S \rightarrow T$  a homomorphism of graded rings. Then  $\alpha$  induces a morphism of schemes  $f : U \rightarrow Y$  where*

$$U = \{ \mathfrak{p} \in \text{Proj}(T) \mid \alpha^{-1}(\mathfrak{p}) \in \text{Proj}(S) \}$$

*Moreover, if  $\alpha$  is surjective then this morphism is in fact a closed immersion  $f : X \rightarrow Y$ .*

*Proof.* Let  $S = \bigoplus_{d \geq 0} S_d$  and  $T = \bigoplus_{d \geq 0} T_d$  and define

$$\begin{aligned} f : U &\rightarrow Y \\ \mathfrak{q} &\mapsto \alpha^{-1}(\mathfrak{q}) \end{aligned}$$

which is well-defined since  $\alpha$  preserves degrees. To show that this map is continuous, it suffices to show that  $f^{-1}(D_+(b))$  is open for all homogeneous  $b \in S$ . But

$$f^{-1}(D_+(b)) = (\alpha^{-1})^{-1}(D_+(b)) = U \cap D_+(\alpha(b))$$

which is clearly open. We must now define a morphism of sheaves  $\varphi : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_U$ . To this end, we must provide a homomorphism of rings  $\varphi_V : \mathcal{O}_Y(V) \rightarrow (f_*\mathcal{O}_U)(V) = \mathcal{O}_U(f^{-1}V)$  for each open set  $V \subseteq Y$ . Once again, it suffices to provide a homomorphism of rings

$$\varphi_{D_+(b)} : \mathcal{O}_Y(D_+(b)) \rightarrow \mathcal{O}_U(f^{-1}(D_+(b))) = \mathcal{O}_U(U \cap D_+(\alpha(b))) = \mathcal{O}_X(U \cap D_+(\alpha(b)))$$

for each homogeneous  $b \in S$ . Observe that we have a natural homomorphism of rings

$$\mathcal{O}_Y(D_+(b)) = S_{(b)} \rightarrow T_{(\alpha(b))} = \mathcal{O}_X(D_+(\alpha(b)))$$

induced by  $\alpha$ . Composing this homomorphism with the restriction to  $U$  provides us with the desired homomorphism. To show that it is indeed a morphism of sheaves, we need to show that the diagram



$$\begin{array}{ccc}
\mathcal{O}_Y(V) & \longrightarrow & \mathcal{O}_Y(W) \\
\downarrow \varphi_V & & \downarrow \varphi_V \\
(f_*\mathcal{O}_U)(V) & \longrightarrow & (f_*\mathcal{O}_U)(W)
\end{array}$$

commutes. But this is clear by construction. If  $\alpha$  is surjective then  $U = X$  and we get a morphism of schemes  $f : X \rightarrow Y$ . Letting  $I = \ker \alpha$  we then have an exact sequence

$$0 \longrightarrow I \longrightarrow S \longrightarrow T \cong S/I \longrightarrow 0$$

which yields an exact sequence of sheaves

$$0 \longrightarrow \tilde{I} \longrightarrow \tilde{S} \longrightarrow \tilde{T} \longrightarrow 0$$

with  $\tilde{I}$  an ideal sheaf of  $\mathcal{O}_Y = \tilde{S}$ . We thus have a closed immersion  $f : X \rightarrow Y$  and so  $X$  is a closed subscheme of  $Y$ .  $\square$

**Theorem 2.6.8.** *Let  $S = \bigoplus_{d \geq 0} S_d$  and  $T = \bigoplus_{d \geq 0} T_d$  such that  $S$  is generated as an  $S_0$ -algebra by  $S_1$ . Let  $X = \text{Proj}(S)$  and  $Y = \text{Proj}(T)$  be the corresponding projective schemes and suppose we are given a surjective ring homomorphism  $\alpha : S \rightarrow T$  with  $f : Y \rightarrow X$  the corresponding morphism of schemes.*

1. *If  $L$  is a graded  $S$ -module then  $f^*\tilde{L} \cong \widetilde{L \otimes_S T}$ .*
2. *If  $K$  is a graded  $T$ -module then  $f_*\tilde{K} \cong \widetilde{{}_S K}$  where  ${}_S K$  is  $K$  considered as a graded  $S$ -module via  $\alpha$ .*

*In particular, we have  $f^*\mathcal{O}_X(n) \cong \mathcal{O}_Y(n)$  and  $f_*\mathcal{O}_Y(n) \cong (f_*\mathcal{O}_Y)(n) \cong (f_*\mathcal{O}_Y) \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$ .*

*Proof.* We shall construct a morphism of  $\mathcal{O}_X$ -modules  $\psi : f^*\tilde{L} \rightarrow \widetilde{L \otimes_S T}$ . It suffices to construct an isomorphism on open sets of the form  $D_+(c) \subseteq Y$  where  $c \in T_1$ . Let  $b \in S_1$  be such that  $\alpha(b) = c$ . Expanding definitions, we see that

$$\begin{aligned}
f^*(\tilde{L}(D_+(c))) &= f^*(\tilde{L}|_{D_+(b)})(D_+(c)) \\
&= f^*(\widetilde{L}_{(b)})(D_+(c)) \\
&= \widetilde{L_{(b)} \otimes_{S_{(b)}} T_{(c)}}(D_+(c)) \\
&\cong L_{(b)} \otimes_{S_{(b)}} T_{(c)}
\end{aligned}$$

On the other hand, we have

$$\widetilde{L \otimes_S T}(D_+(c)) = (L \otimes_S T)_{(c)}$$

Now, we have an isomorphism

$$\begin{array}{ccc}
L_{(b)} \otimes_{S_{(b)}} T_{(c)} & \rightarrow & (L \otimes_S T)_{(c)} \\
\frac{l}{b^r} \otimes \frac{t}{c^{r'}} & \mapsto & \frac{l \otimes t}{c^{r+r'}}
\end{array}$$

so we have an isomorphism  $\psi_{D_+(c)} : (f^*\tilde{L})(D_+(c)) \rightarrow \widetilde{L \otimes_S T}(D_+(c))$  which induces an isomorphism  $\psi_V$  for all open sets  $V \subseteq Y$  and so an isomorphism of  $\mathcal{O}_X$ -modules  $\psi$ . A similar argument proves that  $f_*\tilde{K} \cong \widetilde{{}_S K}$ . Finally,

$$f^*\mathcal{O}_X(n) \cong f^*\widetilde{S(n)} \cong \widetilde{S(n) \otimes_S T} = \widetilde{T(n)} = \mathcal{O}_Y(n)$$

via the isomorphism

$$\begin{aligned} S(n) \otimes_S T &\rightarrow T(n) \\ a \otimes t &\mapsto at \end{aligned}$$

and

$$f_*\mathcal{O}_Y(n) \cong f_*\widetilde{T(n)} \cong \widetilde{{}_S T(n)} \cong \widetilde{{}_S T \otimes_S S(n)} \cong f_*\mathcal{O}_X \otimes_{\mathcal{O}_X} \mathcal{O}_X(n)$$

via the isomorphism

$$\begin{aligned} {}_S T \otimes_S S(n) &\rightarrow {}_S T(n) \\ t \otimes a &\mapsto at \end{aligned}$$

□

## 3 Divisors and Differentials

### 3.1 Invertible Sheaves and Cartier Divisors

**Definition 3.1.1.** Let  $(X, \mathcal{O}_X)$  be a ringed space. We say that an  $\mathcal{O}_X$ -module  $\mathcal{F}$  is **locally free of rank  $n$**  if for all  $x \in X$  there exists an open  $x \in U \subseteq X$  such that

$$\mathcal{F}|_U \cong \bigoplus_{i=1}^n \mathcal{O}_U$$

Note that if  $n = 1$  then this is just the definition of an invertible  $\mathcal{O}_X$ -module.

**Definition 3.1.2.** Let  $(X, \mathcal{O}_X)$  be a ringed space and  $\mathcal{F}, \mathcal{G}$   $\mathcal{O}_X$ -modules. We define an  $\mathcal{O}_X$ -module  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  whose sections are given by

$$\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})(U) = \{ \varphi : \mathcal{F}|_U \rightarrow \mathcal{G}|_U \mid \varphi \text{ is a morphism of } \mathcal{O}_X\text{-modules} \}$$

**Proposition 3.1.3.** Let  $(X, \mathcal{O}_X)$  be a ringed space and  $\mathcal{F}, \mathcal{G}$   $\mathcal{O}_X$ -modules. Then  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  is indeed an  $\mathcal{O}_X$ -module.

*Proof.* We must first show that  $\mathcal{H} = \text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  is a sheaf of abelian groups. Indeed, fix an open set  $U \subseteq X$ . We define an abelian group structure on  $\mathcal{H}(U)$  as follows. Given two morphisms  $\varphi : \mathcal{F}|_U \rightarrow \mathcal{G}|_U$  and  $\psi : \mathcal{F}|_U \rightarrow \mathcal{G}|_U$  we define

$$(\varphi + \psi)|_V = \varphi|_V + \psi|_V$$

for all open sets  $V \subseteq U$ . This is a well-defined morphism  $(\varphi + \psi) : \mathcal{F} \rightarrow \mathcal{G}$  since  $\varphi$  and  $\psi$  are morphisms of sheaves. The identity morphism  $e : \mathcal{F}|_U \rightarrow \mathcal{G}|_U$  is given by the trivial morphism  $e_V : \mathcal{F}|_U(V) \rightarrow \mathcal{G}|_U(V)$ . Given a morphism  $\varphi : \mathcal{F}|_U \rightarrow \mathcal{G}|_U$ , its inverse  $\varphi^{-1} : \mathcal{F}|_U \rightarrow \mathcal{G}|_U$  is given pointwise by

$$\begin{aligned} \varphi_V^{-1} : \mathcal{F}|_U(V) &\rightarrow \mathcal{G}|_U(V) \\ s &\mapsto \varphi_V(s)^{-1} \end{aligned}$$

Hence  $\mathcal{H}(U)$  is indeed an abelian group for all open sets  $U \subseteq V$ . Now, given open sets  $U \subseteq V \subseteq X$ , we define the restriction morphisms  $|_V$  by sending a section  $\varphi : \mathcal{F}|_U \rightarrow \mathcal{G}|_U$  to  $\varphi|_V \in \text{Hom}_{\mathcal{O}_X}(\mathcal{F}|_V, \mathcal{G}|_V)$ .  $\mathcal{H}$  is thus a presheaf of abelian groups.

We next verify that  $\mathcal{H}$  is a sheaf. To this end, fix an open subset  $U \subseteq X$  and an open covering  $U = \bigcup_i U_i$ . Let  $\varphi_i \in \mathcal{H}(U_i)$  be sections such that  $\varphi_i|_{U_i \cap U_j} = \varphi_j|_{U_i \cap U_j}$ . We need to show that there exists a unique  $\varphi \in \mathcal{H}(U)$  such that  $\varphi|_{U_i} = \varphi_i$ . Observe that, given an open subset  $V \subseteq U$ ,  $A_i = V \cap U_i$  cover  $V$ . Now fix a section  $s \in \mathcal{F}|_U(V)$  and denote  $s_i = s|_{A_i}$ . For each  $i$  we have a morphism

$$\begin{aligned} \varphi_i|_{A_i} : \mathcal{F}|_U(A_i) &\rightarrow \mathcal{G}|_U(A_i) \\ s_i &\mapsto t_i \end{aligned}$$

By the compatibility of  $\varphi$  on overlaps, the  $t_i$  are also compatible on overlaps. Since  $\mathcal{G}|_U$  is a sheaf, there exists a unique  $t \in \mathcal{G}|_U(V)$  such that  $t|_{A_i} = t_i$  for each  $i$ . We can then define

$$\begin{aligned} \varphi_V : \mathcal{F}|_U(V) &\rightarrow \mathcal{G}|_U(V) \\ s &\mapsto t \end{aligned}$$

Now, by construction,  $\varphi|_{U_i} = \varphi_i$  and so  $\varphi$  is the desired section  $\varphi \in \mathcal{H}(U)$ . Hence  $\mathcal{H}$  is a sheaf of abelian groups.

It remains to show that  $\mathcal{H}$  is an  $\mathcal{O}_X$ -module. To this end we must show that, for all open subsets  $U \subseteq X$ ,  $\mathcal{H}(U)$  is an  $\mathcal{O}_X(U)$ -module. As we have shown, it is an abelian group so we just need to endow it with a  $\mathcal{O}_X(U)$ -module structure. Fix a section  $\varphi : \mathcal{F}|_U \rightarrow \mathcal{G}|_U$  and an element  $r \in \mathcal{O}_X(U)$ . Define  $r \cdot \varphi$  to be the morphism that is given pointwise by

$$\begin{aligned} (r \cdot \varphi)_V : \mathcal{F}|_U(V) &\rightarrow \mathcal{G}|_U(V) \\ s &\mapsto r|_V \cdot \varphi(s) \end{aligned}$$

To verify that this indeed gives us an  $\mathcal{O}_X(U)$ -module structure, fix  $\phi, \psi \in \mathcal{H}(U)$  and a section  $s \in \mathcal{F}|_U(V)$ . Then

$$\begin{aligned} (r \cdot (\varphi + \psi))|_V(s) &= r|_V \cdot (\varphi + \psi)(s) = r|_V \cdot (\varphi(s) + \psi(s)) = r|_V \cdot \varphi(s) + r|_V \psi(s) \\ &= (r \cdot \varphi)|_V + (r \cdot \psi)|_V \end{aligned}$$

The other module axioms follow similarly. □

**Lemma 3.1.4.** *Let  $(X, \mathcal{O}_X)$  be a ringed space and  $\mathcal{L}$  an invertible  $\mathcal{O}_X$ -module. Then  $\text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)$  is also an invertible  $\mathcal{O}_X$ -module.*

*Proof.* Fix  $x \in X$ . We need to exhibit an open neighbourhood  $x \in W \subseteq X$  such that  $\text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)|_W \cong \mathcal{O}_W$ . Since  $\mathcal{L}$  is invertible, there exists an open neighbourhood  $x \in W \subseteq X$  such that  $\mathcal{L}|_W \cong \mathcal{O}_W$ . Then

$$\text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)|_W = \text{Hom}_{\mathcal{O}_W}(\mathcal{L}|_W, \mathcal{O}_W) \cong \text{Hom}_{\mathcal{O}_W}(\mathcal{O}_W, \mathcal{O}_W) = \mathcal{O}_W$$

so  $W$  is a suitable choice of neighbourhood. □

**Theorem 3.1.5.** *Let  $(X, \mathcal{O}_X)$  be a ringed space. Then the set of invertible sheaves (up to isomorphism) on  $X$  is an abelian group called the **Picard group** of  $X$  and denoted  $\text{Pic}(X)$ .*

*Proof.* We define the group operation on  $\text{Pic}(X)$  to be the tensor product of  $\mathcal{O}_X$ -modules which is clearly a commutative binary operation. We first check that, given  $\mathcal{L}, \mathcal{M} \in \text{Pic}(X)$  we have  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{M} \in \text{Pic}(X)$ . Indeed for all  $x \in X$  there exists an open neighbourhood  $x \in U \subseteq X$  such that  $\mathcal{L}|_U = \mathcal{O}_U$  and an open neighbourhood  $x \in V \subseteq X$  such that  $\mathcal{M}|_V = \mathcal{O}_V$ . Let  $W = U \cap V$ . Then

$$(\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{M})|_W \cong \mathcal{O}_W \otimes_{\mathcal{O}_W} \mathcal{O}_W \cong \mathcal{O}_W$$

The identity element is clearly  $\mathcal{O}_X$  since

$$\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{O}_X \cong \mathcal{L}$$

Given  $\mathcal{L} \in \text{Pic}(X)$ , we claim that the inverse of  $\mathcal{L}$  is given by  $\mathcal{L}^{-1} = \text{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)$ . To this end, we shall construct an isomorphism of  $\mathcal{O}_X$ -modules  $\varphi : \mathcal{L}^{-1} \otimes_{\mathcal{O}_X} \mathcal{L} \rightarrow \mathcal{O}_X$ . We define  $\varphi$  pointwise by

$$\begin{aligned} \varphi_U : \mathcal{L}^{-1}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{L}(U) &\rightarrow \mathcal{O}_X(U) \\ \psi \otimes t &\mapsto \psi_U(t) \end{aligned}$$

Since for every  $x \in X$  we can find an open neighbourhood  $x \in W \subseteq X$  such that  $\mathcal{L}|_W \cong \mathcal{O}_W \mathcal{L}^{-1}|_W$ , we get an induced isomorphism of stalks so  $\phi$  must be an isomorphism.

Finally, the associativity of the binary operation is immediate from the associativity of tensor products of modules.  $\square$

**Definition 3.1.6.** Let  $X$  be an integral scheme,  $\eta$  its unique generic point and  $K = \mathcal{O}_\eta$  its function field so that we have an injective ring homomorphism  $\mathcal{O}_X(U) \hookrightarrow K$  for all open  $U \subseteq X$ . We define a **Cartier divisor** to be a system of the form  $\{(U_i, f_i)\}_{i \in I}$  where the  $U_i$  give an open covering of  $X$  and  $f_i \in K$  is such that  $f_i/f_j$  and  $f_j/f_i$  are both in  $\mathcal{O}_X(U_i \cap U_j)$ .

We define an equivalence relation  $\sim$  on the set of all Cartier divisors by declaring that  $(U_i, f_i) \sim (U_\alpha, g_\alpha)$  if and only if for all  $i, \alpha$  we have that  $f_i/g_\alpha$  is invertible in  $\mathcal{O}_X(U_i \cap V_\alpha)$ .

A Cartier divisor  $D$  is said to be **principal** if it is represented by a single pair  $(X, f)$  for some  $f \in K$ . In this case, we write  $D \sim 0$ . Given two Cartier divisors  $E$  and  $F$  represented by  $(U_i, f_i)$  and  $(V_\alpha, g_\alpha)$  respectively, we define  $E + F$  to be the divisor given by the system  $U_i \cap V_\alpha, f_i g_\alpha$  and  $-E$  the divisor given by the system  $(U_i, 1/f_i)$ . If  $E - F \sim 0$  then we write  $E \sim F$ .

We define the **Cartier divisor class group**, denoted  $\text{Div}(X)$ , to be the free abelian group on the set of Cartier divisors modulo the equivalence relation  $\sim$ .

**Definition 3.1.7.** Let  $X$  be an integral scheme and  $K$  its function field. Given a Cartier divisor  $D = (V_i, f_i)$ , we define an  $\mathcal{O}_X$ -module

$$\mathcal{O}_X(D)(U) = \{h \in K \mid hf_i \in \mathcal{O}_X(U \cap V_i)\}$$

**Lemma 3.1.8.** *Let  $X$  be an integral scheme and  $K$  its function field. Let  $D$  be a Cartier divisor for  $X$ . Then  $\mathcal{O}_X(D)$  is indeed an  $\mathcal{O}_X$ -module.*

*Proof.* We must first show that this definition is independent of the choice of representative of  $D$ . Indeed, let  $D = (V_i, f_i)$  and  $D' = (W_\alpha, g_\alpha)$  be two representatives of  $D$  (slightly abusing notation). We want to show that  $\mathcal{O}_X(D) = \mathcal{O}_X(D')$ . Fix an open set  $U \subseteq X$  and  $h \in \mathcal{O}_X(D)(U)$ . By definition,  $h$  is an element of  $K$  such that  $hf_i \in \mathcal{O}_X(U \cap V_i)$  for all  $i$ . Since  $D$  and  $D'$  define the same divisor, we have that  $f_i/g_\alpha$  is invertible in  $\mathcal{O}_X(U_i \cap V_\alpha)$  for all  $i, \alpha$ . Then

$$\begin{aligned} hf_i \in \mathcal{O}_X(U \cap V_i) &\implies hf_i \cdot \frac{g_\alpha}{f_i} \in \mathcal{O}_X(U \cap V_i \cap W_\alpha) \text{ for all } i, \alpha \\ &\implies hg_\alpha \in \mathcal{O}_X(U \cap W_\alpha) \text{ for all } \alpha \\ &\implies h \in \mathcal{O}_X(D')(U) \end{aligned}$$

Hence  $\mathcal{O}_X(D) \subseteq \mathcal{O}_X(D')$ . By symmetry it then follows that  $\mathcal{O}_X(D) = \mathcal{O}_X(D')$ .

It is clear that  $\mathcal{O}_X(D)(U)$  is an abelian group under addition and that it inherits the restriction morphisms from  $\mathcal{O}_X$  and is thus a presheaf. To see that it is a sheaf, let  $U = \bigcup_i U_i$  be an open cover and  $h_i \in \mathcal{O}_X(D)(U_i)$  such that  $h_i|_{U_i \cap U_j} = h_j|_{U_i \cap U_j}$ . We need to show that there exists a unique  $h \in \mathcal{O}_X(D)(U)$  such that  $h|_{U_i} = h_i$ . Fixing  $m$ , observe that  $\{U_i \cap V_m\}_{i \in I}$  is an open cover of  $U \cap V_m$ . Then  $h_i f_m$  are compatible on overlaps since the  $h_i$  are. Since  $\mathcal{O}_X$  is a sheaf, there exists a unique  $h' \in \mathcal{O}_X(U \cap V_m)$  such that  $h'|_{U_i} = h_i f_m$ . Defining  $h = h' f_m^{-1} \in K$  shows that  $h f_m \in \mathcal{O}_X(U \cap V_m)$ . Indeed, if this were not the case then we would have that  $(h f_m)|_{U_i} = h_i f_m \notin \mathcal{O}_X(U_i \cap V_m)$  which is a contradiction. Now by the definition of a Cartier divisor, we have

$$\begin{aligned} h f_m \in \mathcal{O}_X(U \cap V_m) &\implies h f_m \cdot \frac{f_{m'}}{f_m} \in \mathcal{O}_X(U \cap V_m \cap V_{m'}) \\ &\implies h f_{m'} \in \mathcal{O}_X(U \cap V_{m'}) \end{aligned}$$

so that  $h \in \mathcal{O}_X(D)(U)$ . Finally,  $\mathcal{O}_X(D)$  clearly inherits an  $\mathcal{O}_X$ -module structure as a subset of  $K = \mathcal{O}_X(U)$ .  $\square$

**Theorem 3.1.9.** *Let  $X$  be an integral scheme and  $K$  its function field. If  $D$  and  $E$  are Cartier divisors on  $X$  then*

1.  $\mathcal{O}_X(D)$  is invertible.
2.  $\mathcal{O}_X(D) \otimes_{\mathcal{O}_X} \mathcal{O}_X(E) \cong \mathcal{O}_X(D + E)$ .
3.  $\mathcal{O}_X(-D) \cong \mathcal{O}_X(D)^{-1}$ .
4.  $D \sim E$  if and only if  $\mathcal{O}_X(D) \cong \mathcal{O}_X(E)$ .

*Proof.*

Part 1: Suppose that  $D$  is represented by  $(U_i, f_i)$ . We have isomorphisms

$$\mathcal{O}_X(D)|_{U_i} \cong \mathcal{O}_{U_i} \cdot \frac{1}{f_i} \cong \mathcal{O}_{U_i}$$

Part 2: Define an isomorphism

$$\begin{aligned} \psi_U : \mathcal{O}_X(D)(U) \otimes_{\mathcal{O}_X(U)} \mathcal{O}_X(E)(U) &\rightarrow \mathcal{O}_X(D + E)(U) \\ h \otimes h' &\mapsto hh' \end{aligned}$$

on open sets  $U \subseteq X$ . To see that this is well-defined, suppose that  $(U_i, f_i)$  represents  $D$  and  $(V_\alpha, g_\alpha)$  represents  $E$ . Since  $h \in \mathcal{O}_X(D)$  we have  $h f_i \in \mathcal{O}_X(U \cap U_i)$  for all  $i$ . Similarly,  $h' \in \mathcal{O}_X(E)$  so that  $h' g_\alpha \in \mathcal{O}_X(U \cap V_\alpha)$  for all  $\alpha$ . Then  $hh' f_i g_\alpha \in \mathcal{O}_X(U \cap U_i \cap V_\alpha)$  for all  $i$  and  $\alpha$ . Hence  $hh' \in \mathcal{O}_X(D + E)(U)$ .

Now, all  $\mathcal{O}_X$ -modules are invertible so we can find a common open set  $U$  such that

$$\mathcal{O}_X(D)|_U \cong \mathcal{O}_X(E)|_U \cong \mathcal{O}_X(D_E)|_U \cong \mathcal{O}_X|_U$$

Hence we have an induced isomorphism of stalks for every  $x \in X$  whence they must be isomorphic.

Part 3: By the previous Part, we have

$$\mathcal{O}_X(-D) \otimes_{\mathcal{O}_X} \mathcal{O}_X(D) \cong \mathcal{O}_X(-D + D) \cong \mathcal{O}_X(0) \cong \mathcal{O}_X$$

But inverses are unique in  $\text{Pic}(X)$  so we must have that  $\mathcal{O}_X(-D) \cong \mathcal{O}_X(D)^{-1}$ .

**Part 4:** It suffices to show that  $D \sim 0$  if and only if  $\mathcal{O}_X(D) \cong \mathcal{O}_X$ . To this end, first suppose that  $D \sim 0$  so that  $D$  is represented by  $(X, f)$ . Then  $\mathcal{O}_X(D) \cong \mathcal{O}_X \cdot \frac{1}{f} \cong \mathcal{O}_X$ .

Conversely, suppose that we have an isomorphism  $\varphi : \mathcal{O}_X \rightarrow \mathcal{O}_X(D)$  and that  $D$  is represented by  $(U_i, f_i)$ . Let  $f \in \mathcal{O}_X(D)(X)$  be the image of  $1 \in \mathcal{O}_X(X)$  under  $\varphi_X$ . Then  $\mathcal{O}_X(D)|_U = \mathcal{O}_U \cdot \frac{1}{f}$ .

On the other hand,  $\mathcal{O}_X(D)|_{U_i} = \mathcal{O}_{U_i} \cdot \frac{1}{f_i}$ . Hence  $f/f_i$  is invertible in  $\mathcal{O}_X(U_i)$  for all  $i$  so that  $D$  is represented by  $(X, f)$  whence  $D \sim 0$ . □

**Remark.** This Theorem provides an injection  $\text{Div}(X) \rightarrow \text{Pic}(X)$ .

## 3.2 Differential Forms

**Definition 3.2.1.** Let  $R$  be a ring and  $S$  an  $R$ -algebra. For all  $s \in S$  let  $ds$  be a symbol and  $X$  the free  $S$ -module generated by the  $ds$ . Let  $L$  be the  $S$ -submodule generated by the relations

1.  $dr, r \in R$
2.  $d(s + t) - ds - dt, s, t \in S$
3.  $d(st) - tds - sdt, s, t \in S$

We define the **module of relative differential forms** of  $S$  over  $R$  to be  $\Omega_{S/R} = X/L$ .

**Remark.** Let  $M$  be an  $S$ -module and  $\alpha : S \rightarrow M$  a homomorphism such that

- $\alpha(r) = 0$  for all  $r \in R$
- $\alpha(s + t) = \alpha(s) + \alpha(t)$
- $\alpha(st) = t\alpha(s) + s\alpha(t)$

Then  $\alpha$  necessarily factors uniquely through  $\Omega_{S/R}$ .

**Example 3.2.2.** Let  $S = R[t_1, \dots, t_n]$  for some commutative ring  $R$ . Then  $dt_1, \dots, dt_n$  generate  $\Omega_{S/R}$  where  $d(t_1 t_2) = t_2 dt_1 + t_1 dt_2$ . In fact,  $dt_1, \dots, dt_n$  generate  $\Omega_{S/R}$  freely. Indeed, define a homomorphism

$$\alpha : S \rightarrow M = \bigoplus_{i=1}^n S \cdot dt_i$$

$$f \mapsto \sum_{i=1}^n \frac{\partial f}{\partial t_i} dt_i$$

then  $\alpha(t_i) = dt_i$ . The universal property of  $\Omega_{S/R}$  then implies that  $\alpha$  factors uniquely through  $\Omega_{S/R}$ , say via  $\beta : \Omega_{S/R} \rightarrow M$ .  $\beta$  is necessarily surjective and  $M$  is free so it is in fact an isomorphism.

**Definition 3.2.3.** Let  $f : X \rightarrow Y$  be a morphism of affine schemes where  $X = \text{Spec}(S)$  and  $Y = \text{Spec}(R)$ . Let  $\alpha : R \rightarrow S$  be the homomorphism of rings that induces  $f$  and consider  $S$  as an  $R$ -algebra via  $\alpha$ . We define the **sheaf of relative differential forms** of  $Y$  over  $X$  to be  $\widetilde{\Omega_{S/R}}$ .

If  $X$  and  $Y$  are arbitrary schemes then we may take an affine open cover  $Y = \bigcup_i V_i$  and cover  $f^{-1}V_i$  with affine schemes as  $f^{-1}V_i = \bigcup_j U_{i,j}$ . We then define  $\Omega_{U_{i,j}/V_i}$  as above and glue them together to define a global sheaf  $\Omega_{X/Y}$ .

**Example 3.2.4.** Let  $R$  be a ring,  $S = R[t_1, \dots, t_n]$ ,  $X = \mathbb{A}_R^n = \text{Spec}(S)$  and  $Y = \text{Spec}(R)$ . Let  $f : X \rightarrow Y$  be the morphism of schemes induced by the ring homomorphism

$$\begin{aligned} \alpha : R &\rightarrow R[t_1, \dots, t_n] \\ r &\mapsto r \end{aligned}$$

and consider  $S$  as an  $R$ -module via  $\alpha$ . Then  $\Omega_{X/Y} = \widetilde{\Omega_{S/R}} = \widetilde{\bigoplus_{i=1}^n S} = \bigoplus_{i=1}^n \mathcal{O}_X$

**Example 3.2.5.** Let  $R$  be a ring,  $S = R[t_0, \dots, t_n]$ ,  $X = \mathbb{P}_R^n = \text{Proj}(S)$  and  $Y = \text{Spec}(R)$ . Let  $f : X \rightarrow Y$  be the morphism of schemes induced by the ring homomorphism

$$\begin{aligned} \alpha : R &\rightarrow R[t_0, \dots, t_n] \\ r &\mapsto r \end{aligned}$$

and consider  $S$  as an  $R$ -module via  $\alpha$ . We can cover  $X$  by open affine sets of the form  $D_+(t_0), \dots, D_+(t_n)$  where  $D_+(t_i) \cong \mathbb{A}_R^n$ . We can glue all the sheaves  $\Omega_{D_+(t_i)/Y}$  together to get a sheaf  $\Omega_{X/Y}$  such that  $\Omega_{X/Y} \cong \bigoplus_{i=1}^n \mathcal{O}_{D_+(t_i)}$ .

**Theorem 3.2.6.** Let  $R$  be a ring,  $X = \mathbb{P}_R^n$  and  $Y = \text{Spec}(R)$ . Then we have an exact sequence

$$0 \longrightarrow \Omega_{X/Y} \longrightarrow \bigoplus_{i=1}^{n+1} \mathcal{O}_X(-1) \longrightarrow \mathcal{O}_X \longrightarrow 0$$

*Proof.* Proof omitted (see handwritten Part III notes). □

**Example 3.2.7.** With assumptions as before, we have that  $\Omega(X/Y) = 0$ . Indeed, the Theorem gives us an injection

$$\Omega_{X/Y}(X) \hookrightarrow \bigoplus_{i=1}^{n+1} \mathcal{O}_X(-1)(X)$$

But by a question on an example sheet, we know the latter sheaf has no non-trivial global sections.

**Example 3.2.8.** Let  $f : X \rightarrow Y$  be a closed immersion. Then  $\Omega_{X/Y} = 0$ . Indeed, we may assume that  $X$  and  $Y$  are affine schemes so that  $X = \text{Spec}(S)$ ,  $Y = \text{Spec}(R)$  and let  $f$  correspond to some ring homomorphism  $\alpha : R \rightarrow S$  so that  $S \cong R/\ker \alpha$ . Since  $\alpha$  is surjective, it follows that  $\Omega_{S/R} = 0$ .

## 4 Cohomology

### 4.1 Results from Category Theory

**Definition 4.1.1.** By an **abelian category** we shall mean one of the following

1. **AbGrp** - Category of abelian groups and homomorphisms of groups.
2. **Mod $_R$**  - Category of modules over a commutative ring  $R$  and  $R$ -module homomorphisms.
3. **Sh( $X$ )** - Category of sheaves of rings over a topological space  $X$  and morphisms of sheaves.
4. **Mod( $X$ )** - Category of  $\mathcal{O}_X$ -modules over a ringed space  $(X, \mathcal{O}_X)$  and morphisms of  $\mathcal{O}_X$ -modules.
5. **Qco( $X$ )** - Category of quasi-coherent sheaves on a scheme  $X$  and morphisms of quasi-coherent sheaves.

**Definition 4.1.2.** Let  $\mathcal{A}$  be an abelian category. By a **complex** we mean a sequence

$$\dots \longrightarrow A^{-1} \xrightarrow{d^{-1}} A^0 \xrightarrow{d^0} A^1 \xrightarrow{d^1} A^2 \longrightarrow \dots$$

of objects and morphisms in  $\mathcal{A}$  such that  $\text{im } d^{i-1} \subseteq \text{ker } d^i$ . We denote such a sequence by  $A^\bullet$ .

We define the  $i^{\text{th}}$ -**cohomology** object of  $A^\bullet$  to be

$$h^i(A^\bullet) = \frac{\text{ker } d^i}{\text{im } d^{i-1}}$$

We say that  $A^\bullet$  is **exact** if  $h^i(A^\bullet) = 0$  for all  $i$ .

**Definition 4.1.3.** Let  $\mathcal{A}$  be an abelian category and  $A^\bullet$  and  $B^\bullet$  complexes in  $\mathcal{A}$ . We define a **morphism** of complexes to be morphisms  $f_i : A^i \rightarrow B^i$  for each  $i$  such that the diagrams

$$\begin{array}{ccc} A^i & \xrightarrow{a^i} & A^{i+1} \\ \downarrow f_i & & \downarrow f_{i+1} \\ B^i & \xrightarrow{b^i} & B^{i+1} \end{array}$$

commute for all  $i$ . Given a sequence

$$0 \longrightarrow A^\bullet \longrightarrow B^\bullet \longrightarrow C^\bullet \longrightarrow 0$$

of complexes and morphisms between them, we say that such a sequence is **exact** if the sequence

$$0 \longrightarrow A^i \longrightarrow B^i \longrightarrow C^i \longrightarrow 0$$

is exact for every  $i$ .

**Proposition 4.1.4.** *Let  $\mathcal{A}$  be an abelian category and*

$$0 \longrightarrow A^\bullet \longrightarrow B^\bullet \longrightarrow C^\bullet \longrightarrow 0$$



an exact sequence of complexes. Then we have a long exact sequence of cohomology groups

$$\begin{array}{ccccccc}
 0 & \longrightarrow & h^0(A^\bullet) & \longrightarrow & h^0(B^\bullet) & \longrightarrow & h^0(C^\bullet) \\
 & & & & \searrow & & \searrow \\
 & & h^1(A^\bullet) & \longrightarrow & h^1(B^\bullet) & \longrightarrow & h^1(C^\bullet) \\
 & & & & \searrow & & \searrow \\
 & & h^2(A^\bullet) & \longrightarrow & h^2(B^\bullet) & \longrightarrow & h^2(C^\bullet) \\
 & & & & \searrow & & \searrow \\
 & & & & & & \dots \\
 & & h^n(A^\bullet) & \longrightarrow & h^n(B^\bullet) & \longrightarrow & h^n(C^\bullet)
 \end{array}$$

**Definition 4.1.5.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories. We say that a functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  is additive if for all  $A, A' \in \text{ob } \mathcal{A}$  the map  $\text{Hom}(A, A') \rightarrow \text{Hom}(FA, FA')$  is a homomorphism of abelian groups.

We say that  $F$  is **left-exact** if it is additive and for each exact sequence

$$0 \longrightarrow A \longrightarrow A' \longrightarrow A'' \longrightarrow 0$$

we have an exact sequence

$$0 \longrightarrow FA \longrightarrow FA' \longrightarrow FA''$$

Similarly, we have right-exact functors. We say that a functor is **exact** if it is both left and right exact.

**Example 4.1.6.** We have a left-exact functor

$$\begin{aligned}
 F : \mathbf{Sh}(X) &\rightarrow \mathbf{AbGrp} \\
 \mathcal{F} &\mapsto \mathcal{F}(X)
 \end{aligned}$$

**Definition 4.1.7.** Let  $\mathcal{A}$  be an abelian category. We say that an object  $I \in \text{ob } \mathcal{A}$  is **injective** if for every diagram

$$\begin{array}{ccc}
 0 & \longrightarrow & A & \longrightarrow & A' \\
 & & \downarrow & \nearrow & \\
 & & I & & 
 \end{array}$$

with first row exact there exists a morphism  $A' \rightarrow I$  extending the diagram to a commutative diagram.

**Example 4.1.8.**  $\mathbb{Q}$  is injective in **Grp**.

**Definition 4.1.9.** Let  $\mathcal{A}$  be an abelian category and  $A \in \text{ob } \mathcal{A}$  an object. We define a **injective resolution** of  $A$  to be a sequence

$$0 \longrightarrow A \longrightarrow I^0 \longrightarrow I^1 \longrightarrow \dots$$

where each  $I^i$  is injective. We say that  $\mathcal{A}$  **has enough injectives** if every object admits an injective resolution.

**Example 4.1.10.** Let  $R$  be a commutative ring. Then  $\mathbf{Mod}_R$  has enough injectives.

**Definition 4.1.11.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories such that  $\mathcal{A}$  has enough injectives. Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a left-exact covariant functor of abelian categories. We define the **right-derived functors**  $R^i F : \mathcal{A} \rightarrow \mathcal{B}$  in the following way. For all objects  $A \in \text{ob } \mathcal{A}$  choose an injective resolution  $I(A)$ . Then we define  $R^i F(A) = h^i(FI(A))$ .

**Theorem 4.1.12.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories such that  $\mathcal{A}$  has enough injectives. Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a left-exact covariant functor of abelian categories. Then

1.  $R^i F$  is independent of the choice of the injective resolution<sup>2</sup>.
2.  $R^0 F = F$
3. Every exact sequence

$$0 \longrightarrow A \longrightarrow A' \longrightarrow A'' \longrightarrow 0$$

induces a long exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & R^0 F(A) & \longrightarrow & R^0 F(A') & \longrightarrow & R^0 F(A'') \\ & & & & & & \searrow \\ & & & & R^1 F(A) & \longrightarrow & R^1 F(A') & \longrightarrow & R^1 F(A'') \\ & & & & & & \searrow \\ & & & & R^2 F(A) & \longrightarrow & R^2 F(A') & \longrightarrow & R^2 F(A'') \\ & & & & & & \searrow \\ & & & & R^n F(A) & \longrightarrow & R^n F(A') & \longrightarrow & R^n F(A'') \end{array}$$

4. For every commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & A & \longrightarrow & A' & \longrightarrow & A'' & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & B & \longrightarrow & B' & \longrightarrow & B'' & \longrightarrow & 0 \end{array}$$

we have a commutative diagram

$$\begin{array}{ccccccccc} \dots & \longrightarrow & R^i F(A) & \longrightarrow & R^i F(A') & \longrightarrow & R^i F(A'') & \longrightarrow & \dots \\ & & \downarrow & & \downarrow & & \downarrow & & \\ \dots & \longrightarrow & R^i F(B) & \longrightarrow & R^i F(B') & \longrightarrow & R^i F(B'') & \longrightarrow & \dots \end{array}$$

**Definition 4.1.13.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories such that  $\mathcal{A}$  has enough injectives. Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a left-exact covariant functor of abelian categories. An object  $J \in \text{ob } \mathcal{A}$  is said to be **acyclic** if  $R^i F(J) = 0$  for all  $i > 0$ .

**Theorem 4.1.14.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be abelian categories such that  $\mathcal{A}$  has enough injectives. Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a left-exact covariant functor of abelian categories. If

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<sup>2</sup>Injective resolutions are unique up to homotopy and cohomology objects are homotopy-invariant.

$$0 \longrightarrow AJ^0 \longrightarrow J^1 \longrightarrow \dots$$

is an exact sequence with  $J^i$  acyclic for all  $i$  then

$$R^i F(A) = h^i(0 \rightarrow F(J^0) \rightarrow F(J^1) \rightarrow \dots)$$

*Proof.* Proof omitted. □

**Example 4.1.15.** The following are all left-exact functors

1.  $\mathbf{Sh}(\mathbf{X}) \rightarrow \mathbf{AbGrp} : \mathcal{F} \mapsto \mathcal{F}(X)$
2.  $\mathfrak{Mod}(X) \rightarrow \mathbf{AbGrp} : \mathcal{F} \mapsto \mathcal{F}(X)$
3.  $\mathbf{Mod}_R \rightarrow \mathbf{Mod}_R : M \mapsto \text{Hom}_R(L, M)$  for some commutative ring  $R$  and  $R$ -module  $L$ .
4.  $\mathbf{Sh}(X) \rightarrow \mathbf{Sh}(Y) : \mathcal{F} \mapsto f_* \mathcal{F}$  for some continuous function  $f : X \rightarrow Y$

## 4.2 Cohomology of Sheaves

**Proposition 4.2.1.** *Let  $X$  be a topological space. Then  $\mathbf{Sh}(X)$  has products and the functor  $F : \mathbf{Sh}(X) \rightarrow \mathbf{AbGrp}$  reflects them.*

*Proof.* This is immediate from the definitions. □

**Proposition 4.2.2.** *Let  $X$  be a topological space,  $\mathcal{G}$  a sheaf on  $X$  and  $\{\mathcal{F}_i\}_{i \in I}$  a family of sheaves on  $X$ . Then*

$$\text{Hom} \left( \mathcal{G}, \prod_{i \in I} \mathcal{F}_i \right) \cong \prod_{i \in I} \text{Hom}(\mathcal{G}, \mathcal{F}_i)$$

*Proof.* Let  $\pi_j : \prod_{i \in I} \mathcal{F}_i \rightarrow \mathcal{F}_j$  be the  $j^{\text{th}}$  projection map that the product comes equipped with. Fix an open set  $U \subseteq X$  and define

$$\begin{aligned} \varphi_U : \text{Hom} \left( \mathcal{G}, \prod_{i \in I} \mathcal{F}_i \right) (U) &\rightarrow \left( \prod_{i \in I} \text{Hom}(\mathcal{G}, \mathcal{F}_i) \right) (U) \\ \psi &\mapsto (\pi_i|_U \circ \psi)_{i \in I} \end{aligned}$$

One easily verifies that this is indeed an isomorphism of abelian groups and is compatible with restriction maps. □

**Theorem 4.2.3.** *Let  $(X, \mathcal{O}_X)$  be a ringed space. Then  $\mathfrak{Mod}(X)$  has enough injectives.*

*Proof.* Fix an  $\mathcal{O}_X$ -module  $\mathcal{F} \in \mathfrak{Mod}(X)$  and  $x \in X$ . Then  $\mathcal{F}_x$  is an  $\mathcal{O}_x$  module. Since  $\mathbf{Mod}_{\mathcal{O}_x}$  has enough injectives, we can find an injective  $\mathcal{O}_x$ -module and an injective homomorphism  $\mathcal{F}_x \hookrightarrow I_x$ . Let  $f_x$  denote the embedding of topological spaces  $\{x\} \hookrightarrow X$ . Then  $I_x$  can be viewed as a sheaf of modules on the singleton space  $\{x\}$ . Define  $\mathcal{I} = \prod_{x \in X} f_{x*} I_x$ . We claim that  $\mathcal{I}$  is injective. First note that, for all sheaves  $\mathcal{G} \in \text{ob } \mathfrak{Mod}(X)$ , Proposition 4.2.2 implies that

$$\text{Hom}(\mathcal{G}, \mathcal{I}) = \prod_{x \in X} \text{Hom}(\mathcal{G}, f_{x*} I_x)$$

On the other hand, it is easy to see that we have an isomorphism

$$\mathrm{Hom}_{\mathcal{O}_X}(\mathcal{G}, f_{x*}I_x)(X) \cong \mathrm{Hom}_{\mathcal{O}_x}(\mathcal{G}_x, I_x)$$

given by sending a morphism of  $\mathcal{O}_x$ -modules to the corresponding homomorphism of stalks at  $x$ . Now consider a diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & \mathcal{G} & \xrightarrow{\varphi} & \mathcal{H} \\ & & \downarrow & & \\ & & \mathcal{I} & & \end{array}$$

Descending to stalks, we have a diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & \mathcal{G}_x & \xrightarrow{\varphi_x} & \mathcal{H}_x \\ & & \downarrow & \nearrow \kappa & \\ & & \mathcal{I}_x = I_x & & \end{array}$$

But  $I_x$  is injective so there must exist a morphism completing the above diagram to a commutative diagram. By the aforementioned isomorphism of Hom-sets, we can lift this homomorphism of  $\mathcal{O}_x$ -modules to a morphism of  $\mathcal{O}_X$ -modules to complete the first diagram into a commutative diagram. Hence  $\mathcal{I}$  is injective as claimed.

Now fix an object  $\mathcal{F} \in \mathrm{ob} \mathfrak{Mod}(X)$ . We want to construct an injective resolution for  $\mathcal{F}$ . By the previous discussion, we can choose an injective object  $\mathcal{I}_0$  so that we get a sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{I}^0$$

Now set  $\mathcal{F}^1 = \mathcal{I}^0/\mathcal{F}$  which is naturally an  $\mathcal{O}_X$ -module. This gives us a short exact sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{I}^0 \longrightarrow \mathcal{F}^1 \longrightarrow 0$$

We may choose an injective object  $\mathcal{I}^1$  together with an injective morphism  $\mathcal{F}^1 \rightarrow \mathcal{I}^1$  so that we get a sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{I}^0 \longrightarrow \mathcal{I}^1$$

Continuing in this way, we can construct an injective resolution of  $\mathcal{F}$ . Hence  $\mathfrak{Mod}(X)$  has enough injectives.  $\square$

**Corollary 4.2.4.** *Let  $X$  be a topological space. Then  $\mathbf{Sh}(X)$  has enough injectives.*

*Proof.* Let  $\mathcal{O}_X$  be the constant sheaf on  $X$  associated to  $\mathbb{Z}$ . Then  $(X, \mathcal{O}_X)$  is a ringed space and any  $\mathcal{F} \in \mathrm{ob} \mathbf{Sh}(X)$  is naturally an  $\mathcal{O}_X$ -module. Applying the Theorem then allows us to construct injective resolutions of sheaves of rings on  $X$ .  $\square$

**Definition 4.2.5.** Let  $X$  be a topological space and  $\mathcal{F} \in \mathbf{Sh}(X)$  a sheaf. Let  $F : \mathbf{Sh}(X) \rightarrow \mathbf{AbGrp}$  be the functor sending a sheaf to its corresponding group of global sections. We define the  *$i^{\mathrm{th}}$ -sheaf cohomology group* to be

$$H^i(X, \mathcal{F}) = R^i F(\mathcal{F})$$

**Example 4.2.6.** Let  $\{x\} = X$  be a singleton space and  $F : \mathbf{Sh}(X) \rightarrow \mathbf{AbGrp}$  the functor which sends a sheaf to its associated global sections. We claim that  $H^i(X, \mathcal{F}) = 0$  for all  $i > 0$ . Indeed, fix a sheaf  $\mathcal{F} \in \mathrm{ob} \mathbf{Sh}(X)$ . Choose an injective resolution

$$0 \longrightarrow \mathcal{F} \longrightarrow I^0 \longrightarrow I^1 \longrightarrow \dots$$



### 4.3 Flasque Sheaves

**Definition 4.3.1.** Let  $X$  be a topological space and  $\mathcal{F} \in \text{ob } \mathbf{Sh}(X)$ . We say that  $\mathcal{F}$  is **flasque** if for all open  $U \subseteq X$ , the restriction morphism  $\mathcal{F}(X) \rightarrow \mathcal{F}(U)$  is a surjective homomorphism.

**Theorem 4.3.2.** Let  $(X, \mathcal{O}_X)$  be a ringed space. If  $\mathcal{I} \in \text{ob } \mathfrak{Mod}(X)$  is injective then  $\mathcal{I}$  is flasque.

*Proof.* Fix an open set  $U \subseteq X$  and let  $t \in \mathcal{I}(U)$ . We need to exhibit an element of  $\mathcal{I}(X)$  that maps to  $t$  under the restriction morphism  $\mathcal{I}(X) \rightarrow \mathcal{I}(U)$ . Define a sheaf  $\mathcal{L}_U$  by

$$\mathcal{L}_U(W) = \begin{cases} 0 & \text{if } W \not\subseteq U \\ \mathcal{O}_X(W) & \text{if } W \subseteq U \end{cases}$$

Clearly,  $\mathcal{L}_U$  is a subsheaf of  $\mathcal{O}_X$ . Now define a morphism of sheaves  $\mathcal{L}_U \rightarrow \mathcal{I}$  by

$$\varphi_W : \mathcal{L}_U(W) \rightarrow \mathcal{I}(W) = \begin{cases} 0 & \text{if } W \not\subseteq U \\ a \mapsto at|_W & \text{if } W \subseteq U \end{cases}$$

We then have a commutative diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & \mathcal{L}_U & \longrightarrow & \mathcal{O}_X \\ & & \downarrow \varphi_W & \searrow & \\ & & \mathcal{I} & & \end{array}$$

with first row exact. Since  $\mathcal{I}$  is injective, there exists a morphism  $\psi : \mathcal{O}_X \rightarrow \mathcal{I}$  completing the diagram to a commutative diagram. Since  $\psi$  is a morphism of sheaves, we have a commutative diagram

$$\begin{array}{ccc} \mathcal{O}_X(X) & \xrightarrow{|_U} & \mathcal{O}_X(U) \\ \downarrow \psi_X & & \downarrow \psi_U \\ \mathcal{I}(X) & \xrightarrow{|_U} & \mathcal{I}(U) \end{array}$$

Chasing  $1 \in \mathcal{O}_X(X)$  around the diagram shows that there must exist  $s \in \mathcal{I}(X)$  mapping to  $t \in \mathcal{I}(U)$  under  $|_U$  so that  $\mathcal{I}$  is flasque.  $\square$

**Theorem 4.3.3.** Let  $X$  be a topological space and  $\mathcal{F} \in \text{ob } \mathbf{Sh}(X)$  a flasque sheaf. Then  $H^i(X, \mathcal{F}) = 0$  for all  $i > 0$ .

*Proof.* Since  $\mathcal{S}(X)$  has enough injectives, we can find an injective sheaf  $\mathcal{I}$  and an inclusion morphism  $\mathcal{F} \subseteq \mathcal{I}$ . Setting  $\mathcal{G} = \mathcal{I}/\mathcal{F}$  yields a short exact sequence

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{I} \longrightarrow \mathcal{G} \longrightarrow 0$$

We first claim that  $\mathcal{G}$  is flasque. In order to do this, we shall show that we have an exact sequence

$$0 \longrightarrow \mathcal{F}(X) \longrightarrow \mathcal{I}(X) \xrightarrow{\alpha} \mathcal{G}(X) \longrightarrow 0$$

Since taking global sections is left-exact, it suffices to show that  $\alpha$  is surjective. Fix  $t \in \mathcal{G}(X)$ . Since  $\varphi : \mathcal{I} \rightarrow \mathcal{G}$  is surjective, the corresponding homomorphism of stalks is also surjective. This implies that there exists an open neighbourhood  $U \subseteq X$  and an element  $s \in \mathcal{I}(U)$  such that  $\alpha(s) = t|_U$ . Consider pairs  $(U_1, s_1)$  and  $(U_2, s_2)$  such that  $s_i \in \mathcal{I}(U_i)$  and  $\alpha(s_i) = t|_{U_i}$ . Then  $s_1|_{U_1 \cap U_2} - s_2|_{U_1 \cap U_2}$  map to 0 under  $\alpha$ . Since the sequence

$$0 \longrightarrow \mathcal{F}(U_1 \cap U_2) \longrightarrow \mathcal{I}(U_1 \cap U_2) \longrightarrow \mathcal{G}(U_1 \cap U_2)$$

is exact,  $s_1|_{U_1 \cap U_2} - s_2|_{U_1 \cap U_2} \in \mathcal{F}(U_1)$ . Now,  $\mathcal{F}$  is flasque so there exists  $r \in \mathcal{F}(U_1 \cup U_2)$  such that  $r|_{U_1 \cap U_2} = s_1|_{U_1 \cap U_2} - s_2|_{U_1 \cap U_2}$ . Then  $s_2 + r|_{U_2}$  and  $s_1$  are compatible on overlaps. Indeed

$$(s_2 + r|_{U_2})|_{U_1 \cap U_2} = s_2|_{U_1 \cap U_2} + r|_{U_1 \cap U_2} = s_2|_{U_1 \cap U_2} + s_1|_{U_1 \cap U_2} - s_2|_{U_1 \cap U_2} = s_1|_{U_1 \cap U_2}$$

Since  $\mathcal{I}$  is a sheaf, they glue to give a section  $s \in \mathcal{I}(U_1 \cup U_2)$ . By construction,

$$\begin{aligned} s|_{U_1} &= s_1 \mapsto t|_{U_1} \\ s|_{U_2} &= s_2 + r|_{U_2} \mapsto t|_{U_2} \end{aligned}$$

and so  $s \mapsto t|_{U_1 \cup U_2}$  under  $\alpha$ . Now let

$$\mathcal{A} = \{ (U, s) \mid U \subseteq X \text{ open}, s \in \mathcal{I}(U), s \mapsto t|_U \}$$

Define a partial order  $\leq$  on  $\mathcal{A}$  by declaring  $(U, s) \leq (U', s')$  if and only if  $U \subseteq U'$  and  $s'|_U = s$ . By Zorn's Lemma, there exists a maximal element in  $\mathcal{A}$ , say  $(U, s)$ . We claim that, in fact,  $U = X$ . Suppose, for a contradiction, that  $U \neq X$ . Choose  $x \in X \setminus U$  and an open neighbourhood  $x \in V \subseteq X$  and  $l \in \mathcal{I}(V)$  mapping to  $t|_V$  under  $\alpha$ . By the previous argumentation, we can construct  $m \in \mathcal{I}(U \cup V)$  such that  $m|_U = s, m|_V = l$  and  $m \mapsto t|_{U \cup V}$ . But this contradicts the maximality of  $(U, s)$  so we must have that  $U = X$  and so  $s \in \mathcal{I}(X)$  is the desired element mapping to  $t$  under  $\alpha$ . Thus  $\alpha$  is surjective. Now consider the diagram

$$\begin{array}{ccc} \mathcal{I}(X) & \xrightarrow{\alpha} & \mathcal{G}(X) \\ \downarrow |w & & \downarrow |w \\ \mathcal{I}(W) & \xrightarrow{\beta} & \mathcal{G}(W) \end{array}$$

The exact same argumentation shows that  $\beta$  is surjective. Since  $\mathcal{I}$  is flasque, it follows that  $|w : \mathcal{G}(X) \rightarrow \mathcal{G}(W)$  is surjective when  $\mathcal{G}$  flasque as claimed.

We now have a long exact sequence of cohomology groups

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^0(X, \mathcal{F}) & \longrightarrow & H^0(X, \mathcal{I}) & \longrightarrow & H^0(X, \mathcal{G}) \longrightarrow \\ & & & & & & \searrow \\ & & & & & & H^1(X, \mathcal{F}) \longrightarrow & H^1(X, \mathcal{I}) & \longrightarrow & H^1(X, \mathcal{G}) \end{array}$$

Since  $\mathcal{I}$  is injective, it admits the trivial injective resolution

$$0 \longrightarrow \mathcal{I} \longrightarrow \mathcal{I} \longrightarrow 0 \longrightarrow \dots$$

so that  $H^i(X, \mathcal{I}) = 0$  for all  $i > 0$ . Since  $\alpha : \mathcal{I}(X) \rightarrow \mathcal{G}(X)$  is surjective, it then follows that  $H^1(X, \mathcal{F}) = 0$ . From this it follows that  $H^1(X, \mathcal{G}) \cong H^{i+1}(X, \mathcal{F})$  for all  $i > 0$ . But  $\mathcal{G}$  is flasque so, by the same argumentation for  $\mathcal{F}$ , we see that  $H^1(X, \mathcal{G}) = 0$  so that  $H^2(X, \mathcal{F}) = 0$  by induction. Continuing in this way using induction we can show that  $H^i(X, \mathcal{F}) = 0$  for all  $i > 0$ .  $\square$

**Corollary 4.3.4.** *Let  $X$  be a topological space and  $\mathcal{F} \in \text{ob } \mathbf{Sh}(X)$  a flasque sheaf. Suppose that  $\mathcal{F}$  admits a flasque resolution*

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{I}^0 \longrightarrow \mathcal{I}^1 \longrightarrow \dots$$

Then

$$H^i(X, \mathcal{F}) = h^i(0 \rightarrow \mathcal{I}^0(X) \rightarrow \mathcal{I}^1(X) \rightarrow \dots)$$

*Proof.* Since each  $\mathcal{I}^j$  is flasque, Theorem 4.3.3 implies that  $H^i(X, \mathcal{I}^j) = 0$  for all  $i > 0$ ,  $j \geq 0$ . Hence each  $\mathcal{I}^j$  is acyclic and so appealing to Theorem 4.1.14 proves the claim.  $\square$

**Corollary 4.3.5.** *Let  $(X, \mathcal{O}_X)$  be a ringed space and  $\mathcal{F}$  an  $\mathcal{O}_X$ -module. Consider the functor*

$$\begin{aligned} F : \mathfrak{Mod}(X) &\rightarrow \mathbf{AbGrp} \\ G &\mapsto G(X) \end{aligned}$$

*Then  $H^i(X, \mathcal{F})$  is isomorphic to  $RF^i(\mathcal{F})$ . In other words, cohomology calculated in  $\mathbf{Sh}(X)$  coincides with that calculated in  $\mathfrak{Mod}(X)$ .*

*Proof.* Fix an injective resolution

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{I}^0 \longrightarrow \mathcal{I}^1 \longrightarrow \dots$$

in  $\mathfrak{Mod}(X)$ . By Theorem 4.3.2 this is in fact a flasque resolution. Corollary 4.3.4 then implies the assertion of the Corollary.  $\square$

## 4.4 Cohomology of Affine Schemes

**Proposition 4.4.1.** *Let  $R$  be a Noetherian ring and  $I$  an injective  $R$ -module. Then  $\tilde{I}$  is flasque.*

*Proof.* Proof omitted.  $\square$

**Definition 4.4.2.** Let  $X$  be a scheme and  $b \in \mathcal{O}_X(X)$ . Define

$$D(b) = \{x \in X \mid b^{-1} \in \mathcal{O}_x\}$$

**Remark.** If  $X$  is an affine scheme then this coincides with the previous definition of  $D(b)$ .

**Proposition 4.4.3.** *Let  $X$  be a Noetherian scheme. Then  $X$  is affine if and only if there exists  $b_1, \dots, b_n \in \mathcal{O}_X(X)$  such that  $D(b_i)$  are affine and  $\mathcal{O}_X(X) = \langle b_1, \dots, b_n \rangle$ .*

*Proof.* Proof omitted.  $\square$

**Definition 4.4.4.** Let  $X$  be a scheme. We say that  $x \in X$  is **closed** if  $\{x\}$  is a closed subset of  $X$ .

**Proposition 4.4.5.** *Let  $X$  be a Noetherian scheme and  $Z \subseteq X$  a closed subset. Then there exists a closed point  $x \in Z$ .*

*Proof.* Choose an open affine subset  $U \subseteq X$  such that  $U \cap Z \neq \emptyset$ . If  $Z \not\subseteq U$  then replace  $Z$  with  $Z \cap (X \setminus U)$ . Continuing in this way, we can construct a chain of closed subsets

$$\dots \subsetneq Z_2 \subsetneq Z_1$$

But  $X$  is Noetherian so this process must terminate and so we can find a closed subset of  $Z$  that is contained in  $U$ , overloading notation, we also call it  $Z$ . Then  $Z = \text{Spec}(R)$  for some ring  $R$ . Let  $\mathfrak{m}$  be any maximal ideal of  $R$ . Then  $\{\mathfrak{m}\}$  is a closed subset of  $Z$ . Since  $Z$  is closed in  $X$ , it then follows that  $\mathfrak{m}$  is closed in  $X$  so that  $\mathfrak{m}$  is a closed point of  $X$ .  $\square$

**Theorem 4.4.6.** *Let  $X$  be a Noetherian scheme. Then the following are equivalent:*

1.  $X$  is affine.



2.  $H^i(X, \mathcal{F}) = 0$  for all  $i > 0$  and quasi-coherent  $\mathcal{F}$ .
3.  $H^1(X, \mathcal{I}) = 0$  for all coherent ideal sheafs  $\mathcal{I}$ .

*Proof.*

(1)  $\implies$  (2): First suppose that  $X$  is affine so that  $X = \text{Spec}(R)$  for some ring  $R$ . Fix a quasi-coherent sheaf  $\mathcal{F} \in \text{ob } \mathfrak{Qco}(X)$  so that  $\mathcal{F} = \widetilde{M}$  for some  $R$ -module  $M$ . Fix an injective resolution of  $M$

$$0 \longrightarrow M \longrightarrow I^0 \longrightarrow I^1 \longrightarrow \dots$$

in  $\mathbf{Mod}_R$ . Then

$$0 \longrightarrow \widetilde{M} \longrightarrow \widetilde{I}^0 \longrightarrow \widetilde{I}^1 \longrightarrow \dots$$

is an flasque resolution of  $\mathcal{F}$  in  $\mathfrak{Mod}(X)$  by Proposition 4.4.1. Corollary 4.3.4 then implies that

$$\begin{aligned} H^i(X, \mathcal{F}) &= h^i(0 \rightarrow \widetilde{I}^0(X) \rightarrow \widetilde{I}^1(X) \rightarrow \dots) \\ &= h^i(0 \rightarrow I^0 \rightarrow I^1 \rightarrow \dots) \end{aligned}$$

which is exact. Hence  $H^i(X, \mathcal{F}) = 0$  for all  $i > 0$ .

(2)  $\implies$  (3): This assertion is trivial considering all coherent ideal sheafs are themselves quasi-coherent sheaves.

(3)  $\implies$  (1): Fix a closed point  $x \in X$  and an open affine set  $x \in U$ . Let  $Y = X \setminus U$  so that both  $Y$  and  $Y \cup \{x\}$  are closed. We first claim that any closed set  $Z \subseteq X$  can be endowed with the structure of a closed subscheme of  $X$ . Indeed, consider the sheaf

$$\mathcal{I}_Z(W) = \{a \in \mathcal{O}_X(W) \mid a^{-1} \notin \mathcal{O}_z \text{ for all } z \in W \cap Z\}$$

If  $W = \text{Spec}(R)$  is open affine then  $\mathcal{I}_Z|_W = \widetilde{I}$  where  $I \triangleleft R$  is the largest ideal of  $R$  such that  $Z \cap W \subseteq V(I)$ . Hence  $\mathcal{I}_Z$  is quasi-coherent (in fact, it is coherent since  $X$  is Noetherian) and so  $Z$  has a closed subscheme structure.

We can apply this construction to the closed sets  $Y$  and  $Y \cup \{x\}$  to get closed subschemes  $\mathcal{I}_Y$  and  $\mathcal{I}_{Y \cup \{x\}}$ . Since  $Y \subseteq Y \cup \{x\}$ , we have an inclusion of sheaves  $\mathcal{I}_{Y \cup \{x\}} \subseteq \mathcal{I}_Y$ . Letting  $\mathcal{L} = \mathcal{I}_Y / \mathcal{I}_{Y \cup \{x\}}$  we have an exact sequence

$$0 \longrightarrow \mathcal{I}_{Y \cup \{x\}} \longrightarrow \mathcal{I}_Y \longrightarrow \mathcal{L} \longrightarrow 0$$

Since  $\mathcal{L}|_{X \setminus \{x\}} = 0$ , it follows that  $\mathcal{L}$  is the skyscraper sheaf associated to  $\kappa(x)$ , the residue field at  $x$ . By assumption, we have  $H^1(X, \mathcal{I}_{Y \cup \{x\}}) = 0$  so taking cohomology of the above exact sequence yields an

$$0 \longrightarrow H^0(X, \mathcal{I}_{Y \cup \{x\}}) \longrightarrow H^0(X, \mathcal{I}_Y) \xrightarrow{\alpha} H^0(X, \mathcal{L}) \longrightarrow 0$$

Since  $H^0(X, \mathcal{L}) = \kappa(x)$  and  $\alpha$  is surjective so there exists  $b \in H^0(X, \mathcal{I}_Y)$  such that  $\alpha(b) = 1 \in \kappa(x)$ . But this means that any representative of  $\alpha(b)$  is invertible in  $\mathcal{O}_x$  and so  $x \in D(b)$ . By construction,  $D(b) \subseteq U$ . Hence for every closed point  $x \in X$ , there is a global section  $b \in \mathcal{O}_X(X)$  such that  $x \in D(b)$ . Hence we can construct a family of global sections  $b_i$  such that each  $D(b_i)$  is affine and  $\bigcup_{i \in I} D(b_i)$  contains all closed points of  $X$ . In fact,  $X = \bigcup_{i \in I} D(b_i)$ . Indeed, if this were not the case then  $X \setminus \bigcup_{i \in I} D(b_i)$  would be closed and would thus contain a closed point of  $X$  which is a contradiction. Since  $X$  is Noetherian, we may assume that there are only finitely many such  $b_i$ .

We now claim that  $\mathcal{O}_X(X)$  is generated by the  $b_i$ . We will then be able to conclude that  $X$  is affine by Proposition 4.4.3.

Define a morphism of sheaves

$$\begin{aligned} \varphi_U : \left( \bigoplus_{i=1}^n \mathcal{O}_X \right) (U) &\rightarrow \mathcal{O}_X(U) \\ (s_1, \dots, s_n) &\mapsto \sum_{i=1}^n b_i|_U s_i \end{aligned}$$

Let  $\mathcal{F}$  be the kernel of this morphism. Then we have an exact sequence of sheaves

$$0 \longrightarrow \mathcal{F} \longrightarrow \bigoplus_{i=1}^n \mathcal{O}_X \xrightarrow{\varphi} \mathcal{O}_X \longrightarrow 0$$

$\varphi$  is surjective since it is locally surjective. Indeed, for all  $x \in X$ ,  $\varphi_x$  is surjective since there exists some  $b_i$  which is invertible in  $\mathcal{O}_x$ . Now define a filtration of length  $n$ , denoted  $\mathcal{G}_i$ , by

$$0 \subseteq \mathcal{O}_X \oplus 0 \cdots \oplus 0 \subseteq \mathcal{O}_X \oplus \mathcal{O}_X \oplus \cdots \oplus 0 \subseteq \cdots \subseteq \bigoplus_{i=1}^n \mathcal{O}_X$$

Then, clearly,  $\mathcal{G}_i/\mathcal{G}_{i-1} \cong \mathcal{O}_X$ . Let  $\mathcal{F}_n = \mathcal{F}$  and inductively define  $\mathcal{F}_{i-1} = \ker(\mathcal{F}_i \rightarrow \mathcal{G}_i/\mathcal{G}_{i-1})$ . We then have exact sequences

$$0 \longrightarrow \mathcal{F}_{i-1} \longrightarrow \mathcal{F}_i \longrightarrow \mathcal{F}_i/\mathcal{F}_{i-1} \longrightarrow 0$$

Moreover,  $\mathcal{F}_i/\mathcal{F}_{i-1} \subseteq \mathcal{G}_i/\mathcal{G}_{i-1} \subseteq \mathcal{O}_X$  so that  $\mathcal{F}_i/\mathcal{F}_{i-1}$  is a coherent ideal sheaf. By hypothesis, we then have that  $H^1(X, \mathcal{F}_i/\mathcal{F}_{i-1}) = 0$ . Then  $\ker \mathcal{F}_0 = 0$  whence  $H^1(X, \mathcal{F}_0) = 0$ . By induction, it then follows that  $H^1(X, \mathcal{F}_i) = 0$  for all  $i$  and, in particular,  $H^1(X, \mathcal{F}) = 0$ . We then have a short exact sequence of cohomology groups

$$0 \longrightarrow H^0(X, \mathcal{F}) \longrightarrow H^0(X, \mathcal{G}_n) \xrightarrow{\varphi} H^0(X, \mathcal{O}_X) \longrightarrow 0$$

Hence  $\varphi$  is surjective on global sections whence there exists  $(s_1, \dots, s_n) \in G_n(X)$  such that  $1 = \sum_i b_i s_i$  and so  $\mathcal{O}_X(X) = (b_1, \dots, b_n)$ .  $\square$

## 4.5 Čech Cohomology

**Definition 4.5.1.** Let  $X$  be a topological space and  $\mathcal{F} \in \mathbf{Sh}(X)$  a sheaf. Let  $\mathcal{U} = \{U_i\}_{i \in I}$  be an open covering of  $X$  where  $I$  is a well-ordered set. Given  $i_0, \dots, i_p \in I$ , let  $U_{i_0, \dots, i_p} = U_{i_0} \cap \cdots \cap U_{i_p}$ . We define

$$C^p(\mathcal{U}, \mathcal{F}) = \prod_{i_0 < \cdots < i_p} \mathcal{F}(U_{i_0, \dots, i_p})$$

Moreover, we define a map  $d^p : C^p(\mathcal{U}, \mathcal{F}) \rightarrow C^{p+1}(\mathcal{U}, \mathcal{F})$  given by sending  $(s_{i_0, \dots, i_p})$  to  $(t_{i_0, \dots, i_{p+1}})$  where

$$t_{i_0, \dots, i_{p+1}} = \sum_{l=0}^{p+1} (-1)^l s_{i_0, \dots, \widehat{i_l}, \dots, i_{p+1}}|_{U_{i_0, \dots, i_{p+1}}}$$

where  $\widehat{i_l}$  is understood to mean that the  $i_l$ -index is dropped. It can be checked that  $d^{p+1}d^p = 0$  so that this forms a cochain complex of abelian groups which we refer to as a **Čech complex**. We define the  $p^{\text{th}}$  Čech cohomology group  $\check{H}^p(\mathcal{U}, \mathcal{F})$  to be the  $p^{\text{th}}$  cohomology group of the aforementioned complex.

**Proposition 4.5.2.** *Let  $X$  be a topological space and  $\mathcal{F} \in \mathbf{Sh}(X)$  a sheaf. Let  $\mathcal{U} = \{U_i\}_{i \in I}$  be an open covering of  $X$ . Then*

$$\check{H}^0(\mathcal{U}, \mathcal{F}) \cong \mathcal{F}(X) \cong H^0(X, \mathcal{F})$$

*Proof.* By definition,  $\check{H}^0(\mathcal{U}, \mathcal{F}) = \ker d^0$ . Now,  $C^0(\mathcal{U}, \mathcal{F}) = \prod_{i \in I} \mathcal{F}(U_i)$  and  $C^1(\mathcal{U}, \mathcal{F}) = \prod_{i < j} \mathcal{F}(U_i \cap U_j)$ . Then

$$\begin{aligned} d^1 : \prod_{i \in I} \mathcal{F}(U_i) &\rightarrow \prod_{i < j} \mathcal{F}(U_i \cap U_j) \\ (s_i) &\mapsto ([s_i - s_j]|_{U_i \cap U_j}) \end{aligned}$$

So that  $\ker d^0 = \{(s_i) \mid s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j}\}$ . But this is exactly the global sections of  $\mathcal{F}$  since it is a sheaf.  $\square$

**Example 4.5.3.** Let  $K$  be a field and  $X = \mathbb{P}_K^1 = \text{Proj } K[t_0, t_1]$ . Consider the open cover  $\mathcal{U} = \{U_0, U_1\}$  where  $U_0 = D_+(t_0), U_1 = D_+(t_1)$ . The Čech complex of  $\mathcal{O}_X$  is

$$C^\bullet(\mathcal{U}, \mathcal{O}_X) : 0 \longrightarrow C^0(\mathcal{U}, \mathcal{O}_X) \longrightarrow C^1(\mathcal{U}, \mathcal{O}_X) \longrightarrow C^2(\mathcal{U}, \mathcal{O}_X) \longrightarrow \dots$$

Now,  $C^p(\mathcal{U}, \mathcal{O}_X) = 0$  for all  $p \geq 2$  since there are only two sets in the open cover. Moreover,

$$C^0(\mathcal{U}, \mathcal{O}_X) = \mathcal{O}_X(U_0) \oplus \mathcal{O}_X(U_1) = K[t_0, t_1]_{(t_0)} \oplus K[t_0, t_1]_{(t_1)}$$

and

$$C^1(\mathcal{U}, \mathcal{O}_X) = \mathcal{O}_X(U_0 \cap U_1) = \mathcal{O}_X(D_+(t_0 t_1)) = K[t_0, t_1]_{(t_0 t_1)}$$

Writing  $u = t_1/t_0$  and  $v = t_0/t_1$ , we first claim that  $K[t_0, t_1]_{(t_0)} \cong K[u]$ . Indeed, define a homomorphism

$$\begin{aligned} \varphi : K[t_0, t_1]_{(t_0)} &\rightarrow K[u] \\ \left[ \frac{\sum_{i+j=n} a_{ij} t_0^i t_1^j}{t_0^n} \right] &\mapsto \sum_{i+j=n} a_{ij} u^j \end{aligned}$$

which is clearly well-defined, surjective and injective. The Čech complex is then just

$$0 \longrightarrow K[u] \oplus K[v] \xrightarrow{d^0} K[u, 1/u] \longrightarrow 0$$

$$(f, g) \longmapsto f(u) - g(1/u)$$

so that

$$\begin{aligned} \ker d^0 &= \{(f, g) \mid f(u) - g(1/u) = 0\} \\ &= \{(f, g) \mid f = g \in K\} \cong K \end{aligned}$$

Since  $d^0$  is surjective, it then follows that  $\check{H}^p(\mathcal{U}, \mathcal{O}_X) = 0$ .

**Example 4.5.4.** Let  $K$  be a field,  $X = \mathbb{P}_K^1 = \text{Proj } K[t_0, t_1]$  and  $Y = \text{Spec } K$ . Consider the open cover  $\mathcal{U} = \{U_0, U_1\}$  where  $U_0 = D_+(t_0), U_1 = D_+(t_1)$ . The Čech complex of  $\Omega_{X/Y}$  is

$$C^\bullet(\mathcal{U}, \Omega_{X/Y}) : 0 \longrightarrow C^0(\mathcal{U}, \Omega_{X/Y}) \longrightarrow C^1(\mathcal{U}, \Omega_{X/Y}) \longrightarrow C^2(\mathcal{U}, \Omega_{X/Y}) \longrightarrow \dots$$

Now,  $C^p(\mathcal{U}, \Omega_{X/Y}) = 0$  for all  $p \geq 2$  since there are only two sets in the open cover. Moreover, writing  $u = t_1/t_0$  and  $v = t_0/t_1$ , we have

$$C^0(\mathcal{U}, \Omega_{X/Y}) = \Omega_{X/Y}(U_0) \oplus \Omega_{X/Y}(U_1) = K[u]du \oplus K[v]dv$$

and

$$C^1(\mathcal{U}, \mathcal{O}_X) = \mathcal{O}_X(U_0 \cap U_1) = K[u, 1/u]du$$

so that  $d^0$  is the map

$$(fdu, gdv) \mapsto f(u)du + \frac{1}{u^2}g(1/u)du$$

so that  $\ker d^0 = 0$  whence  $\check{H}^p(\mathcal{U}, \Omega_{X/Y}) = 0$ . Moreover,  $\text{im } d^0$  contains  $u^r \cdot du$  for all  $r \in \mathbb{Z}$  except  $r = -1$  so that  $1/udu \notin \text{im } d^0$ . Then

$$\check{H}^1(\mathcal{U}, \Omega_{X/Y}) = \frac{\ker d^1}{\text{im } d^0} = \frac{K[u, 1/u]du}{\text{im } d^0} \cong K \frac{1}{u} du \cong K$$

Furthermore,  $\check{H}^p(\mathcal{U}, \Omega_{X/Y}) = 0$  for all  $p > 1$ .

**Example 4.5.5.** Let  $K$  be a field,  $X = \mathbb{P}_K^1 = \text{Proj } K[t_0, t_1]$  and  $\mathcal{F}$  the constant sheaf associated to  $\mathbb{Z}$ . Consider the open cover  $\mathcal{U} = \{U_0, U_1\}$  where  $U_0 = D_+(t_0)$ ,  $U_1 = D_+(t_1)$ . The Čech complex of  $\mathcal{F}$  is

$$C^\bullet(\mathcal{U}, \mathcal{F}) : 0 \longrightarrow C^0(\mathcal{U}, \mathcal{F}) \longrightarrow C^1(\mathcal{U}, \mathcal{F}) \longrightarrow C^2(\mathcal{U}, \mathcal{F}) \longrightarrow \dots$$

Now,  $C^p(\mathcal{U}, \mathcal{F}) = 0$  for all  $p \geq 2$  since there are only two sets in the open cover. Moreover,

$$C^0(\mathcal{U}, \mathcal{F}) = \mathcal{F}(U_0) \oplus \mathcal{F}(U_1) = \mathbb{Z} \oplus \mathbb{Z}$$

and

$$C^1(\mathcal{U}, \mathcal{F}) = \mathcal{F}(U_0 \cap U_1) = \mathbb{Z}$$

so that  $d^0$  is the map

$$(m, n) \mapsto m - n$$

Now,  $\ker d^0 = \{(m, n) \mid m = n\} = \mathbb{Z}$  whence  $\check{H}^0(\mathcal{U}, \mathcal{F}) = \mathcal{F}(X) = \mathbb{Z}$ . Moreover,  $d^0$  is surjective so that  $\check{H}^p(\mathcal{U}, \mathcal{F}) = 0$  for all  $p > 0$ .

**Example 4.5.6.** Let  $X = S^1$  be endowed with the subspace topology from  $\mathbb{R}$ . Let  $\alpha = (0, 1)$  and  $\beta = (1, 0)$  so that  $\mathcal{U} = \{U, V\}$  where  $U = X \setminus \{\alpha\}$  and  $V = X \setminus \{\beta\}$  form an open cover of  $X$ . Let  $\mathcal{F}$  be the constant sheaf on  $X$  associated to  $\mathbb{Z}$ . The Čech complex of  $\mathcal{F}$  is

$$C^\bullet(\mathcal{U}, \mathcal{F}) : 0 \longrightarrow C^0(\mathcal{U}, \mathcal{F}) \longrightarrow C^1(\mathcal{U}, \mathcal{F}) \longrightarrow C^2(\mathcal{U}, \mathcal{F}) \longrightarrow \dots$$

Now,  $C^p(\mathcal{U}, \mathcal{F}) = 0$  for all  $p \geq 2$  since there are only two sets in the open cover. Moreover,

$$C^0(\mathcal{U}, \mathcal{F}) = \mathcal{F}(U_0) \oplus \mathcal{F}(U_1) = \mathbb{Z} \oplus \mathbb{Z}$$

and

$$C^1(\mathcal{U}, \mathcal{F}) = \mathcal{F}(U_0 \cap U_1) = \mathbb{Z} \oplus \mathbb{Z}$$

so that  $d^0$  is the map

$$(m, n) \mapsto (m - n, m - n)$$

We then see that  $\ker d^0 \cong \mathbb{Z}$  and  $\text{im } d^0 \cong \mathbb{Z}$ . So  $\check{H}^0(X, \mathcal{F}) = \mathbb{Z}$  and also  $\check{H}^1(\mathcal{U}, \mathcal{F}) = \mathbb{Z}$ . Finally,  $\check{H}^p(\mathcal{U}, \mathcal{F}) = 0$  for all  $p > 1$ .

## 4.6 Cohomology of Schemes

**Definition 4.6.1.** Let  $X$  be a topological space,  $\mathcal{F} \in \mathbf{Sh}(X)$  a sheaf and  $\mathcal{U} = \{U_i\}_{i \in I}$  an open cover of  $X$  for some well-ordered set  $I$ . Let  $U_{i_0, \dots, i_p} = U_{i_0} \cap \dots \cap U_{i_p}$  and let  $f_{i_0, \dots, i_p}$  denote the inclusion map  $U_{i_0, \dots, i_p} \hookrightarrow X$ . Let  $\mathcal{F}_{i_0, \dots, i_p}$  denote the sheaf  $(f_{i_0, \dots, i_p})_*(\mathcal{F}|_{U_{i_0, \dots, i_p}})$ . Define

$$\mathcal{C}^p(\mathcal{U}, \mathcal{F}) = \prod_{i_0 < \dots < i_p} \mathcal{F}_{i_0, \dots, i_p}$$

and a map

$$d^p : \mathcal{C}^p(\mathcal{U}, \mathcal{F}) \rightarrow \mathcal{C}^{p+1}(\mathcal{U}, \mathcal{F})$$

pointwise on open  $U \subseteq X$  by sending  $(s_{i_0, \dots, i_p})$  to  $(t_{i_0, \dots, i_{p+1}})$  where

$$t_{i_0, \dots, i_{p+1}} = \sum_{l=0}^{p+1} (-1)^l s_{i_0, \dots, \widehat{i_l}, \dots, i_{p+1}}|_{U_{i_0, \dots, i_{p+1}} \cap U}$$

We can similarly check that  $d^{p+1}d^p = 0$  so that we get a complex

$$\mathcal{C}^\bullet(\mathcal{U}, \mathcal{F}) : 0 \longrightarrow \mathcal{C}^0(\mathcal{U}, \mathcal{F}) \xrightarrow{d^0} \mathcal{C}^1(\mathcal{U}, \mathcal{F}) \xrightarrow{d^1} \dots$$

We extend this to a complex

$$\mathcal{C}^\bullet(\mathcal{U}, \mathcal{F}) : 0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{C}^0(\mathcal{U}, \mathcal{F}) \xrightarrow{d^0} \mathcal{C}^1(\mathcal{U}, \mathcal{F}) \xrightarrow{d^1} \dots$$

$$s \in \mathcal{F}(W) \longmapsto (s|_{W \cap U_i})$$

called the **sheaf Čech complex**.

**Lemma 4.6.2.** *Let  $X$  be a topological space,  $\mathcal{F} \in \mathbf{Sh}(X)$  a sheaf and  $\mathcal{U} = \{U_i\}_{i \in I}$  an open cover of  $X$  for some well-ordered set  $I$ . The the sheaf Čech complex of  $\mathcal{F}$  is exact.*

*Proof.* We first claim that

$$0 \longrightarrow \mathcal{F} \xrightarrow{d^{-1}} \mathcal{C}^0(\mathcal{U}, \mathcal{F}) \xrightarrow{d^0} \mathcal{C}^1(\mathcal{U}, \mathcal{F})$$

is exact by the definition of a sheaf. Indeed, fix an open  $W \subseteq X$  and suppose that  $(s|_{W \cap U_i}) = 0$ . Since  $W \cap U_i$  is an open cover of  $W$ , the zero sections glue together uniquely to give the zero section in  $\mathcal{F}(W)$  so  $d^{-1}$  must be injective. To show exactness at  $\mathcal{C}^0(\mathcal{U}, \mathcal{F})$ , we need to show that  $\ker d^0 \subseteq \text{im } d^{-1}$ . To this end, fix an open  $W \subseteq X$ . Suppose that  $(s_i) \in \ker d^0$ . Then by definition of the differential, we have that

$$(s_i - s_j)|_{U_{i,j} \cap W} = 0$$

But then  $s_i|_{U_i \cap U_j \cap W} = s_j|_{U_i \cap U_j \cap W}$  so that the  $s_i$  are compatible on overlaps of the open cover  $U_i \cap W$  of  $W$ . The sheaf axiom then implies that the  $s_i$  glue together to give a unique  $s \in \mathcal{F}_W$  such that  $s|_{U_i \cap W} = s_i$ . But then  $(s_i) \in \text{im } d^{-1}$  by the definition of  $d^{-1}$ .

We now want to show that

$$\mathcal{C}^{p-1}(\mathcal{U}, \mathcal{F}) \xrightarrow{d^{p-1}} \mathcal{C}^p(\mathcal{U}, \mathcal{F}) \xrightarrow{d^p} \mathcal{C}^{p+1}(\mathcal{U}, \mathcal{F})$$

for all  $p \geq 1$ . It suffices to show this on the level of stalks. In other words, for all  $x \in X$ , we need to show that

$$\mathcal{C}^{p-1}(\mathcal{U}, \mathcal{F})_x \xrightarrow{d_x^{p-1}} \mathcal{C}^p(\mathcal{U}, \mathcal{F})_x \xrightarrow{d_x^p} \mathcal{C}^{p+1}(\mathcal{U}, \mathcal{F})_x$$

is exact. Since we are working with stalks, we can throw away any  $U_i$  for which  $x \notin U_i$  and assume that  $X = U_0 = \dots = U_n$  by replacing  $X$  and each  $U_i$  with  $\bigcap_{i=1}^n U_i$ . Now define a map

$$\begin{aligned} e^p : \mathcal{C}^p(\mathcal{U}, \mathcal{F})_x &\rightarrow \mathcal{C}^{p-1}(\mathcal{U}, \mathcal{F})_x \\ [W, (s_{i_0, \dots, i_p})] &\mapsto [W, (t_{i_0, \dots, i_{p-1}})] \end{aligned}$$

where

$$t_{i_0, \dots, i_{p-1}} = \begin{cases} s_{j, i_0, \dots, i_{p-1}} & \text{if } i_0 \neq j, j = \min I \\ 0 & \text{if } i_0 = j \end{cases}$$

Now, let  $\delta_{i_0, j} = 0$  if  $i_0 = j$  and 1 otherwise, then

$$\begin{aligned} (d_x^{p-1} e^p + e^{p+1} d_x^p)([W, s_{i_0, \dots, i_p}]) &= d_x^{p-1} e^p([W, s_{i_0, \dots, i_p}]) + e^{p+1} d_x^p \\ &= d_x^{p-1}(\delta_{i_0, j} [W, s_{0, i_0, \dots, i_{p-1}}]) + e^{p+1} \sum_{l=0}^{p+2} (-1)^l [W, s_{i_0, \dots, \widehat{i}_l, i_{p+1}}] \\ &= \delta_{i_0, j} \sum_{m=0}^p (-1)^m [W, s_{0, i_0, \dots, \widehat{i}_m, \dots, i_p}] + \delta_{i_0, j} \sum_{l=0}^{p+2} (-1)^l [W, s_{0, i_0, \dots, \widehat{i}_l, \dots, i_{p+1}}] \\ &= [W, s_{i_0, \dots, i_p}] \end{aligned}$$

so that  $d_x^{p-1} e^p + e^{p+1} d_x^p = \text{id}$ . Now fix  $[W, s_{i_0, \dots, i_p}] \in \ker d_x^p$ . Applying this formula, we have

$$d_x^{p-1} e^p([W, s_{i_0, \dots, i_p}]) = [W, s_{i_0, \dots, i_p}]$$

so that  $[W, s_{i_0, \dots, i_p}] \in \text{im } d_x^{p-1}$ . □

**Theorem 4.6.3.** *Let  $X$  be a topological space and  $\mathcal{U} = \{U_i\}$  a finite open cover of  $\mathcal{X}$ . If  $\mathcal{F} \in \text{Sh}(X)$  is flasque then*

$$\check{H}^p(\mathcal{U}, \mathcal{F}) = 0$$

for all  $p > 0$ .

*Proof.* Consider the Čech complex resolution of  $\mathcal{F}$

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{C}^0(\mathcal{U}, \mathcal{F}) \longrightarrow \mathcal{C}^1(\mathcal{U}, \mathcal{F}) \longrightarrow \dots$$

Since  $\mathcal{F}$  is flasque, so is  $\mathcal{F}|_{U_{i_0, \dots, i_p}}$  and, in particular,  $\mathcal{F}_{i_0, \dots, i_p}$  is also flasque. Hence  $\mathcal{C}^p(\mathcal{U}, \mathcal{F})$  is flasque for all  $p \geq 0$  whence the above is a flasque resolution of  $\mathcal{F}$ . By Corollary 4.3.4 we know that  $H^p(X, \mathcal{F})$  is calculated on the sequence

$$0 \longrightarrow \mathcal{C}^0(\mathcal{U}, \mathcal{F})(X) \longrightarrow \mathcal{C}^1(\mathcal{U}, \mathcal{F})(X) \longrightarrow \dots$$

On the other hand, the cohomology of the first sequence is  $\check{H}^p(\mathcal{U}, \mathcal{F})$  by definition and so  $\check{H}^p(\mathcal{U}, \mathcal{F}) = H^p(\mathcal{U}, \mathcal{F})$  by definition. But the latter is 0 by Theorem 4.3.3. □

**Theorem 4.6.4.** *Let  $X$  be a Noetherian scheme such that the intersection of any two open affine subschemes is again affine. Let  $\mathcal{U} = \{U_i\}$  be a finite open affine cover of  $X$ . Then*

$$\check{H}^p(\mathcal{U}, \mathcal{F}) \cong H^p(X, \mathcal{F})$$

for all quasi-coherent sheaves  $\mathcal{F}$  on  $X$ .

*Proof.* Consider the Čech resolution of  $\mathcal{F}$

$$0 \longrightarrow \mathcal{F} \longrightarrow \mathcal{C}^0(\mathcal{U}, \mathcal{F}) \longrightarrow \mathcal{C}^1(\mathcal{U}, \mathcal{F}) \longrightarrow \dots$$

We first claim that  $H^l(X, \mathcal{C}^p(\mathcal{U}, \mathcal{F})) = 0$  for all  $p \geq 0$  and  $l > 0$ . It is in fact enough to show that  $H^l(X, \mathcal{F}_{i_0, \dots, i_p}) = 0$  for all  $p \geq 0$  and  $l > 0$ . By hypothesis,  $U_{i_0, \dots, i_p}$  is affine so Theorem 4.4.6 implies that

$$H^l(U_{i_0, \dots, i_p}, \mathcal{F}|_{U_{i_0, \dots, i_p}}) = 0$$

for all  $p > 0$  and  $l \geq 0$ . By Proposition 4.4.1, we can choose a flasque resolution

$$0 \longrightarrow \mathcal{F}|_{U_{i_0, \dots, i_p}} \longrightarrow \mathcal{I}^0 \longrightarrow \mathcal{I}^1 \longrightarrow \dots$$

where each  $\mathcal{I}^j$  is quasi-coherent. Then  $(f_{i_0, \dots, i_p})_* \mathcal{I}^j$  are flasque and quasi-coherent. Then

$$0 \longrightarrow \mathcal{F}_{i_0, \dots, i_p} \longrightarrow (f_{i_0, \dots, i_p})_* \mathcal{I}^0 \longrightarrow (f_{i_0, \dots, i_p})_* \mathcal{I}^1 \longrightarrow \dots$$

is also a flasque resolution of  $\mathcal{F}_{i_0, \dots, i_p}$ . Hence,  $H^l(X, \mathcal{F}_{i_0, \dots, i_p})$  are calculated by the complex

$$0 \longrightarrow (f_{i_0, \dots, i_p})_* \mathcal{I}^0(X) \longrightarrow (f_{i_0, \dots, i_p})_* \mathcal{I}^1(X) \longrightarrow \dots$$

But this is the same as the complex

$$0 \longrightarrow \mathcal{I}^0(U_{i_0, \dots, i_p}) \longrightarrow \mathcal{I}^1(U_{i_0, \dots, i_p}) \longrightarrow \dots$$

which calculates the cohomology of  $H^l(U_{i_0, \dots, i_p}, \mathcal{F}|_{U_{i_0, \dots, i_p}})$ . But this is 0 by Theorem 4.4.6. So  $H^l(X, \mathcal{F}_{i_0, \dots, i_p}) = 0$  as claimed. This shows that the  $\mathcal{C}^p(\mathcal{U}, \mathcal{F})$  are acyclic with respect to the global section functor so by Theorem 4.1.14 we can calculate  $H^l(X, \mathcal{F})$  using the Čech complex of  $\mathcal{F}$ . This is given by the cohomology of

$$0 \longrightarrow \mathcal{C}^0(\mathcal{U}, \mathcal{F})(X) \longrightarrow \mathcal{C}^1(\mathcal{U}, \mathcal{F})(X) \longrightarrow \dots$$

which is just the ordinary Čech complex

$$0 \longrightarrow C^0(\mathcal{U}, \mathcal{F}) \longrightarrow C^1(\mathcal{U}, \mathcal{F}) \longrightarrow \dots$$

But we know that the cohomology of this is  $H^p(X, \mathcal{F}) = \check{H}^p(X, \mathcal{F})$  so we are done.  $\square$

**Remark.** We give a remark on when the conditions of the previous Theorem hold. Let  $f : X \rightarrow Y$  be a morphism of schemes with  $Y = \text{Spec}(R)$  affine. We say that  $f$  is **projective** if there exists a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{g} & \mathbb{P}_R^n \\ & \searrow f & \downarrow \\ & & Y \end{array}$$

where  $g$  is a closed immersion. If  $Y$  is not affine then we can define  $\mathbb{P}^n$  over open affine subsets and glue them together. We say that  $f$  is **quasi-projective** if there exists a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{g} & Z \\ & \searrow f & \downarrow \\ & & Y \end{array}$$

with  $g$  an open immersion. Now assume that  $R$  is Noetherian. Then the intersection of any two open affine subschemes in  $X$  is again affine.

## 5 Cohomology of Projective Schemes

**Theorem 5.0.1.** *Let  $K$  be a field and  $X = \mathbb{P}_K^n = \text{Proj}(S)$  where  $S = K[t_0, \dots, t_n]$ . Then*

1.  $H^0(X, \mathcal{O}_X(d))$  is the  $K$ -vector space generated by all monomials in  $t_0, \dots, t_n$  of degree  $d$ .
2.  $\dim_K H^n(X, \mathcal{O}_X(d)) = \dim_K H^0(X, \mathcal{O}_X(-n-1-d))$ .
3.  $H^p(X, \mathcal{O}_X(d)) = 0$  for all  $p > n$ .
4.  $H^p(X, \mathcal{O}_X(d)) = 0$  for all  $0 < p < n$ .

*Proof.*

Part 1: We have that

$$H^0(X, \mathcal{O}_X(d)) = \mathcal{O}_X(d)(X) \cong \{ (s_i) \mid s_i \in \mathcal{O}_X(d)(U_i), s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} \}$$

where  $U_i = D_+(t_i)$ . Now,  $\mathcal{O}_X(d)(U_i) = S(d)_{(t_i)}$  so that  $s_i \in \mathcal{O}_X(d)(U_i)$  satisfies  $s_i = \frac{f_i}{t_i^{e_i}}$  where  $f_i$  is homogeneous of degree  $d + e_i$  in  $S$ . Then

$$\begin{aligned} s_i|_{U_i \cap U_j} = s_j|_{U_i \cap U_j} &\iff \frac{f_i}{t_i^{e_i}} = \frac{f_j}{t_j^{e_j}} \in S(D)_{(t_1 t_2)} \\ &\iff \frac{f_i}{t_i^{e_i}} = \frac{f_j}{t_j^{e_j}} \in S_{(t_1 t_2)} \\ &\iff f_i t_j^{e_j} = f_j t_i^{e_i} \in S \end{aligned}$$

in  $S$ . Now,  $S$  is a unique factorisation domain so that  $t_j^{e_j} \mid f_j$  and  $t_i^{e_i} \mid f_i$ . Hence there exists a homogeneous  $g \in S$  of degree  $d$  such that  $g = \frac{f_i}{t_i^{e_i}} = s_i$  for all  $i$ .

Conversely, given any homogeneous  $g \in S$  of degree  $d$ , we have a section  $(s_i)$  in  $\mathcal{O}_X(d)(X)$  given by setting  $s_i = \frac{g}{1}$ .

Part 2: We shall only prove the case where  $-d - n - 1 \leq 0$ . Now, the group  $H^n(X, \mathcal{O}_X(d))$  is calculated by the Čech complex

$$\dots \longrightarrow C^{n-1}(\mathcal{U}, \mathcal{O}_X(d)) \xrightarrow{d^{n-1}} C^n(\mathcal{U}, \mathcal{O}_X(d)) \xrightarrow{d^n} 0$$

which is just

$$\dots \longrightarrow \prod_{i_0 < \dots < i_{n-1}} S(d)_{(t_{i_0} \dots t_{i_{n-1}})} \xrightarrow{d^{n-1}} S(d)_{(t_1 \dots t_n)} \xrightarrow{d^n} 0$$



where  $\mathcal{U} = \{D_+(t_i)\}_i$ . We need to calculate  $\text{im } d^{n-1}$ . To this end, fix  $\sigma \in S(d)_{t_0 \dots t_n}$ . We may assume that

$$\sigma = \frac{t_0^{m_0} \dots t_n^{m_n}}{(t_0 \dots t_n)^l}$$

where  $\sum_{i=1}^n m_i = d + (n+1)l$ . We want to determine when such a  $\sigma$  is not in  $\text{im } d^{n-1}$ . If there is an  $i$  for which  $m_i \geq l$  then we would be able to cancel such a  $t_i$  from the denominator so that  $\sigma$  would be in the image of the factor of  $C^{n-1}(\mathcal{U}, \mathcal{F})$  corresponding to a missing  $U_i$ . Moreover, we can assume that  $m_i = 0$  for some  $i$ , otherwise we may decrease  $l$ . Then

$$d + (n+1)l = \sum_{i=0}^{n-1} m_i \leq n(l-1) = nl - n$$

so that  $d + l \leq -n$  and so  $l \leq -n - d$ . But by assumption we have  $-n - d \leq 1$  so that  $l = 1$ . Since each  $m_i < l$ , the only possibility is then  $\sigma = \frac{1}{t_0 \dots t_n}$  which corresponds to the case where  $d = -n - 1$ . But  $\sigma \notin \text{im } d^{n-1}$  so we have

$$\begin{aligned} H^n(X, \mathcal{O}_X(d)) &= \begin{cases} 0 & \text{if } -d - n - 1 < 0 \\ K \cdot \frac{1}{t_0 \dots t_n} & \text{if } -d - n - 1 = 0 \end{cases} \\ &\cong H^0(X, \mathcal{O}_X(-d - n - 1)) \end{aligned}$$

Part 3: This follows immediately from the fact that  $H^p(X, \mathcal{O}_X(d)) = \check{H}^p(X, \mathcal{O}_X(d))$ . But  $C^p(X, \mathcal{O}_X(d)) = 0$  for all  $p > n$ .

Part 4: We may assume that  $n \geq 2$  or there is nothing to prove. Let  $Y$  be the closed subscheme defined by  $\langle t_n \rangle$  and  $g : Y \rightarrow \mathbb{P}_K^n$  the corresponding closed immersion. Then  $Y \cong \mathbb{P}_K^{n-1} = \text{Proj } K[t_0, \dots, t_{n-1}]$  and we have an exact sequence

$$0 \longrightarrow \widetilde{\langle t_n \rangle} \longrightarrow \mathcal{O}_X \longrightarrow g_* \mathcal{O}_Y \longrightarrow 0$$

Now, we have an isomorphism

$$\begin{aligned} S(-1) &\rightarrow \langle t_n \rangle \\ s &\mapsto t_n s \end{aligned}$$

so that  $\widetilde{\langle t_n \rangle} = \mathcal{O}_X(-1)$ . Hence the exact sequence takes the form

$$0 \longrightarrow \mathcal{O}_X(-1) \longrightarrow \mathcal{O}_X \longrightarrow g_* \mathcal{O}_Y \longrightarrow 0$$

Tensoring with  $\mathcal{O}_X(d)$  yields

$$0 \longrightarrow \mathcal{O}_X(d-1) \longrightarrow \mathcal{O}_X(d) \longrightarrow g_* \mathcal{O}_Y(d) \longrightarrow 0$$

Taking cohomology yields a long exact sequence

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^0(X, \mathcal{O}_X(d-1)) & \longrightarrow & H^0(X, \mathcal{O}_X(d)) & \longrightarrow & H^0(X, g_* \mathcal{O}_Y(d)) \\ & & \searrow & & \searrow & & \searrow \\ & & H^1(X, \mathcal{O}_X(d-1)) & \xrightarrow{\alpha} & H^1(X, \mathcal{O}_X(d)) & \longrightarrow & H^1(X, g_* \mathcal{O}_Y(d)) \\ & & \searrow & & \searrow & & \searrow \\ & & H^{n-1}(X, \mathcal{O}_X(d-1)) & \longrightarrow & H^{n-1}(X, \mathcal{O}_X(d)) & \longrightarrow & H^{n-1}(X, g_* \mathcal{O}_Y(d)) \\ & & \searrow & & \searrow & & \searrow \\ & & H^n(X, \mathcal{O}_X(d-1)) & \longrightarrow & H^n(X, \mathcal{O}_X(d)) & \longrightarrow & H^n(X, g_* \mathcal{O}_Y(d)) \end{array}$$

$\beta$

Now, it is easy to see that  $H^p(X, g_*\mathcal{O}_Y(d)) = H^p(Y, \mathcal{O}_Y(d))$  for all  $p \geq 0$  since pushing forward a sheaf is an exact functor. Moreover,  $H^n(Y, \mathcal{O}_Y(d)) = 0$  by Part 3 so the long exact sequence becomes

$$\begin{array}{ccccccc}
0 & \longrightarrow & H^0(X, \mathcal{O}_X(d-1)) & \xrightarrow{f_1} & H^0(X, \mathcal{O}_X(d)) & \xrightarrow{f_2} & H^0(Y, \mathcal{O}_Y(d)) \\
& & & & \searrow^{\delta} & & \searrow \\
& & & & H^1(X, \mathcal{O}_X(d-1)) & \xrightarrow{\alpha} & H^1(X, \mathcal{O}_X(d)) & \longrightarrow & H^1(Y, \mathcal{O}_Y(d)) \\
& & & & & & & & \searrow \\
& & & & & & & & H^{n-1}(X, \mathcal{O}_X(d-1)) & \longrightarrow & H^{n-1}(X, \mathcal{O}_X(d)) & \longrightarrow & H^{n-1}(Y, \mathcal{O}_Y(d)) \\
& & & & & & & & \searrow^{\beta} & & \searrow \\
& & & & & & & & H^n(X, \mathcal{O}_X(d-1)) & \xrightarrow{\gamma} & H^n(X, \mathcal{O}_X(d)) & \longrightarrow & 0
\end{array}$$

Now,

$$\begin{aligned}
\dim_K(\operatorname{im} \gamma) &= \dim_K H^n(X, \mathcal{O}_X(d)) = \dim_K H^0(X, \mathcal{O}_X(-n-1-d)) = \binom{-2-d}{n-1} \\
\dim_K H^n(X, \mathcal{O}_X(d-1)) &= \dim_K H^0(X, \mathcal{O}_X(-n-d)) = \binom{-d-1}{n-1}
\end{aligned}$$

By the Rank-Nullity Theorem, we then have that

$$\dim_K(\operatorname{im} \beta) = \dim_K(\ker \gamma) = \binom{-d-1}{n-1} - \binom{-2-d}{n-1} = \binom{-2-d}{n-2}$$

On the other hand,

$$\begin{aligned}
\dim_K H^{n-1}(Y, \mathcal{O}_Y(d)) &= \dim_K H^0(Y, \mathcal{O}_Y(-(n-1)-d-1)) = \dim_K H^0(Y, \mathcal{O}_Y(-n-d)) \\
&= \binom{-n-d+(n-1)-1}{(n-1)-1} = \binom{-d-2}{n-2}
\end{aligned}$$

so we must have that  $\dim_K(\ker \beta) = 0$  whence  $\beta$  is injective. Similarly,

$$\begin{aligned}
\dim_K(\ker \alpha) &= \dim_K(\operatorname{im} \delta) = \dim_K H^0(Y, \mathcal{O}_Y(d)) - \dim_K(\ker \delta) \\
&= \dim_K H^0(Y, \mathcal{O}_Y(d)) - \dim_K(\operatorname{im} f_2) \\
&= \dim_K H^0(Y, \mathcal{O}_Y(d)) - \dim_K H^0(X, \mathcal{O}_X(d)) + \dim_K(\ker f_2) \\
&= \dim_K H^0(Y, \mathcal{O}_Y(d)) - \dim_K H^0(X, \mathcal{O}_X(d)) + \dim_K(\operatorname{im} f_1) \\
&= \dim_K H^0(Y, \mathcal{O}_Y(d)) - \dim_K H^0(X, \mathcal{O}_X(d)) + \dim_K H^0(X, \mathcal{O}_X(d-1)) \\
&= \binom{n-2+d}{n-2} - \binom{n-1+d}{n-1} + \binom{n+d-1}{n} \\
&= 0
\end{aligned}$$

so that  $\alpha$  is injective and  $\delta$  is the zero map. Now, by induction  $n$ , we see that  $H^p(Y, \mathcal{O}_Y(d)) = 0$  for all  $0 < p < n-1$  whence the maps  $H^p(X, \mathcal{O}_X(d-1)) \xrightarrow{\theta_p} H^p(X, \mathcal{O}_X(d))$  are isomorphisms for  $0 < p < n$ .

Now, using Čech cohomology, the maps  $\beta_p$  are induced by the maps

$$S(d-1)_{(t_{i_0} \dots t_{i_p})} = \mathcal{O}_X(d-1)(U_{i_0, \dots, i_p}) \rightarrow \mathcal{O}_X(d)(U_{i_0, \dots, i_p}) = S(d)_{(t_{i_0} \dots t_{i_p})}$$

which is just multiplication by  $t_n$ . Hence  $\theta_p$  is just multiplication by  $t_n$ . Now let  $\mathcal{F} = \bigoplus_{d \in \mathbb{Z}} \mathcal{O}_X(d)$ . Then

$$\begin{aligned} \mathcal{F}(U_{i_0, \dots, i_p}) &= \bigoplus_{d \in \mathbb{Z}} \mathcal{O}_X(d)(U_{i_0, \dots, i_p}) \cong \bigoplus_{d \in \mathbb{Z}} S(d)_{t_{i_0} \dots t_{i_p}} \cong S_{t_{i_0} \dots t_{i_p}} \\ &\sum_{d \in \mathbb{Z}} \lambda_d \leftarrow (\lambda_d) \end{aligned}$$

The Čech complex is then

$$0 \longrightarrow C^0(\mathcal{U}, \mathcal{F}) \longrightarrow C^1(\mathcal{U}, \mathcal{F}) \longrightarrow \dots$$

which is nothing but

$$0 \longrightarrow \prod S_{t_{i_0}} \longrightarrow \prod S_{t_{i_0} t_{i_1}} \longrightarrow \dots$$

Localising this complex at  $t_n$  gives

$$0 \longrightarrow \prod S_{t_{i_0} t_n} \longrightarrow \prod S_{t_{i_0} t_{i_1} t_n} \longrightarrow \dots$$

But this is the Čech complex of  $\mathcal{F}|_{U_n}$  with respect to the cover  $\mathcal{U}' = \{U_i \cap U_n\}_{i \in I}$ . But  $U_n$  is affine and so  $\mathcal{F}|_{U_n}$  is quasi-coherent and so

$$\check{H}^p(\mathcal{U}', \mathcal{F}|_{U_n}) = H^p(U_n, \mathcal{F}|_{U_n}) = 0$$

for all  $p > 0$ . Hence  $H^p(X, \mathcal{F})|_{t_n} = 0$  for all  $0 < p < n$ . But this means that for all  $w \in H^p(X, \mathcal{F})$ , there exists  $r$  such that  $t_n^r w = 0$  which implies that for all  $u \in H^p(X, \mathcal{O}_X(d))$ , there exists  $s$  such that  $t_n^s u = 0$ . Now,  $\beta_p$  was shown to be multiplication by  $t_n$  and we have shown that multiplication by  $t_n$  eventually kills every element of  $H^p(X, \mathcal{O}_X(d-1))$ . Hence, in order for  $\beta_p$  to be an isomorphism, we must have that  $H^p(X, \mathcal{O}_X(d)) = 0$  for all  $0 < p < n$ .  $\square$

**Proposition 5.0.2.** *Let  $(X, \mathcal{O}_X)$  be a ringed space and  $\mathcal{F}$  a quasi-coherent sheaf on  $X$ . Then there exists  $l, m \in \mathbb{Z}$  and a surjective homomorphism*

$$\varphi : \bigoplus_{i=1}^l \mathcal{O}_X \rightarrow \mathcal{F}(m)$$

*Proof.* Proof omitted.  $\square$

**Theorem 5.0.3.** *Let  $K$  be a field and  $X$  a closed subscheme of  $\mathbb{P}_K^n$  and  $f : X \rightarrow \mathbb{P}_K^n$  the corresponding closed immersion. If  $\mathcal{F}$  is a quasi-coherent sheaf on  $X$  then*

$$H^p(X, \mathcal{F}(d)) = 0$$

for all  $p > 0$  and for sufficiently  $d \in \mathbb{Z}$ .

*Proof.* By definition, we have

$$f_*(\mathcal{F}(d)) \cong (f_*\mathcal{F})(d) = (f_*\mathcal{F}) \otimes_{\mathcal{O}_{\mathbb{P}_K^n}} \mathcal{O}_{\mathbb{P}_K^n}(d)$$

Moreover,

$$H^p(X, \mathcal{F}(d)) \cong H^p(\mathbb{P}_K^n, (f_*\mathcal{F})(d))$$





Then by induction we have  $\chi(X, \mathcal{F}_1) - \chi(X, \mathcal{F}_2) + \chi(X, \mathcal{G}) = 0$  and  $\chi(X, \mathcal{G}) - \chi(X, \mathcal{F}_3) + \dots = 0$ . Subtracting these two equations gives us the Lemma.  $\square$

**Definition 5.1.3.** Let  $K$  be a field and  $X$  a scheme projective over  $K$  so that we have a closed immersion  $f : X \rightarrow \mathbb{P}_K^n$ . Let  $\mathcal{F}$  be a coherent sheaf over  $X$ . We define the **Hilbert polynomial** of  $\mathcal{F}$  to be the function

$$\begin{aligned} \phi_{\mathcal{F}} : \mathbb{Z} &\rightarrow \mathbb{Z} \\ d &\mapsto \chi(X, \mathcal{F}(d)) \end{aligned}$$

**Theorem 5.1.4.** Let  $K$  be a field and  $X$  a scheme projective over  $K$  so that we have a closed immersion  $f : X \rightarrow \mathbb{P}_K^n$ . Let  $\mathcal{F}$  be a coherent sheaf over  $X$ . Then  $\phi_{\mathcal{F}} \in \mathbb{Q}[d]$ .

*Proof.* Proof omitted (see handwritten notes).  $\square$

**Example 5.1.5.** Let  $K$  be a field and  $X = \mathbb{P}_K^n$ . We shall calculate  $\phi_{\mathcal{O}_X}$ . We have that

$$\phi_{\mathcal{O}_X}(d) = \chi(X, \mathcal{O}_X(d)) = \dim_K H^0(X, \mathcal{O}_X(d)) - \dim_K H^1(X, \mathcal{O}_X(d)) + \dots = \dim_K H^0(X, \mathcal{O}_X(d))$$

for large enough  $d$ . So we have

$$\phi_{\mathcal{O}_X}(d) = \binom{n+d}{d}$$

for all  $d$ .

**Example 5.1.6.** Let  $X$  be a closed subscheme of  $\mathbb{P}_K^n$  where  $K$  is a field, defined by  $\langle h \rangle$  where  $h$  is homogeneous of degree  $r$ . We have an exact sequence

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}_K^n}(-r) \longrightarrow \mathcal{O}_{\mathbb{P}_K^n} \longrightarrow f_*\mathcal{O}_X \longrightarrow 0$$

so we have

$$\phi_{\mathcal{O}_X}(d) = \phi_{f_*\mathcal{O}_X}(d) = \phi_{\mathcal{O}_{\mathbb{P}_K^n}}(d) - \phi_{\mathcal{O}_{\mathbb{P}_K^n}}(d-r) = \binom{d+n}{d} - \binom{d-r+n}{d-r}$$